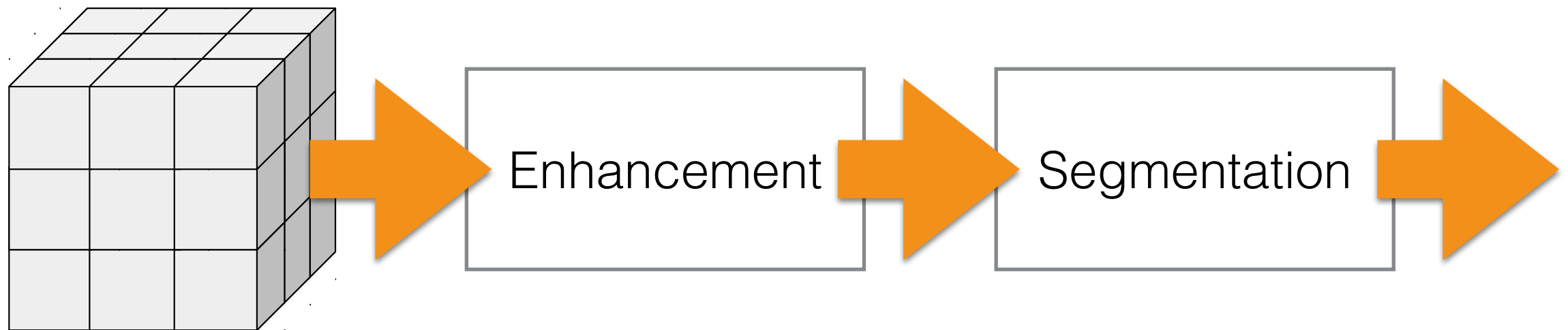


# 3D from Volume: Part II

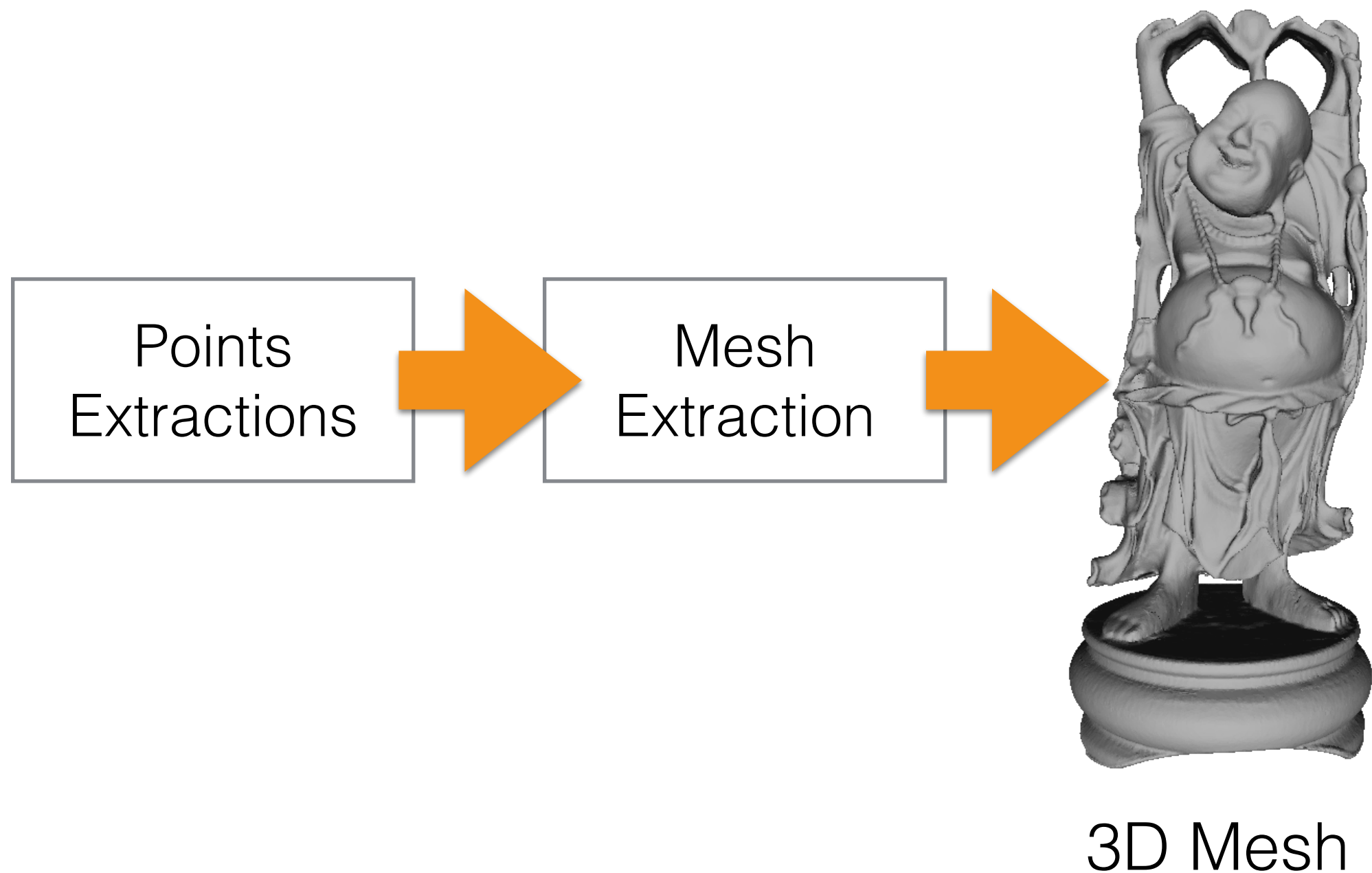
Francesco Banterle, Ph.D.  
[francesco.banterle@isti.cnr.it](mailto:francesco.banterle@isti.cnr.it)

# The Processing Pipeline

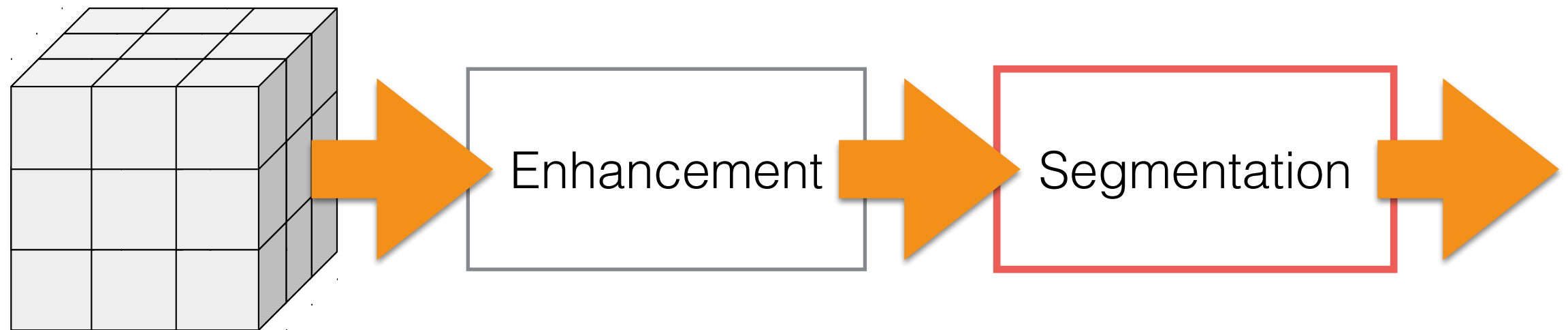


RAW Volume

# The Processing Pipeline



# The Processing Pipeline



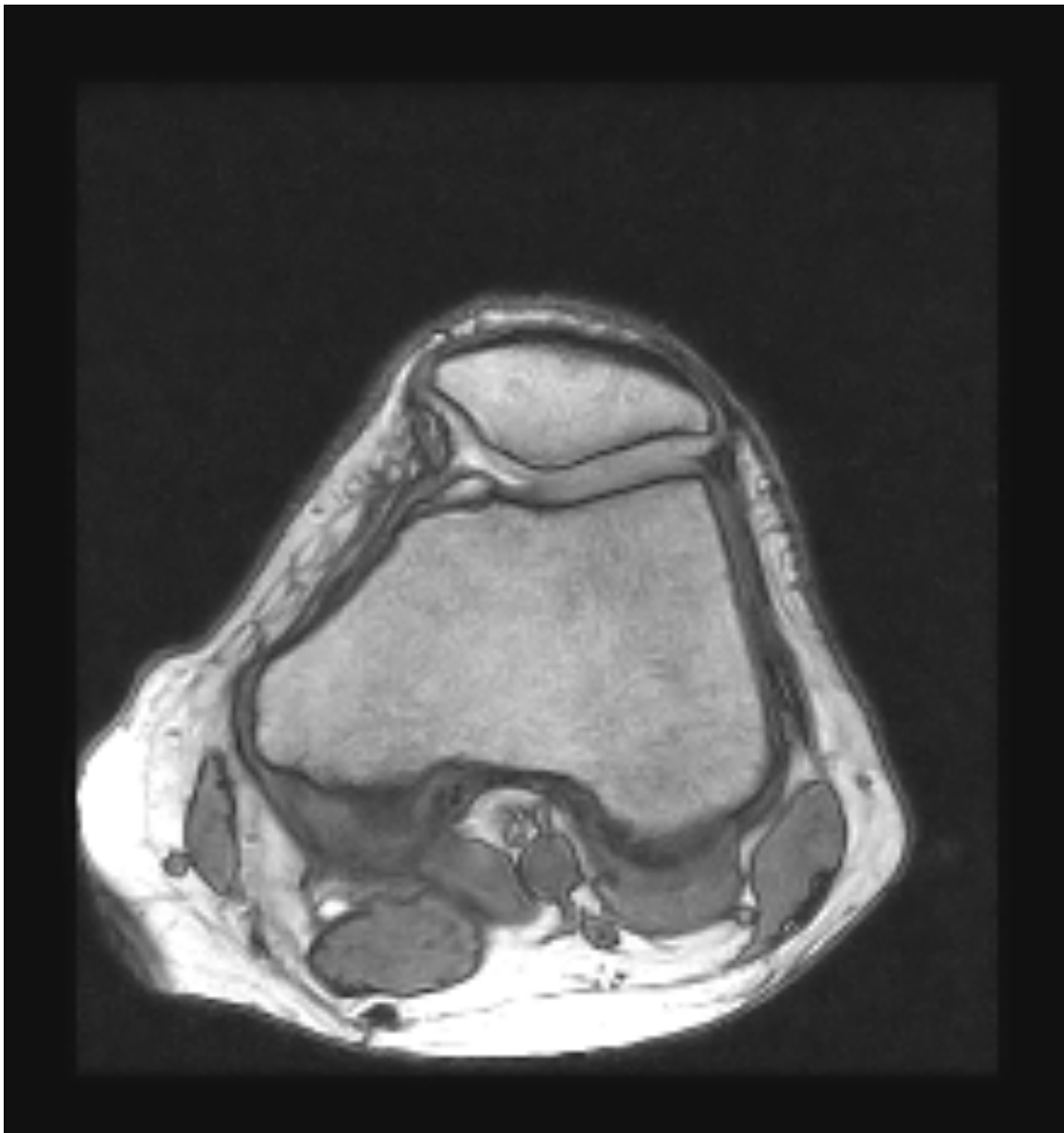
RAW Volume

# 2D/3D Segmentation

# Segmentation

- Segmentation is a process after which we obtain a mask of a structure in an/a image/volume.
- A mask is binary image/volume; i.e., its values can be only either 0 or 1.
- 1 —> the pixel/voxel belongs to a structure of our interest
- 0 —> the pixel/voxel does not!

# Segmentation Example

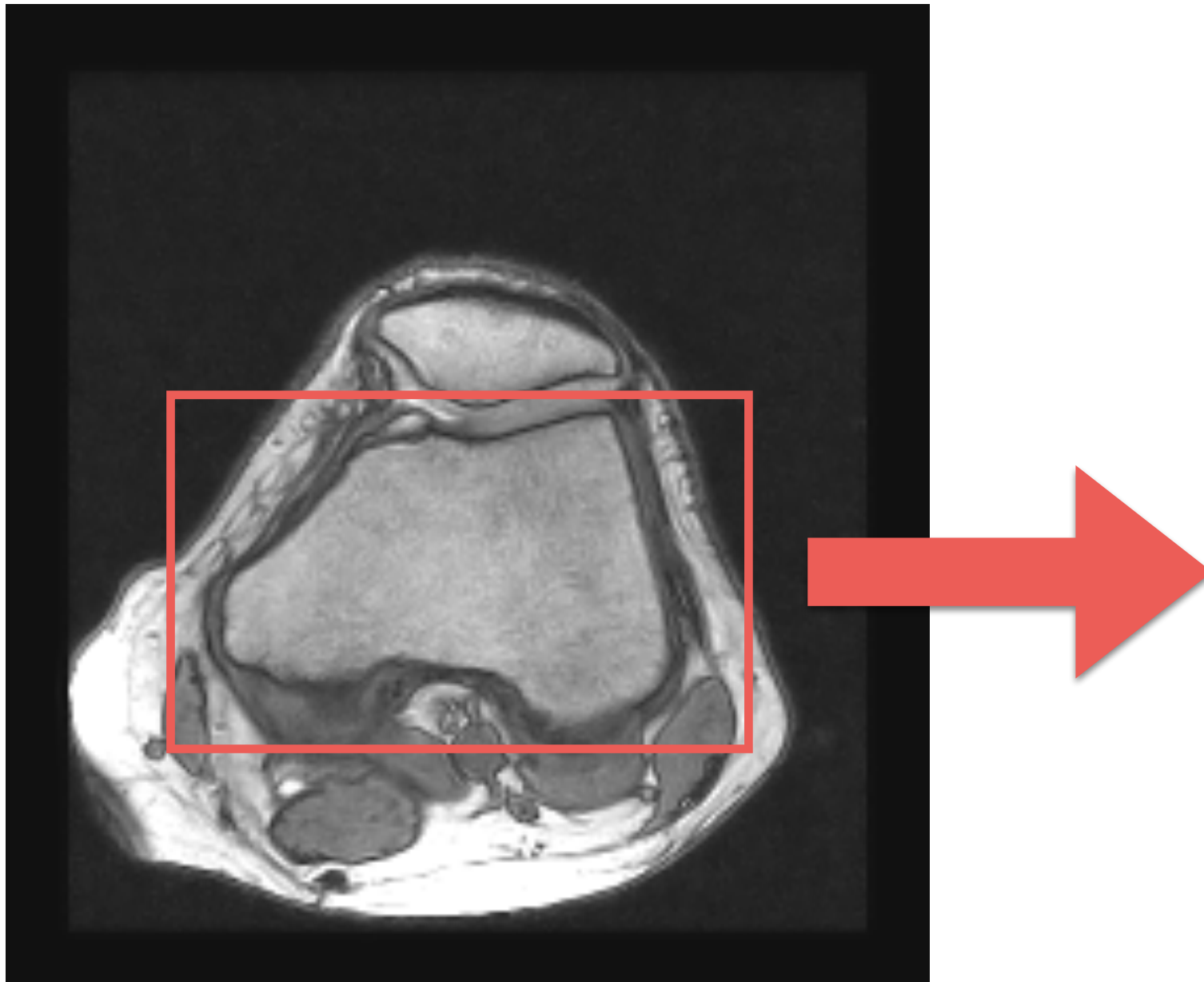


# Segmentation Example

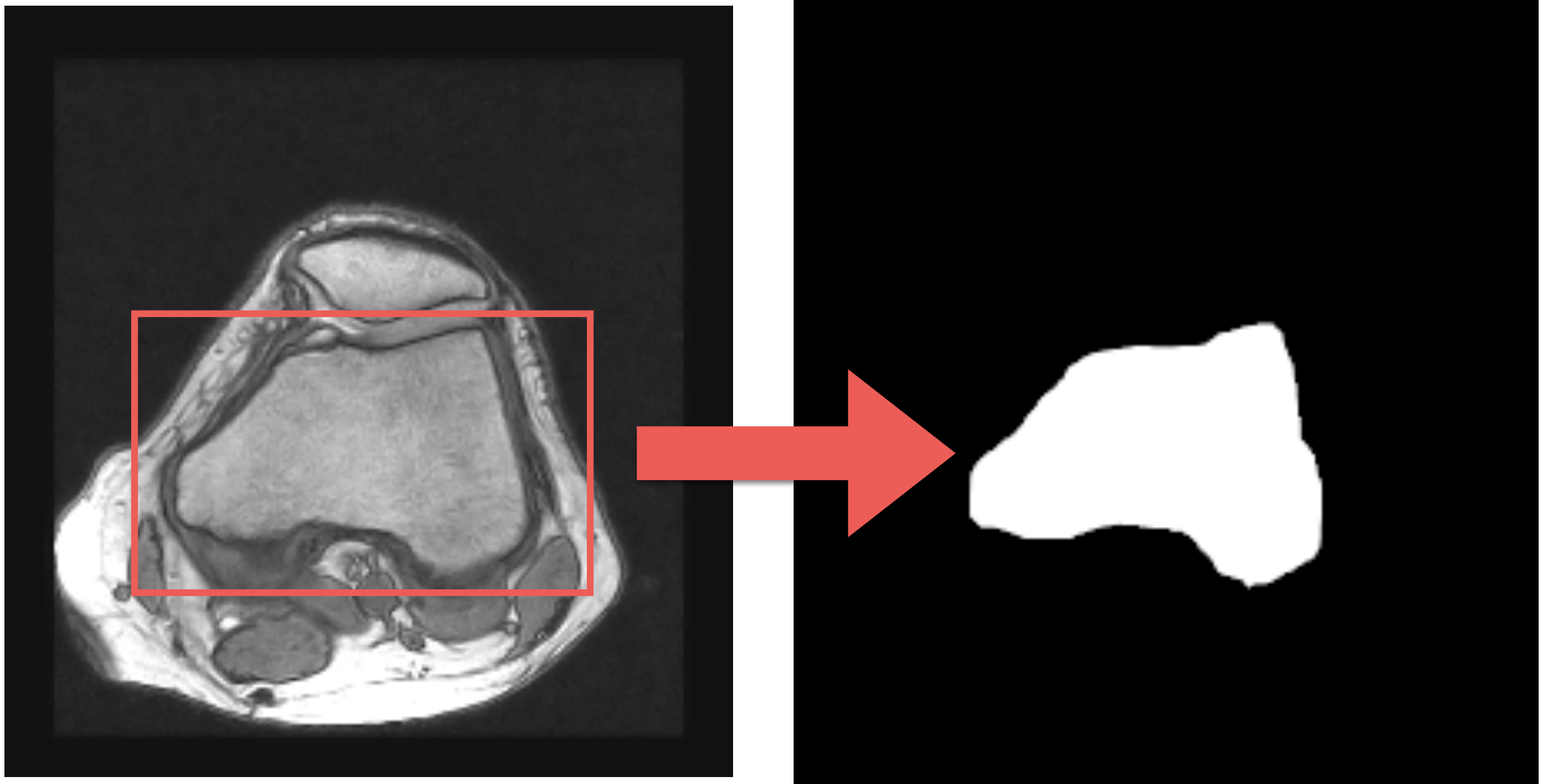




# Segmentation Example



# Segmentation Example



# Segmentation

- Obviously, if we need to segment  $k$  objects in the image/volume we have two ways to proceed:
  1. We create  $k$ -masks, one for each object.
  2. We create an **unsigned integer** mask in which each object is labeled a number in  $[1, k]$ .  
Background is always 0!

# 3D Segmentation

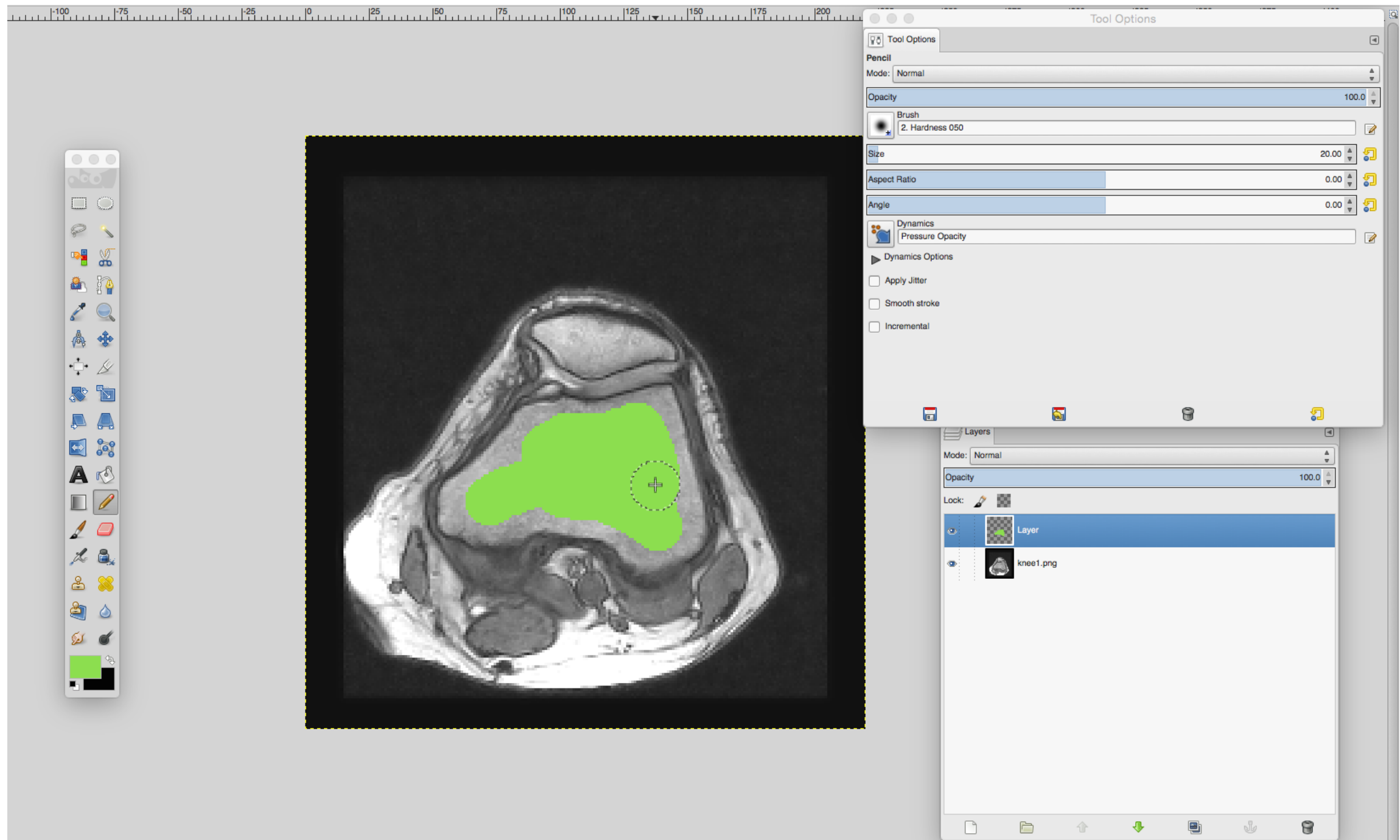
- There are typically two approaches:
  - 2D segmentation for each slice
  - 2D segmentation of a slice and propagation of the segmentation

# Manual Segmentation

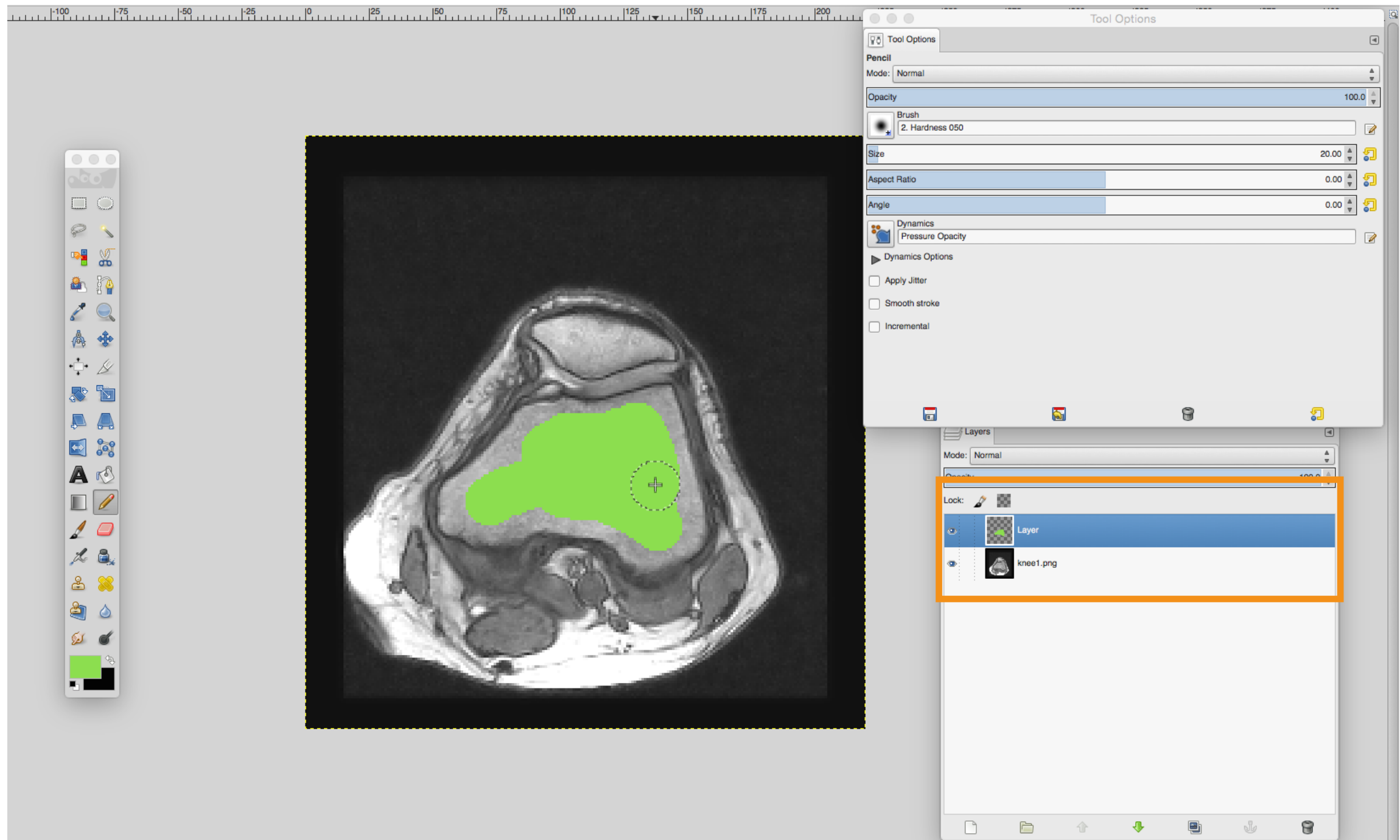
# Manual Segmentation: Painting Approach

- We manually paint the mask using a GUI.
- Obviously, the segmentation mask is created in a different layer and not on the input image!

# Manual Segmentation: Painting Approach



# Manual Segmentation: Painting Approach

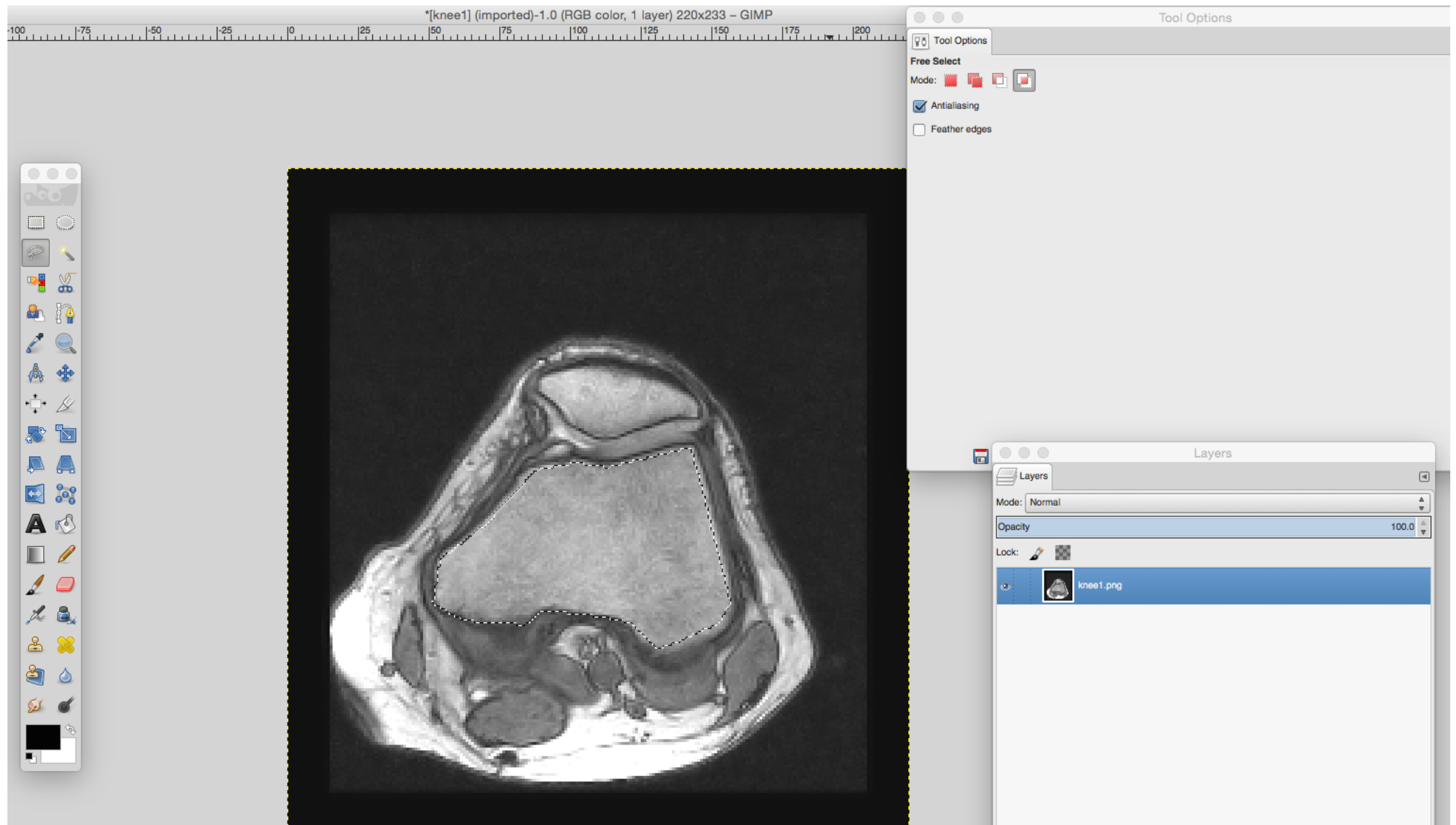




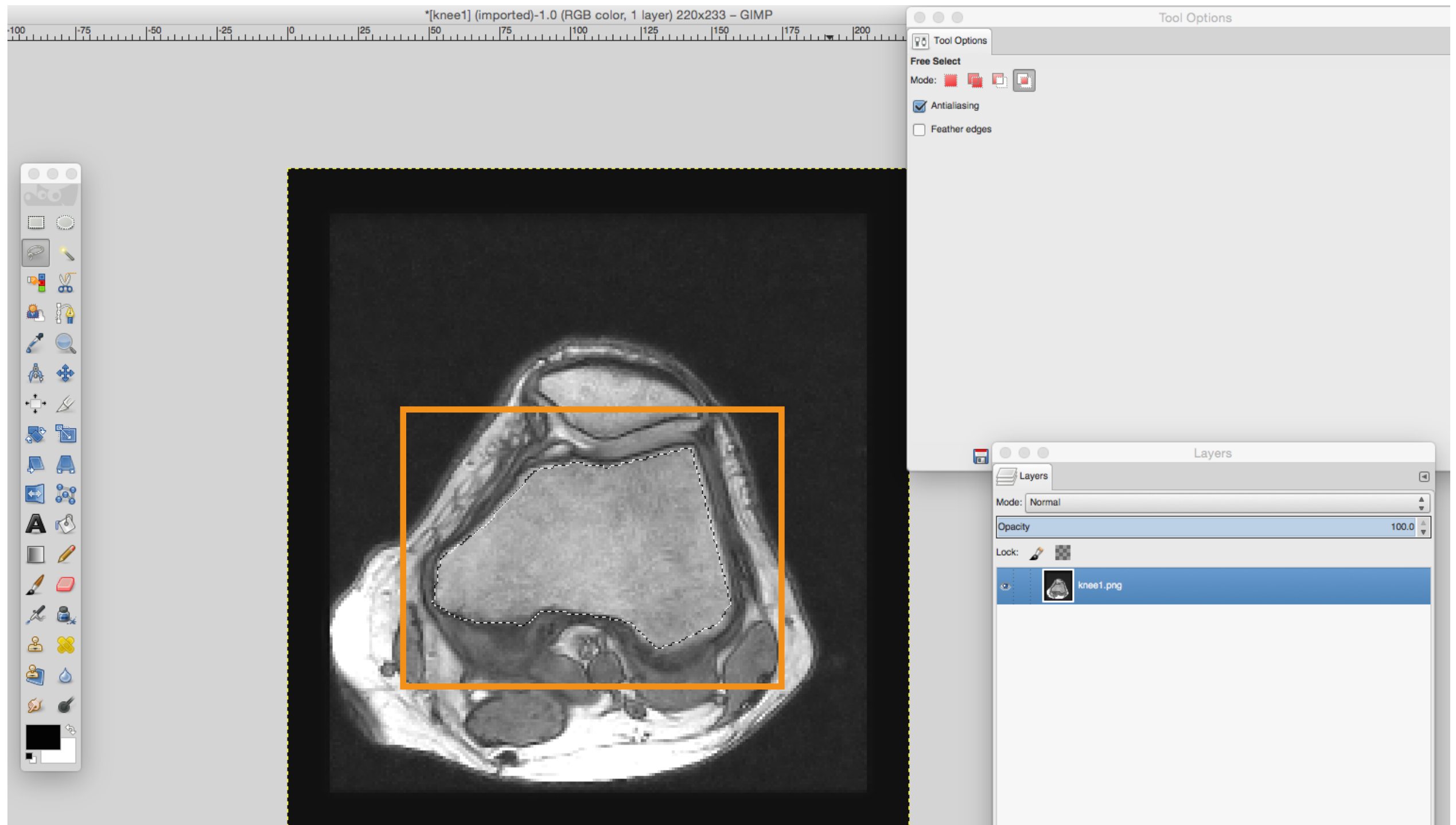
# Manual Segmentation: Boundary Definition

- We manually define the mask boundary using a GUI (e.g., GIMP, Adobe PhotoShop, etc.).
- We either define it using polygons or free-hand.
- We can use image gradients and Laplacian to stick polygons to our object of interest.

# Manual Segmentation: Boundary Definition



# Manual Segmentation: Boundary Definition



# Thresholding

# Thresholding Example

- We assume that each object in an image/volume has a unique intensity value



Object	Value
Skull	255
Grey Matter	153
Veins	77

# Thresholding

- This means:

$$M(i, j) = \begin{cases} 1 & \text{if } d(I(i, j), I_t) < t \\ 0 & \text{otherwise} \end{cases}$$

- We can have different distance functions:

$$d(x, y) = |x - y|$$

$$d(x, y) = (x - y)^2$$

$$d(x, y, \sigma) = \exp\left(-\frac{(x - y)^2}{2\sigma^2}\right)^2$$

# Thresholding

- This means:

Reference Value

$$M(i, j) = \begin{cases} 1 & \text{if } d(I(i, j), I_t) < t \\ 0 & \text{otherwise} \end{cases}$$

- We can have different distance functions:

$$d(x, y) = |x - y|$$

$$d(x, y) = (x - y)^2$$

$$d(x, y, \sigma) = \exp\left(-\frac{(x - y)^2}{2\sigma^2}\right)^2$$

# Thresholding

- This means:

$$M(i, j) = \begin{cases} 1 & \text{if } d(I(i, j), \boxed{I_t}) < \boxed{t} \\ 0 & \text{otherwise} \end{cases}$$

Reference Value

Threshold

- We can have different distance functions:

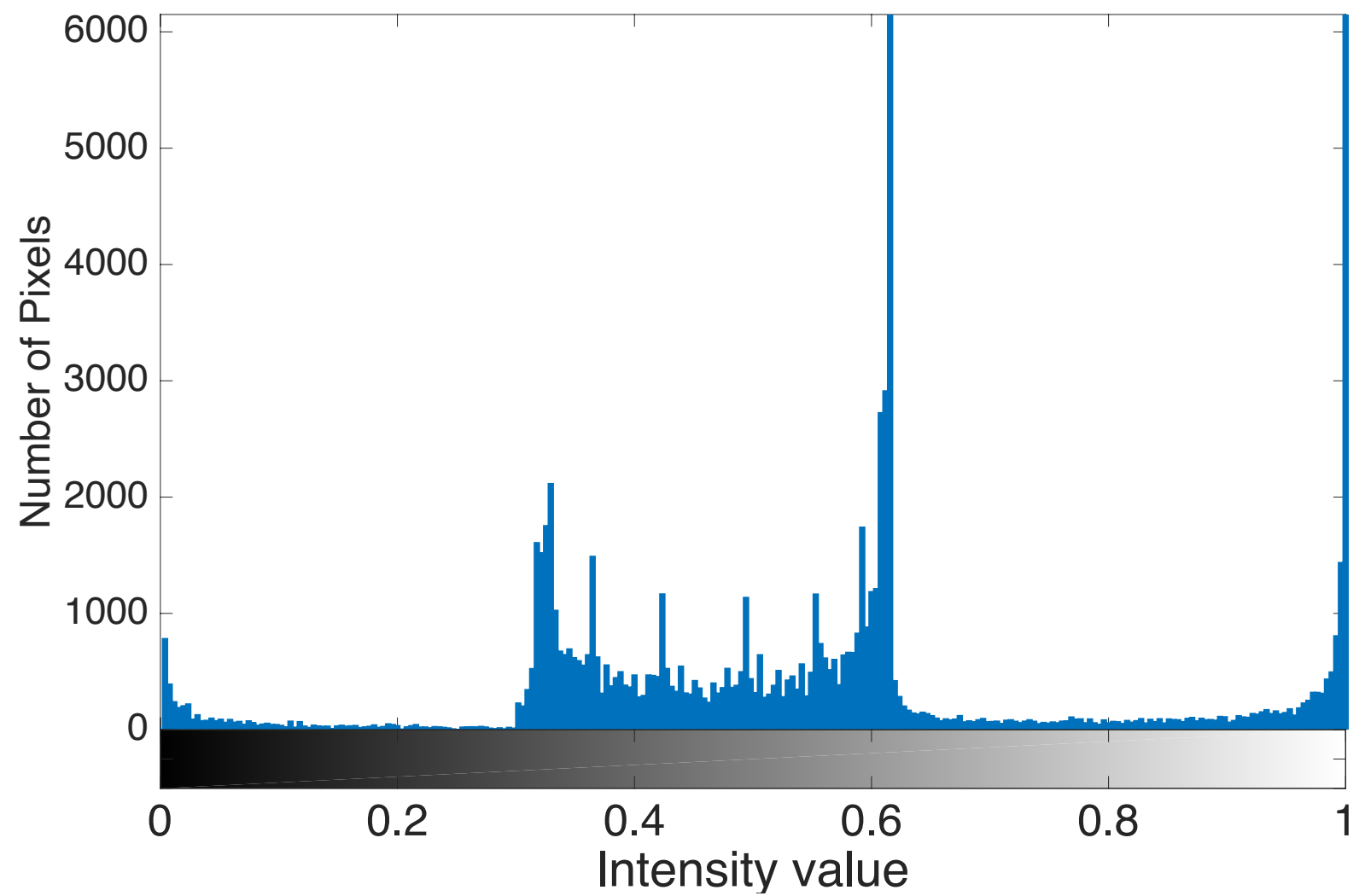
$$d(x, y) = |x - y|$$

$$d(x, y) = (x - y)^2$$

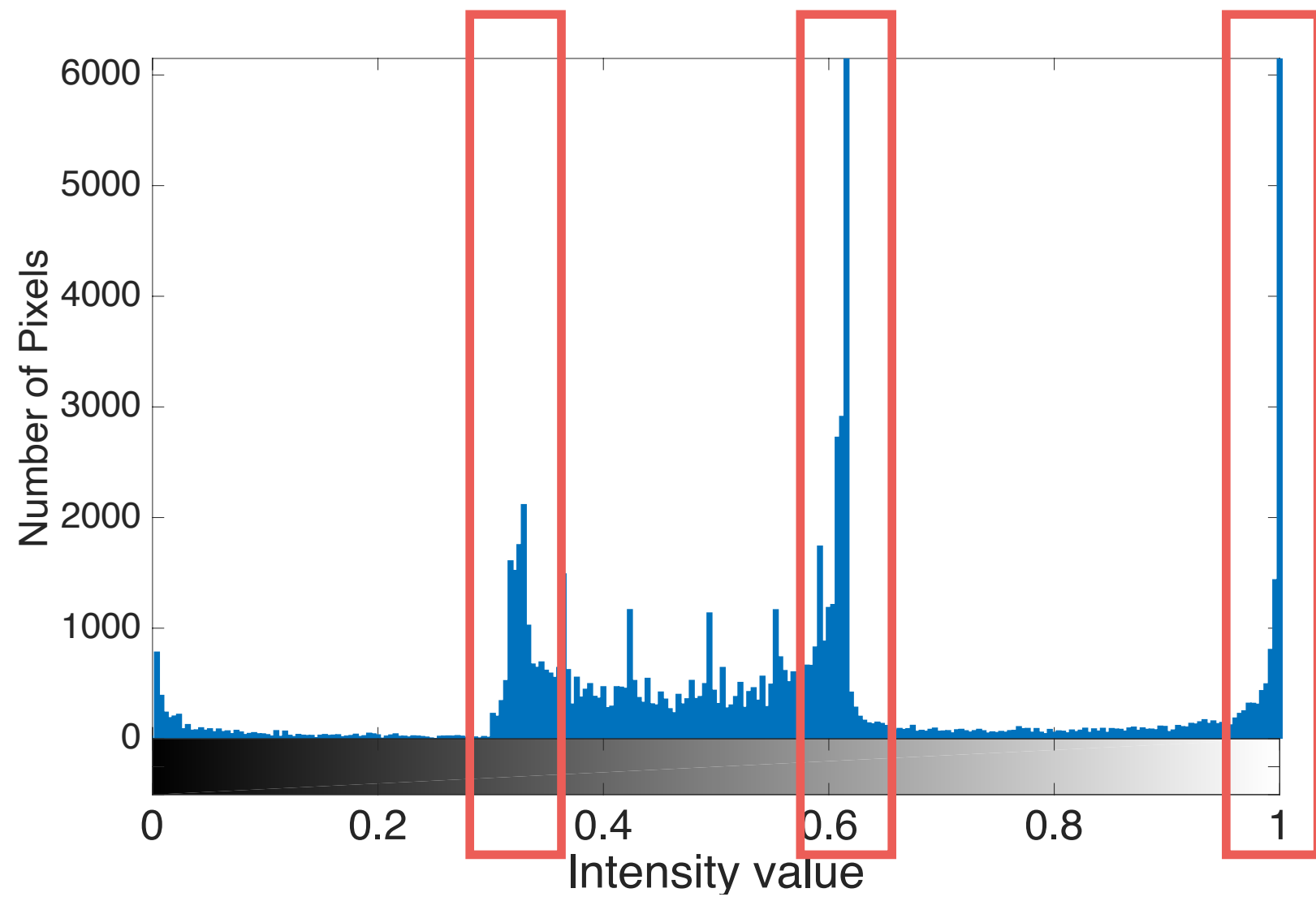
$$d(x, y, \sigma) = \exp\left(-\frac{(x - y)^2}{2\sigma^2}\right)^2$$



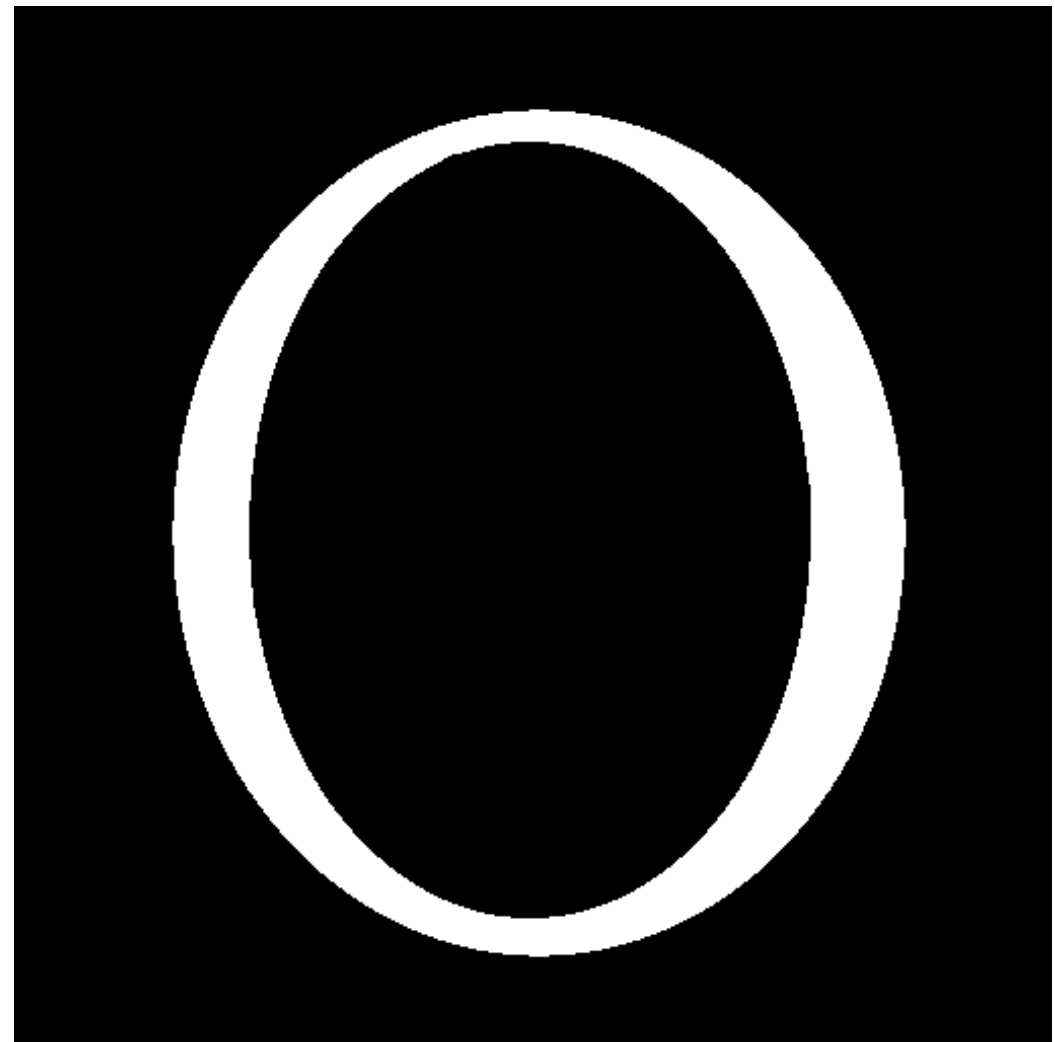
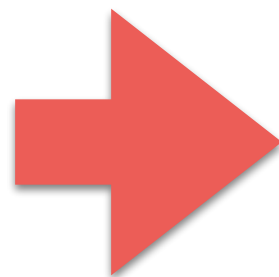
# Thresholding



# Thresholding

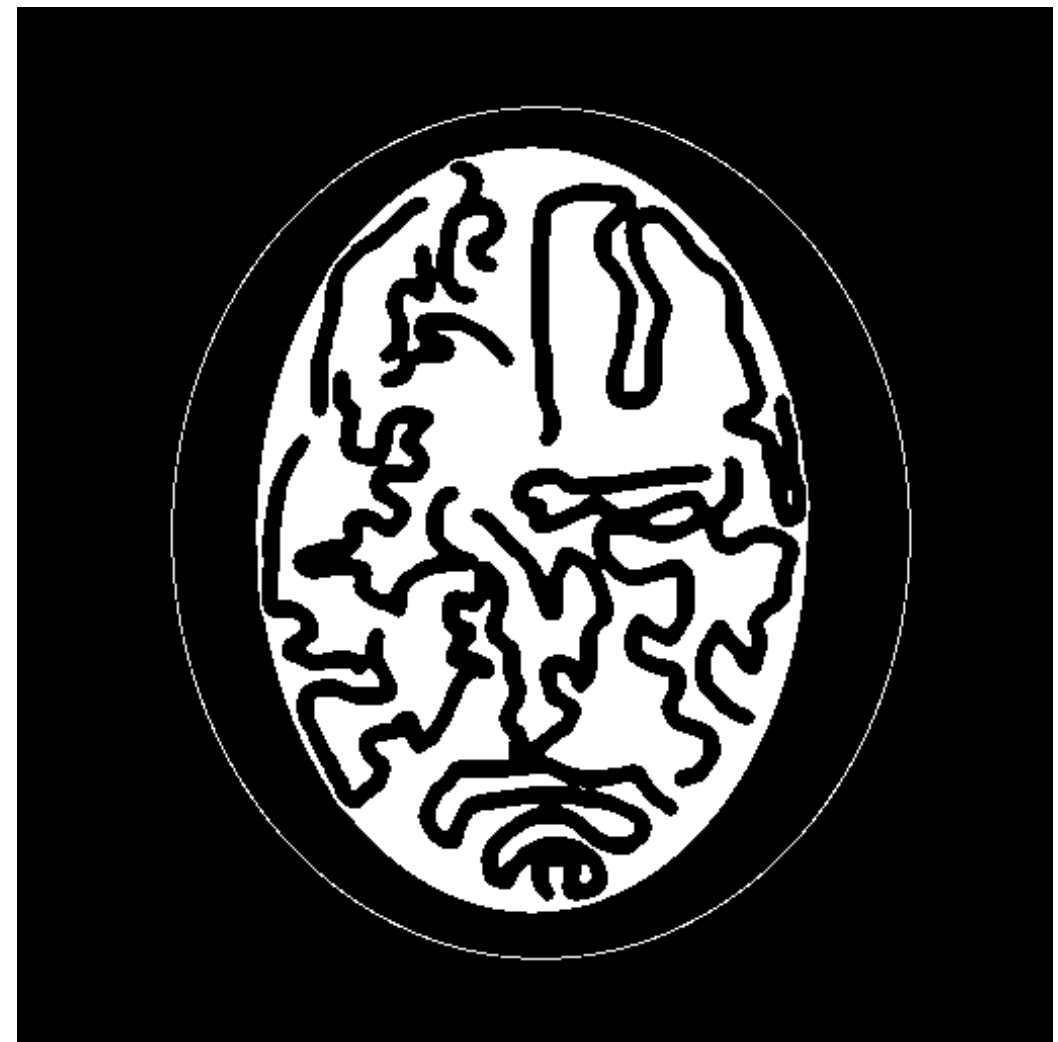
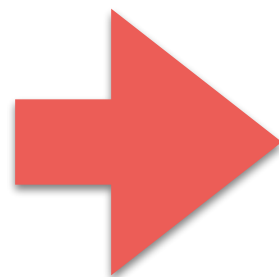


# Thresholding Example



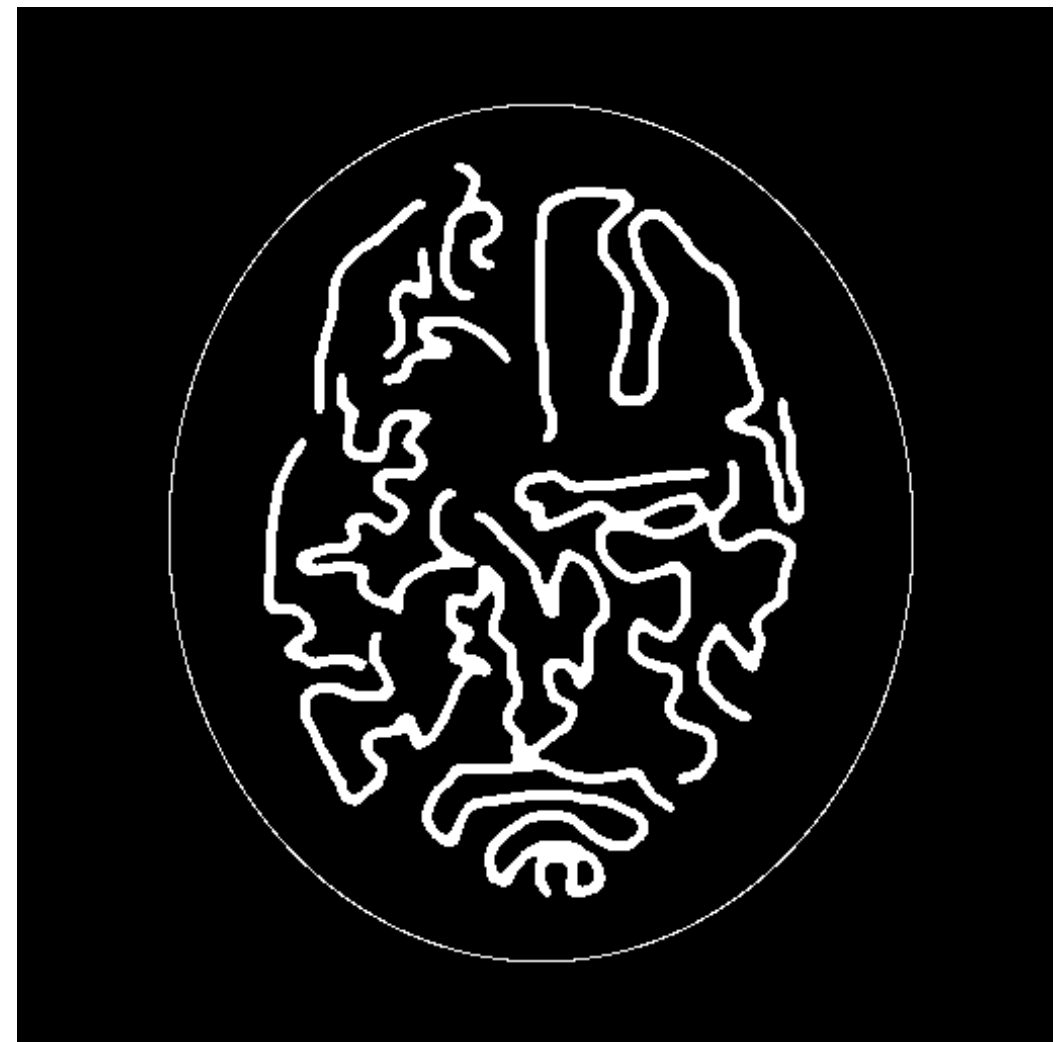
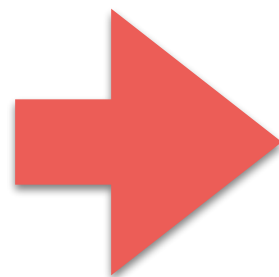
$$I_t = 1 \quad t = 0.1$$

# Thresholding Example



$$I_t = 0.6 \quad t = 0.1$$

# Thresholding Example



$$I_t = 0.6 \quad t = 0.1$$

# Thresholding: Connected Components

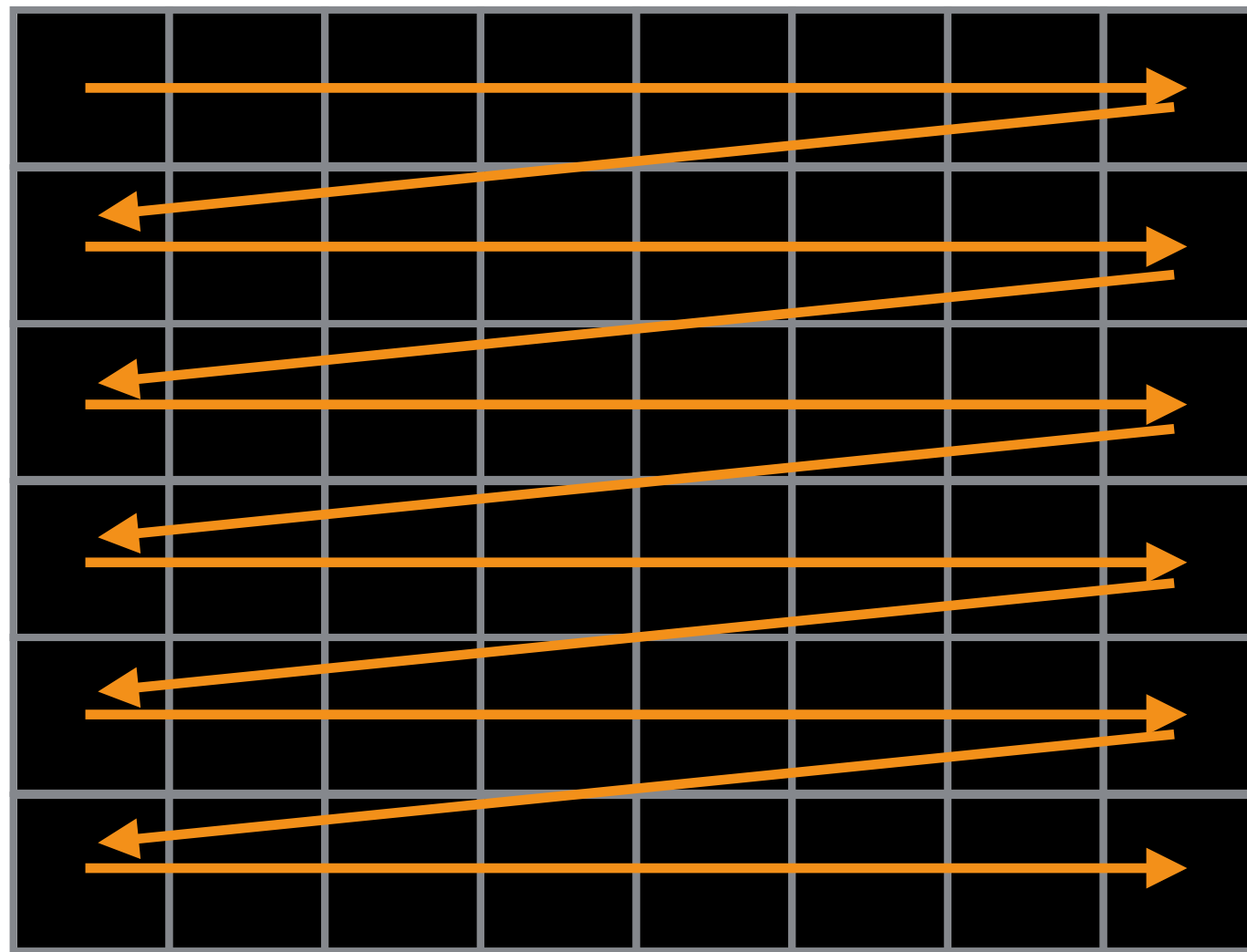
- After segmentation we may end up with different pieces that are not connected.



# Thresholding: Connected Components

- A two-pass algorithm that works in scan order (from left to right and from top to bottom).
- 1-Pass: it creates labels to groups of pixel.
- 2-Pass: it merges groups that are connected.

# Thresholding: Connected Components

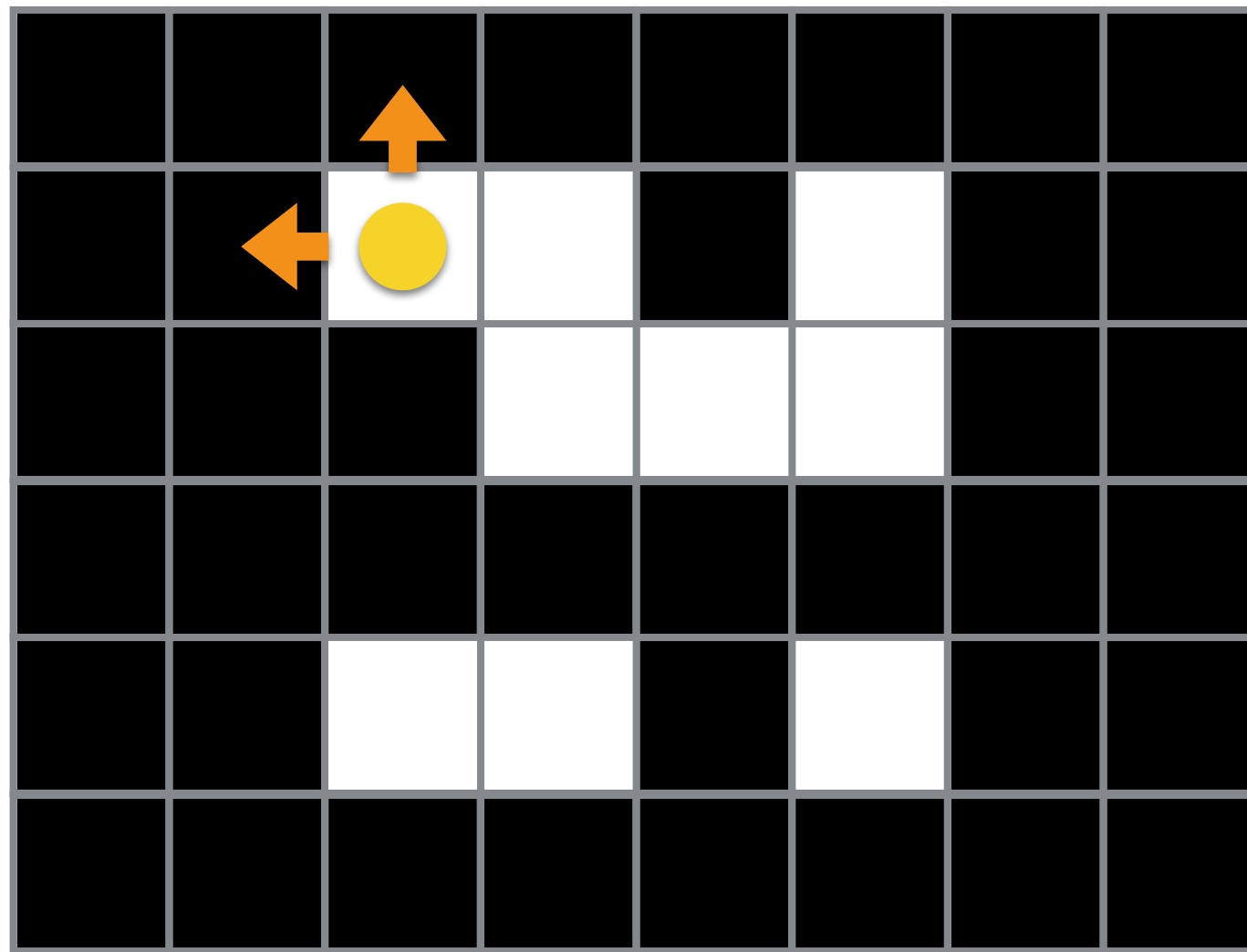


Scan order



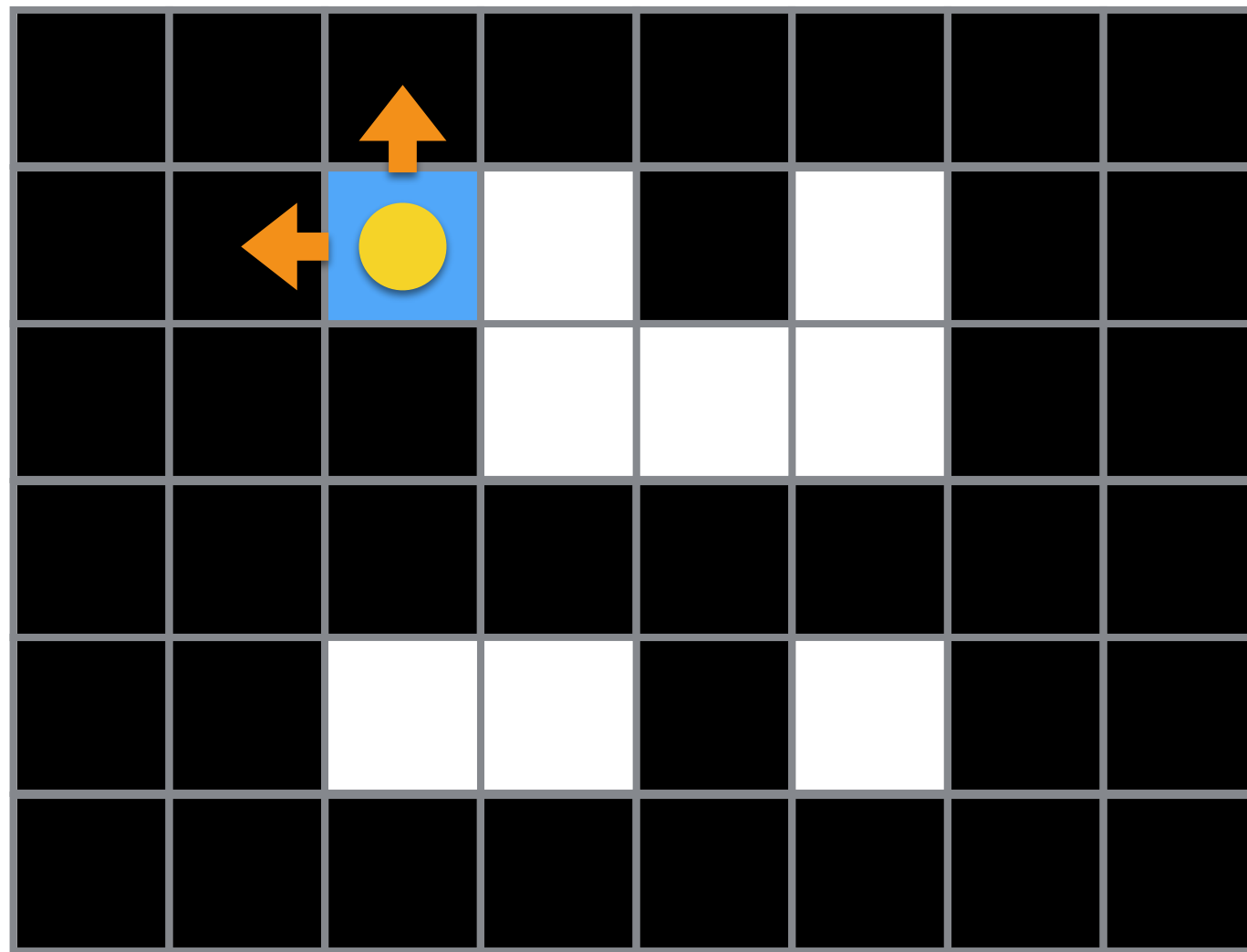
First Pass

# Thresholding: Connected Components



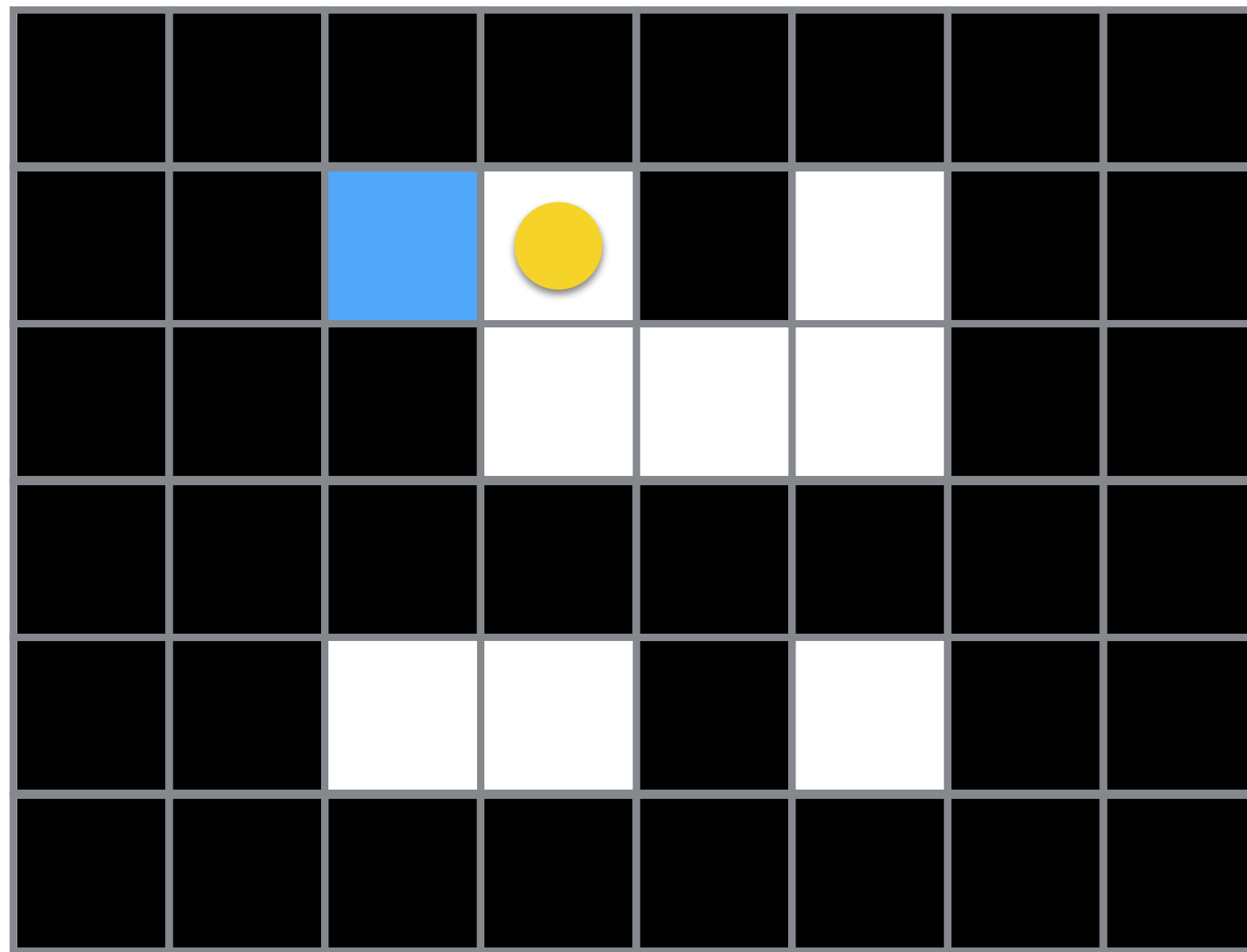
We check up and left neighbors to see if they have a label.

# Thresholding: Connected Components



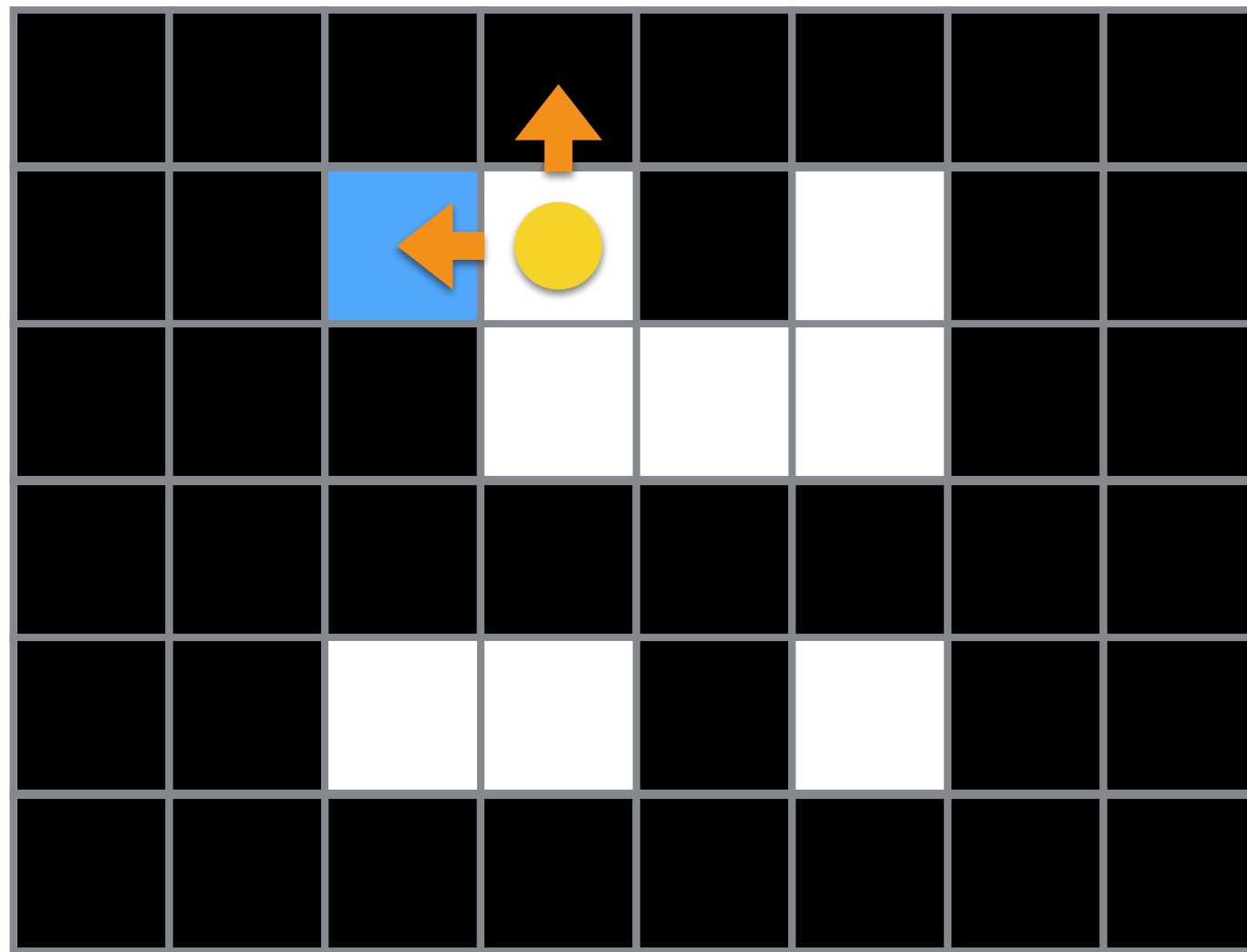
If not we create a  
new one.

# Thresholding: Connected Components



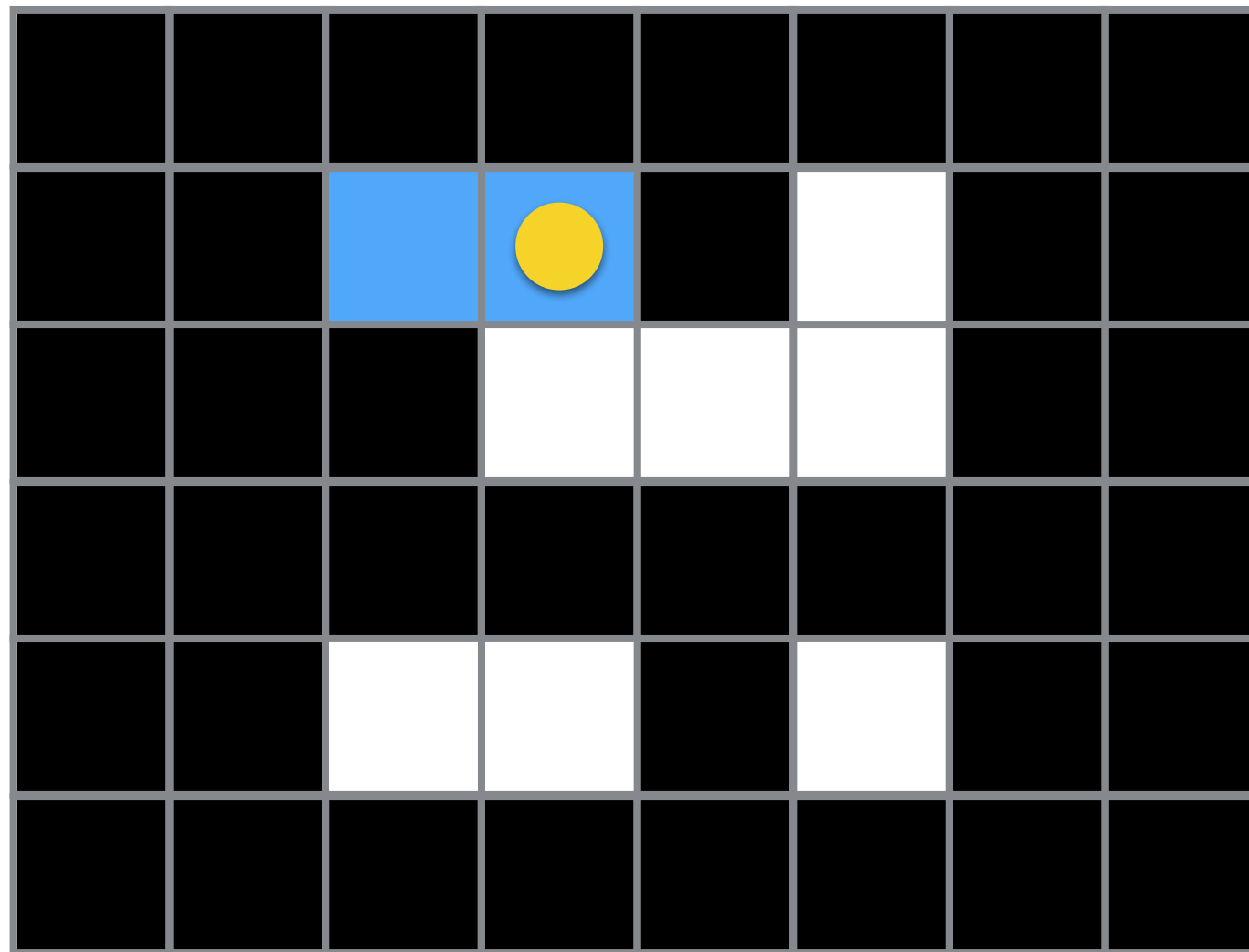
Then, we move  
right, and we  
repeat the  
process.

# Thresholding: Connected Components



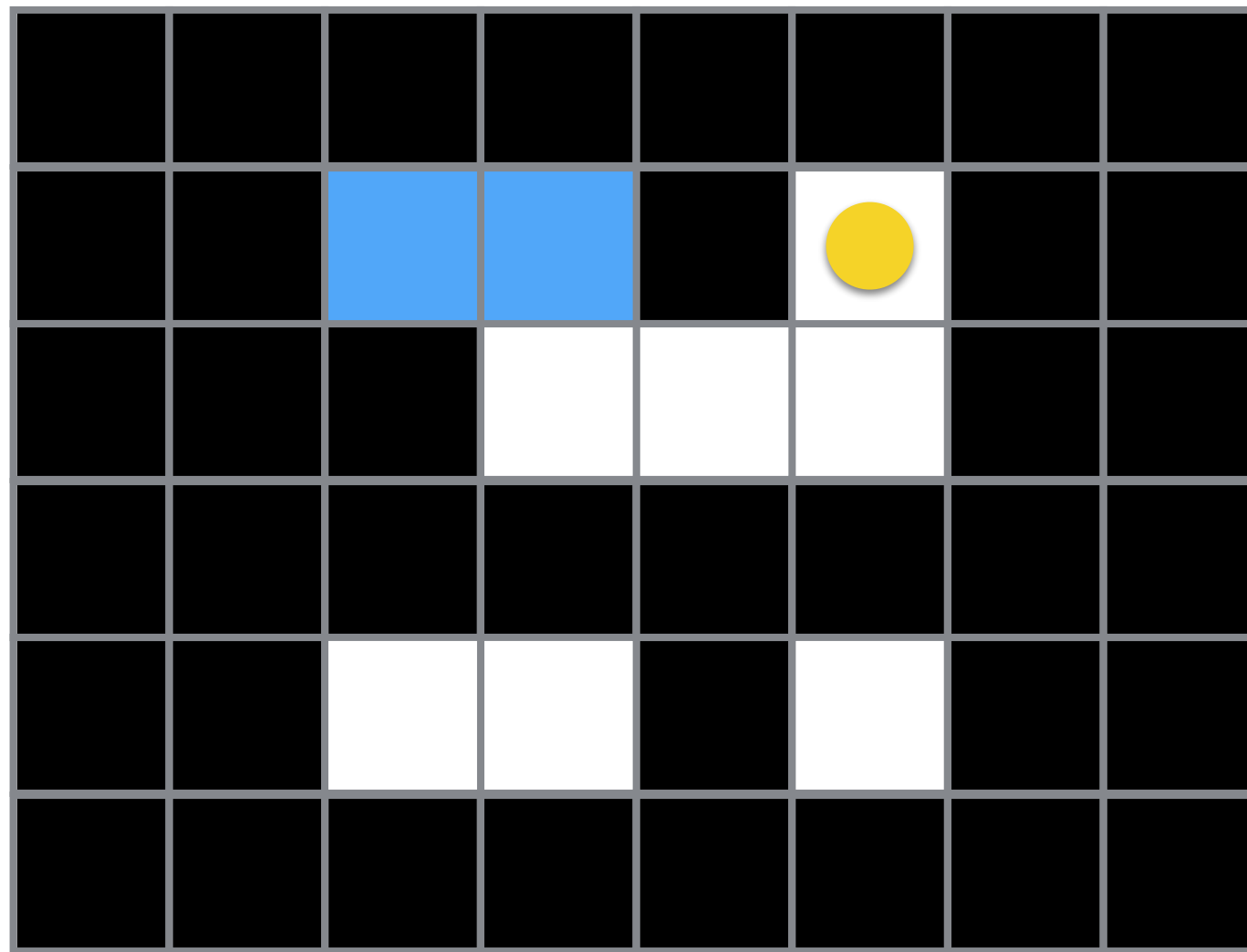
In this case, the left neighbor has a label, so we reuse it.

# Thresholding: Connected Components

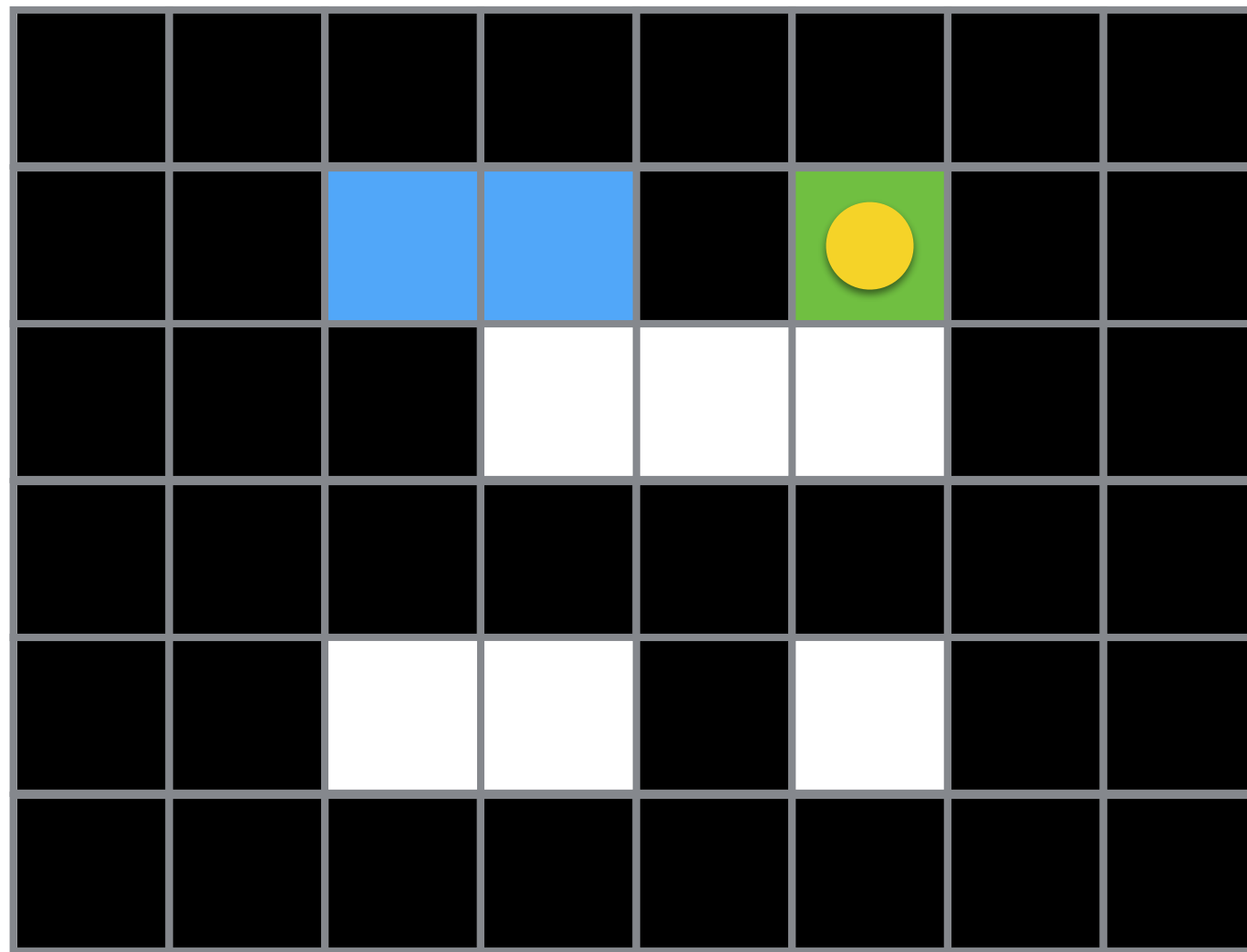


In this case, the left neighbor has a label, so we reuse it.

# Thresholding: Connected Components

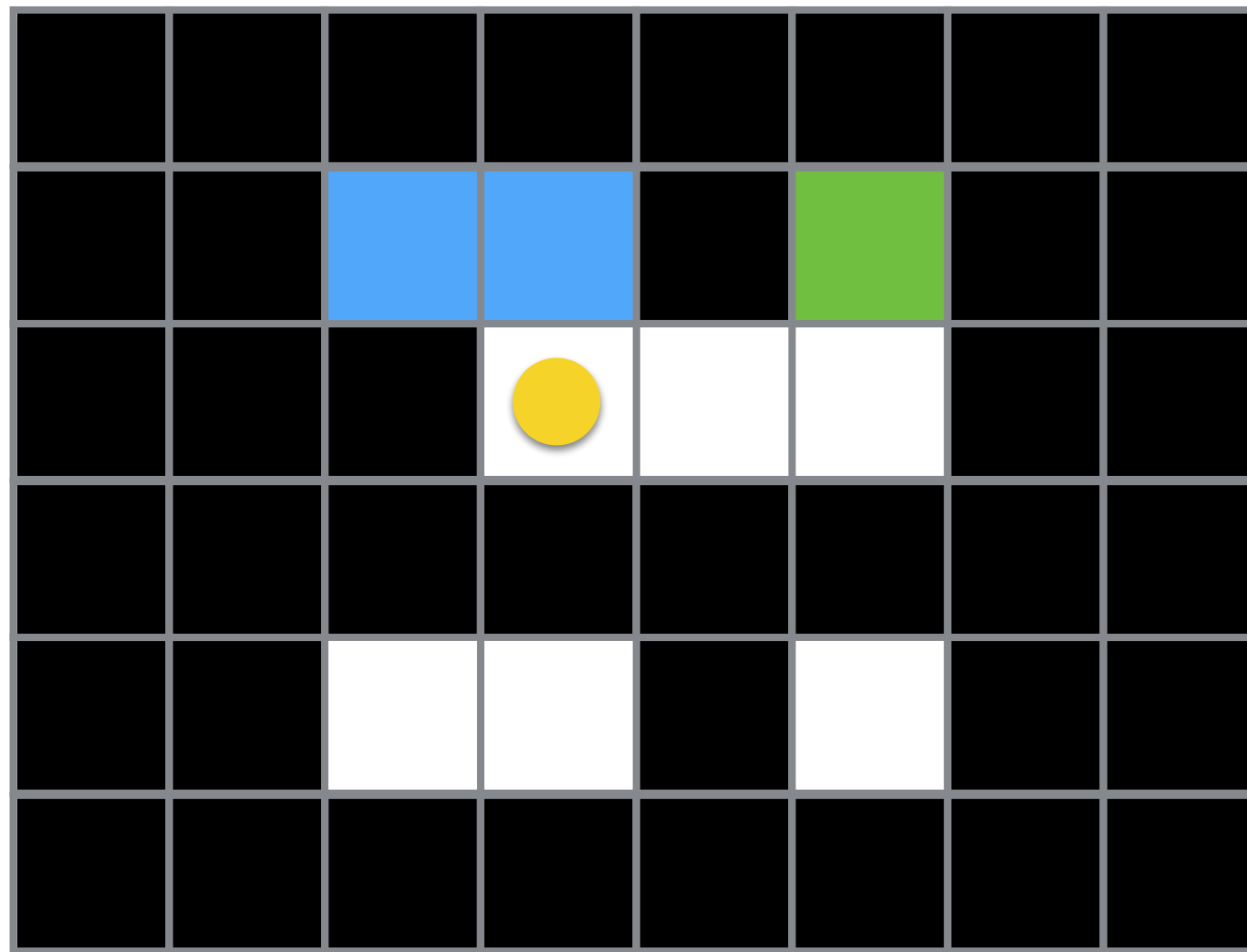


# Thresholding: Connected Components

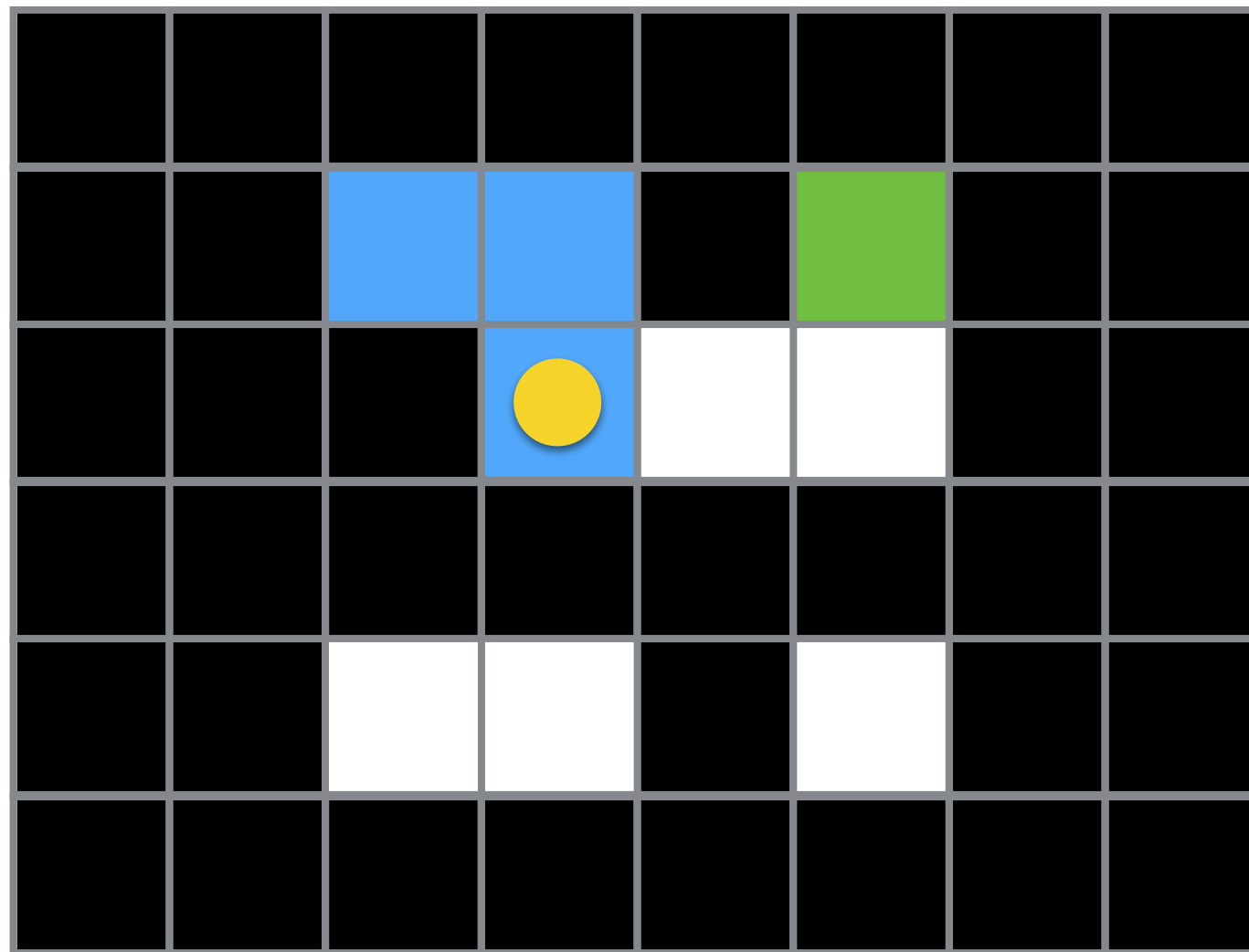




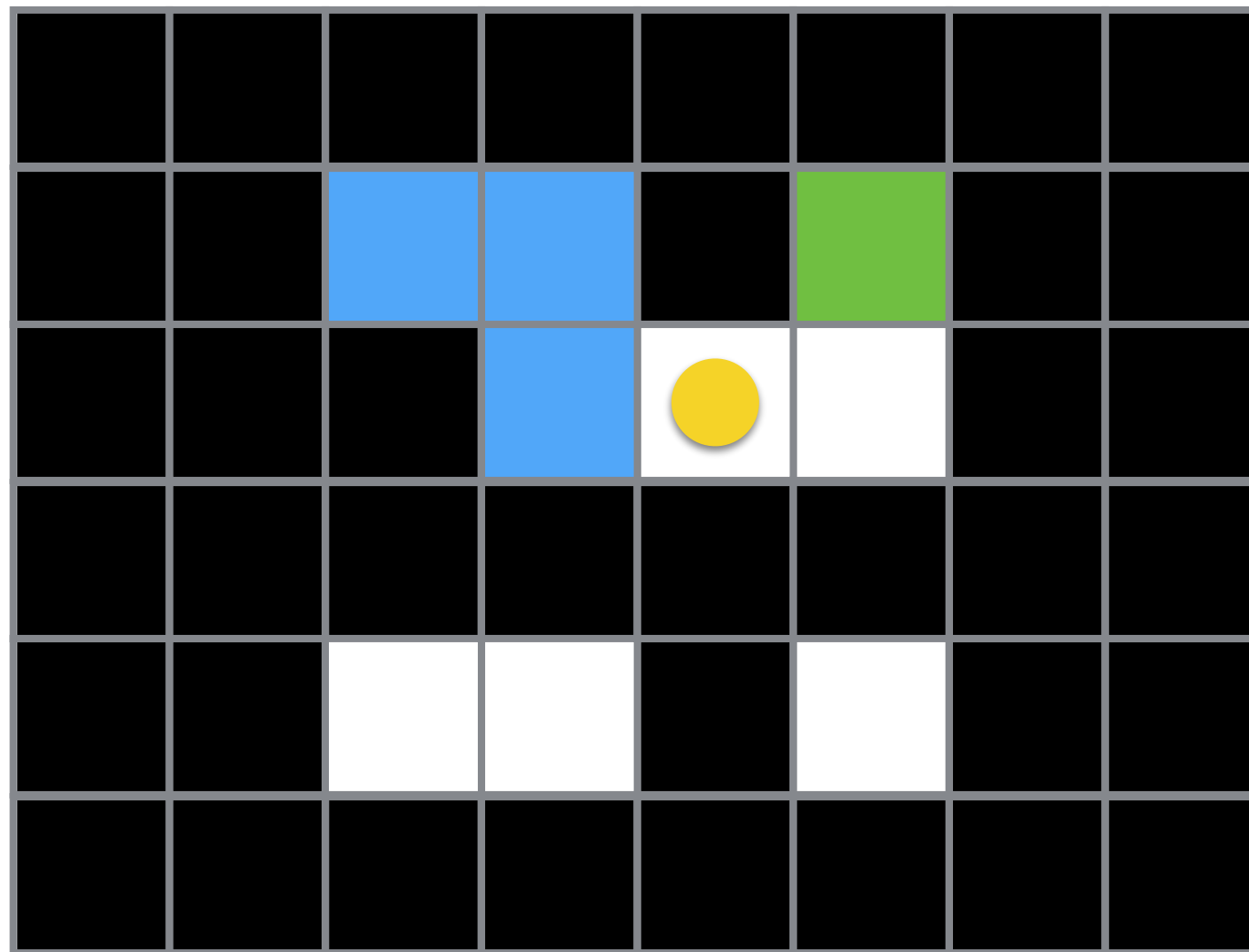
# Thresholding: Connected Components



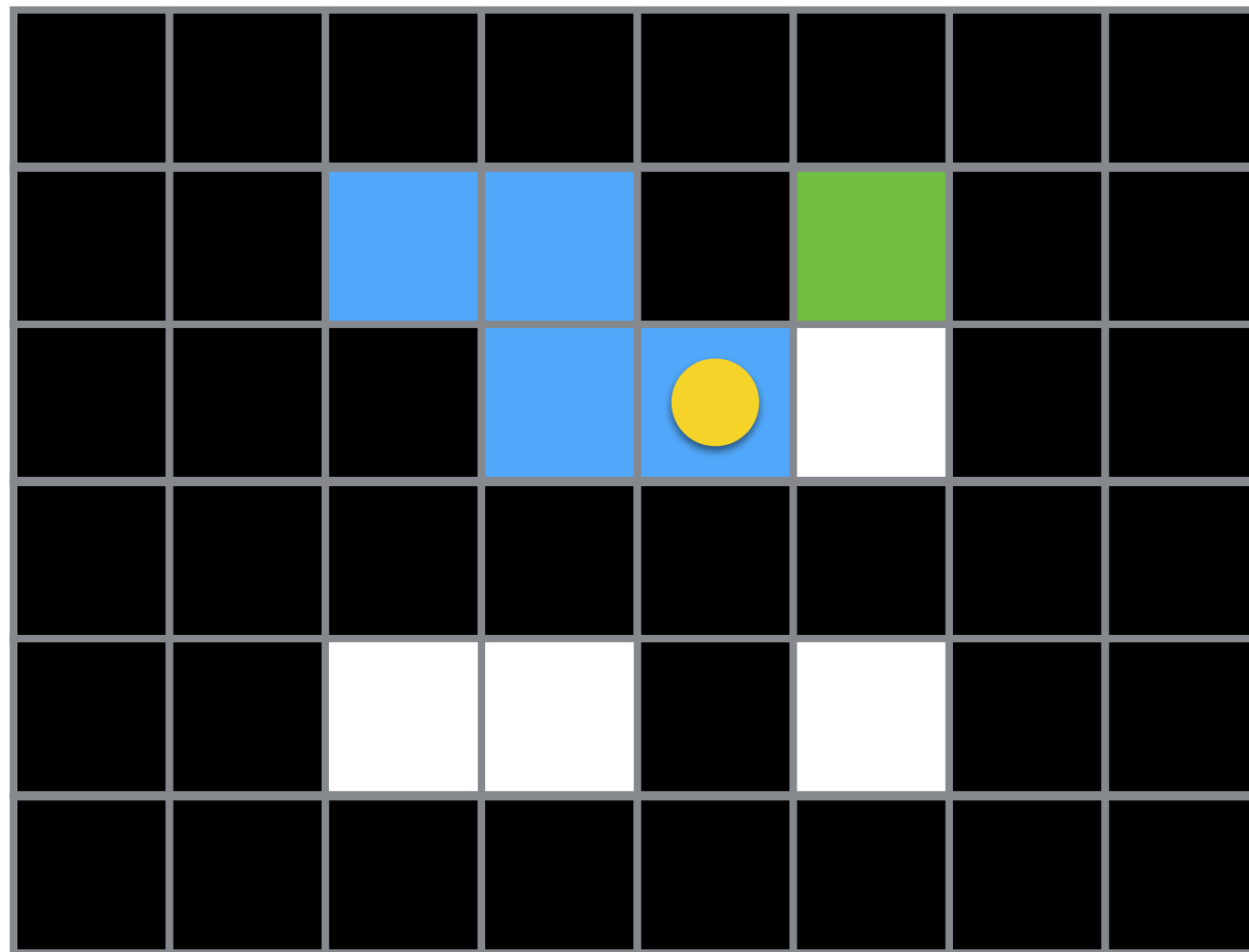
# Thresholding: Connected Components



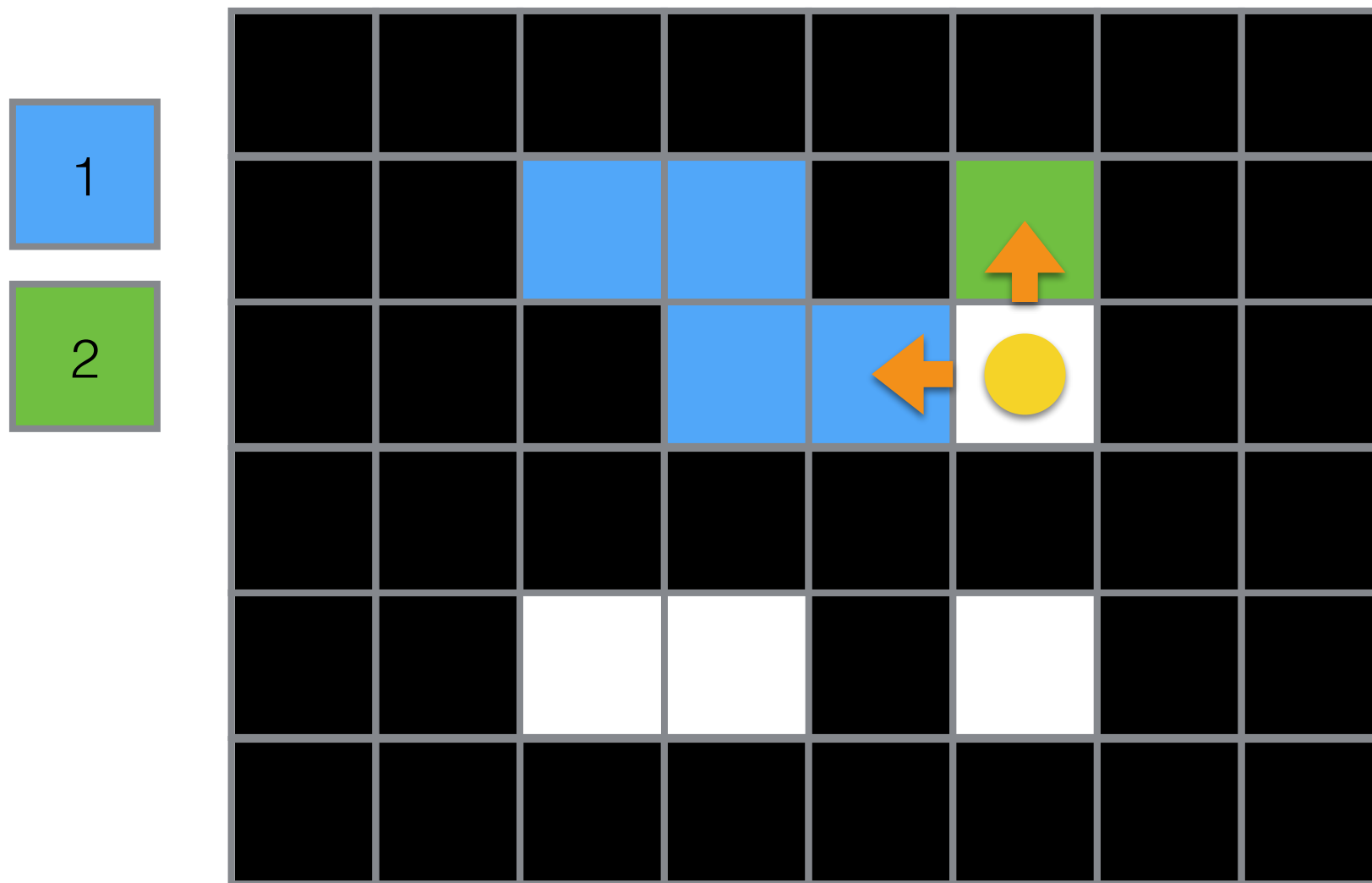
# Thresholding: Connected Components



# Thresholding: Connected Components

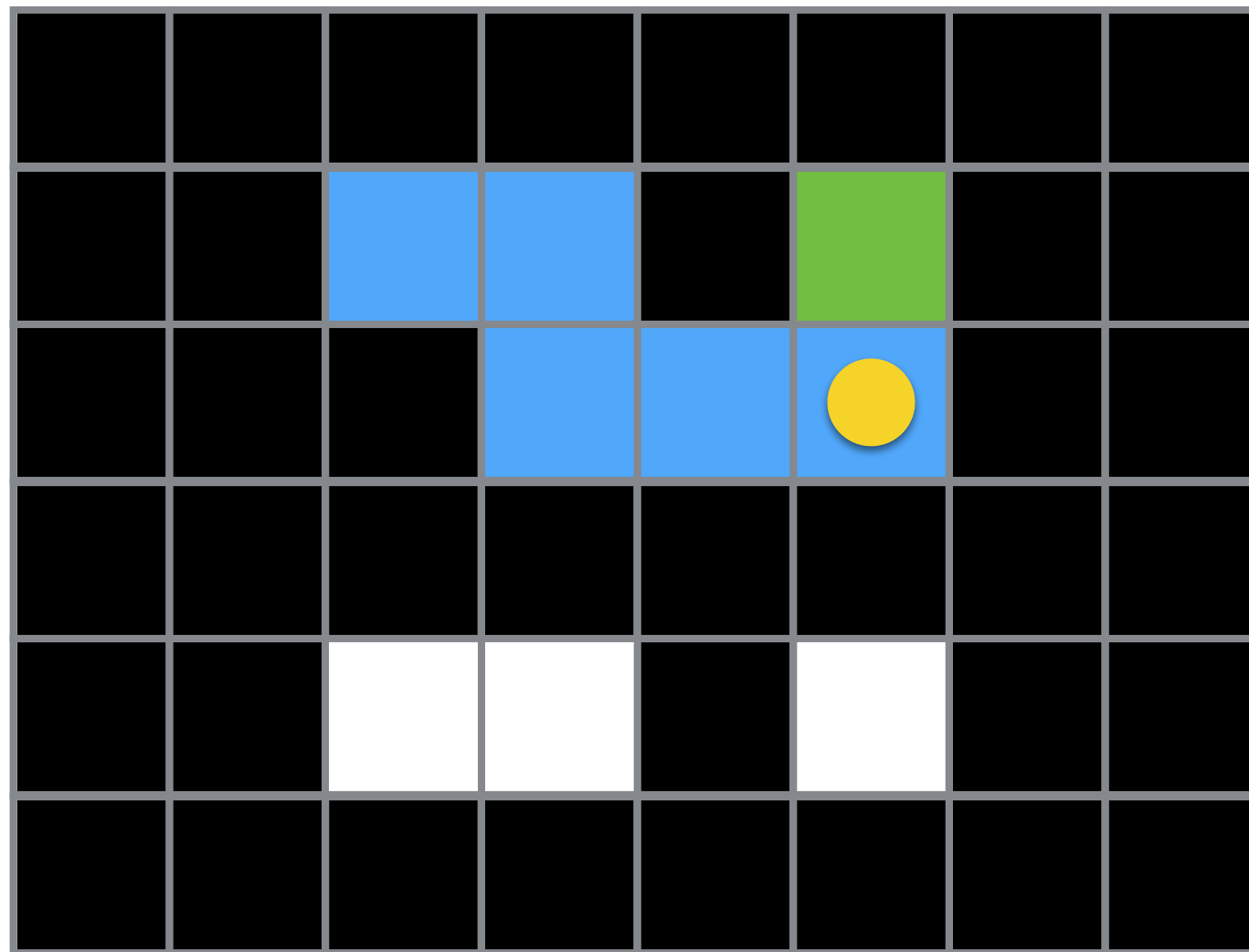


# Thresholding: Connected Components

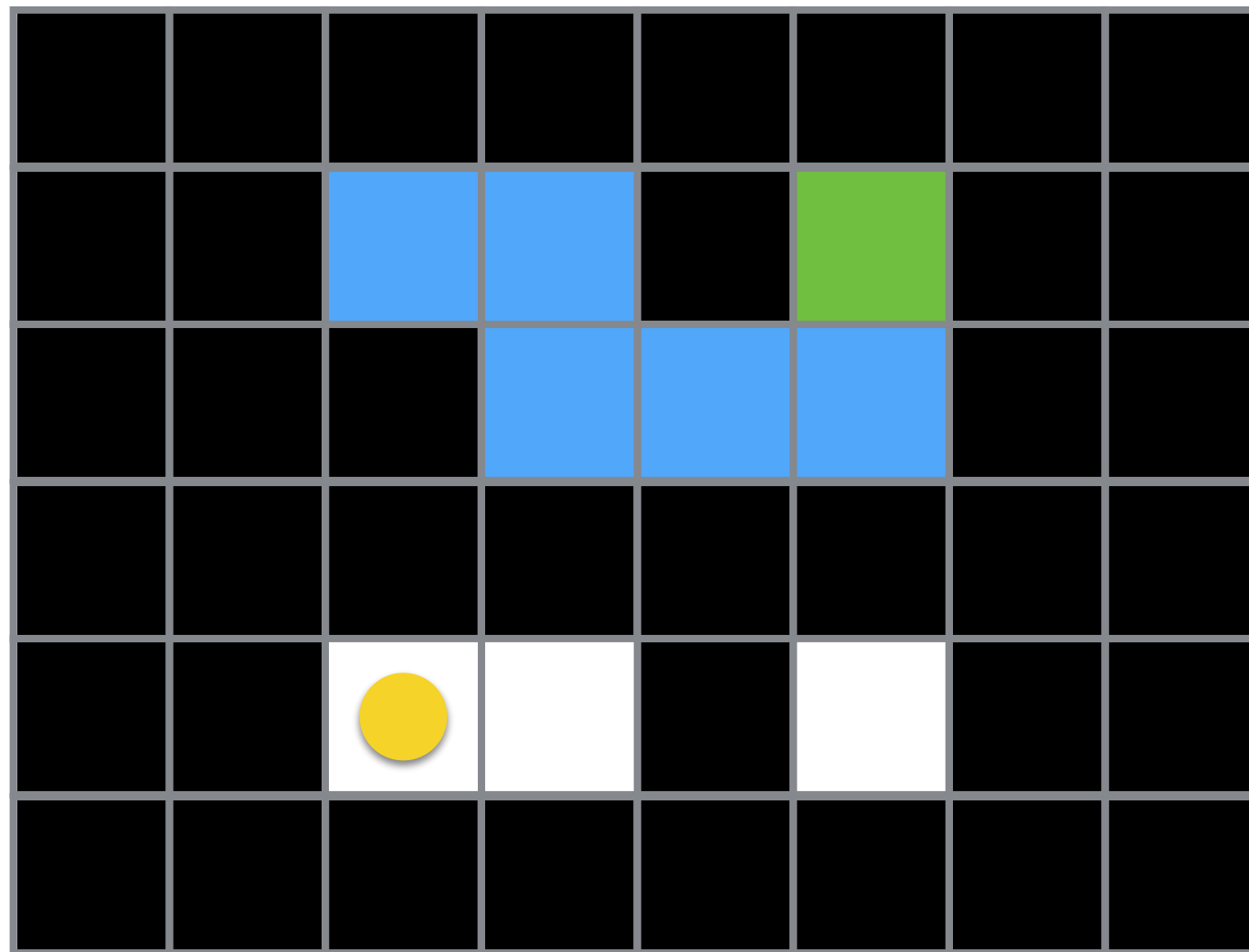


In this case, we choose the lowest label, and we store that 1 is equivalent to 2

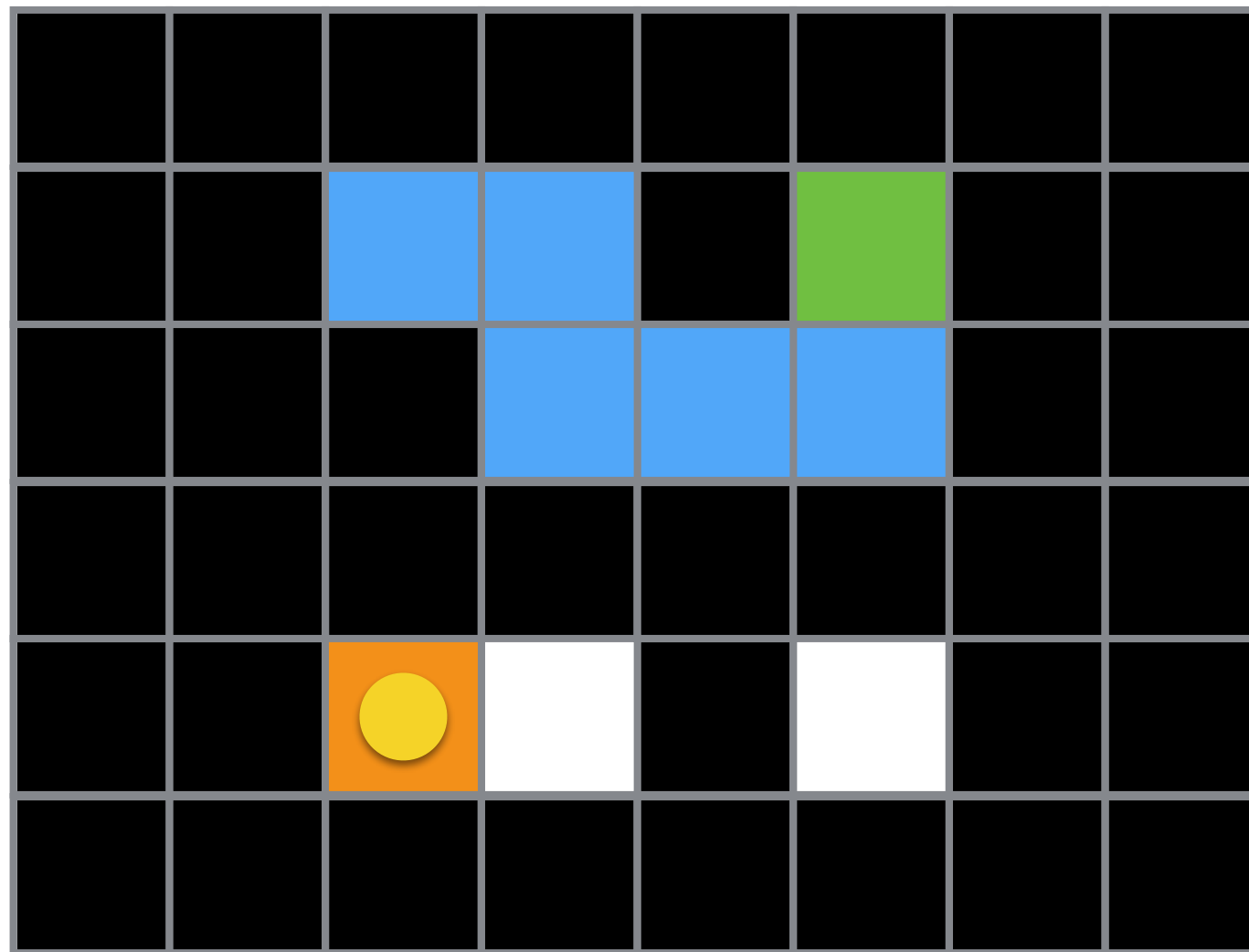
# Thresholding: Connected Components



# Thresholding: Connected Components

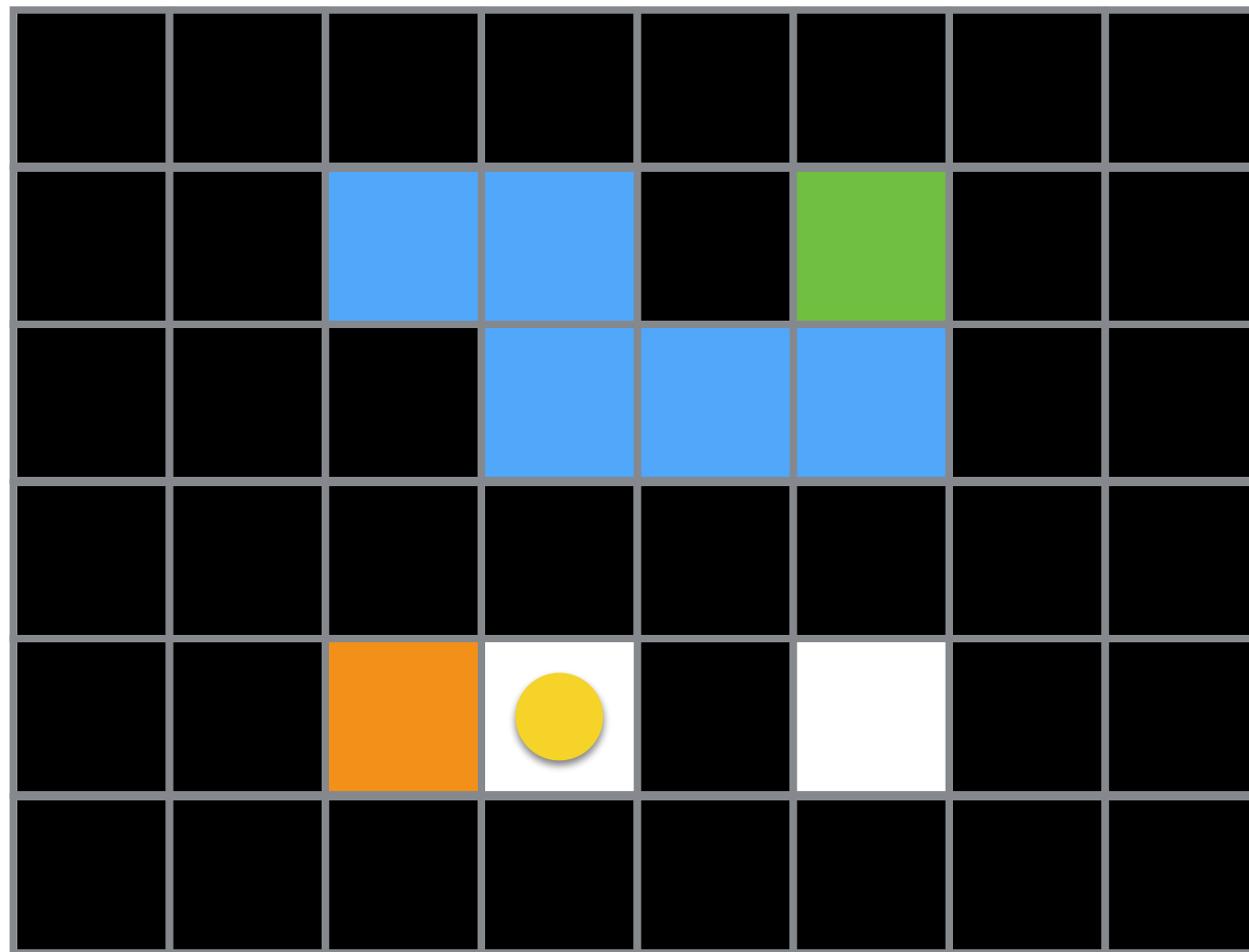


# Thresholding: Connected Components

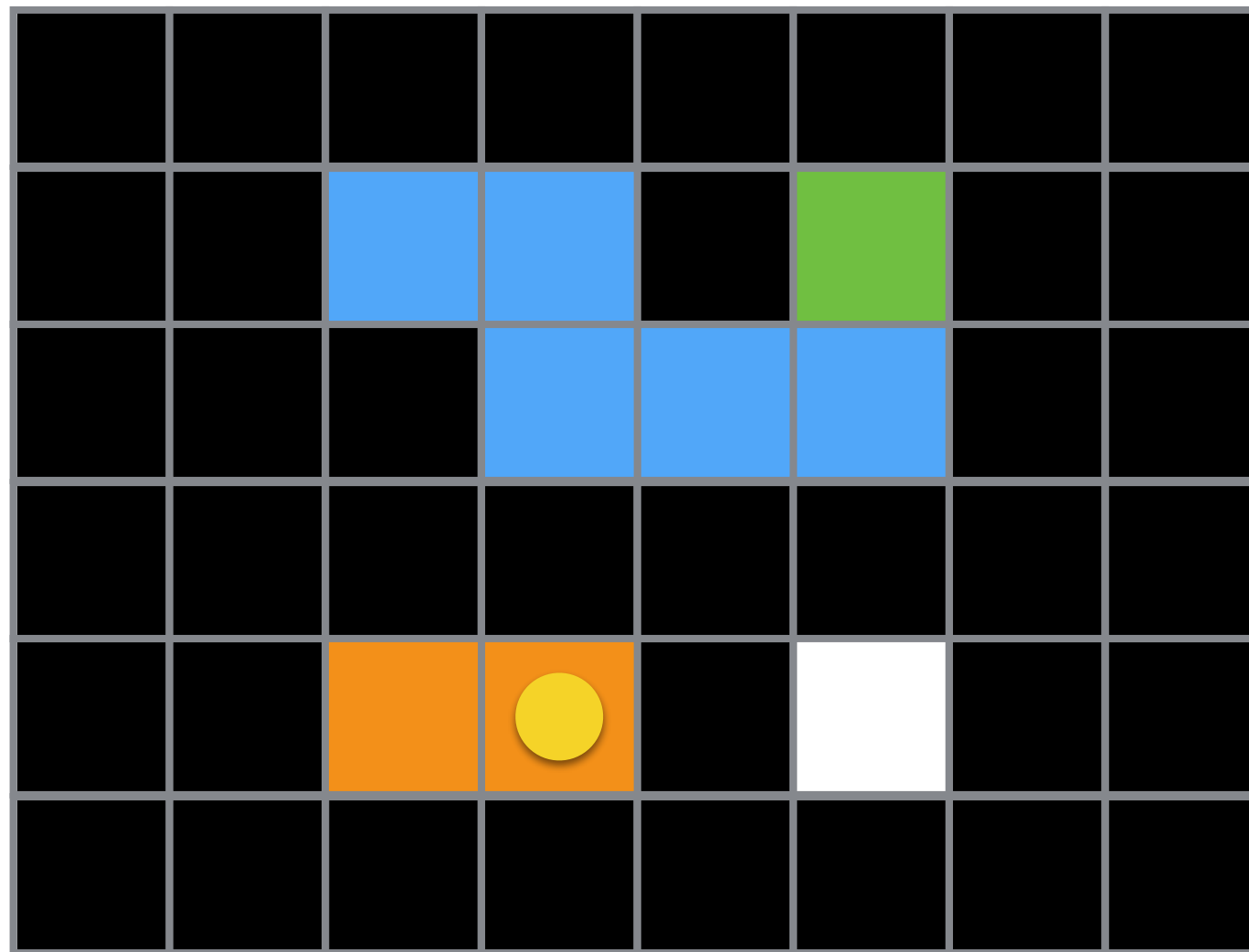




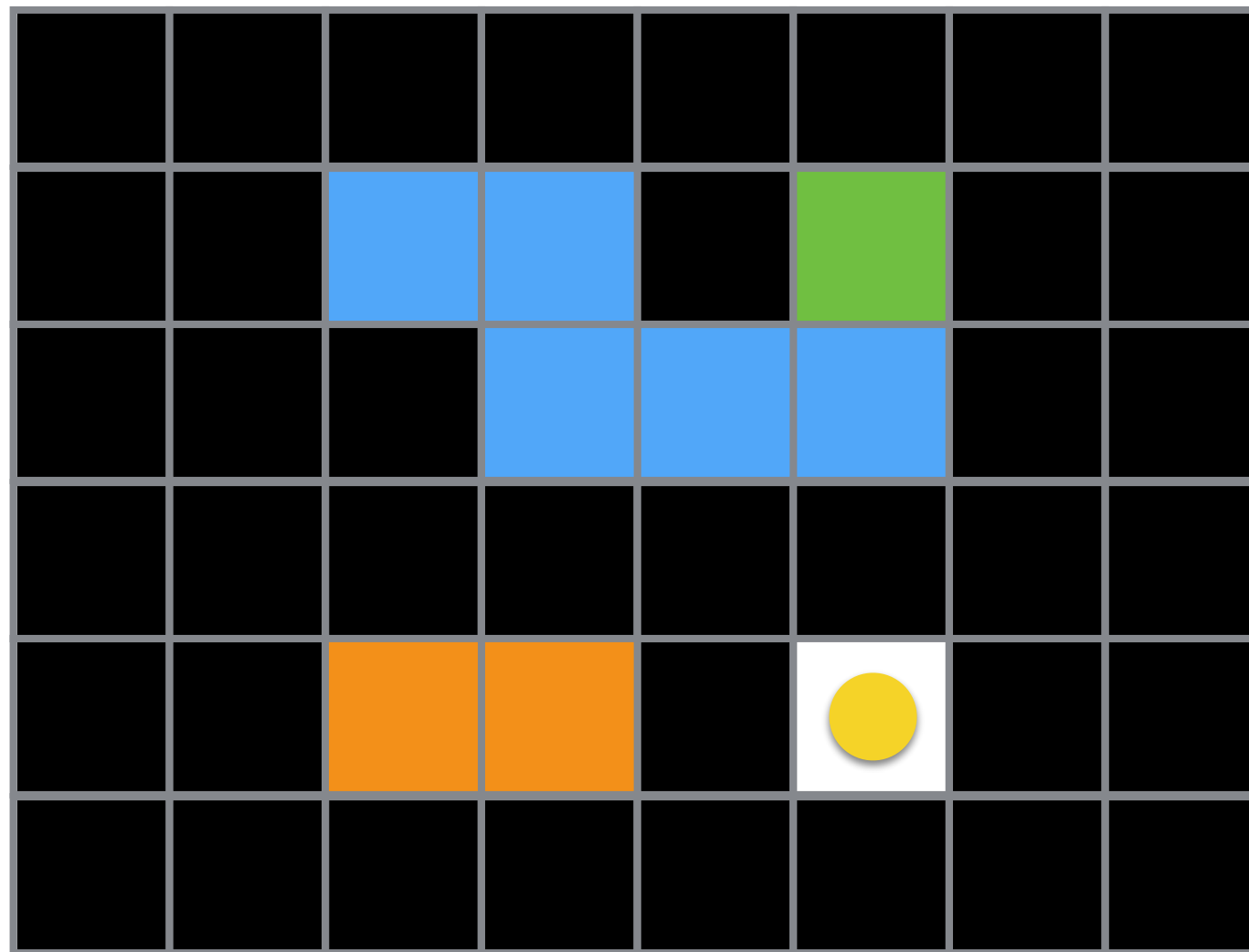
# Thresholding: Connected Components



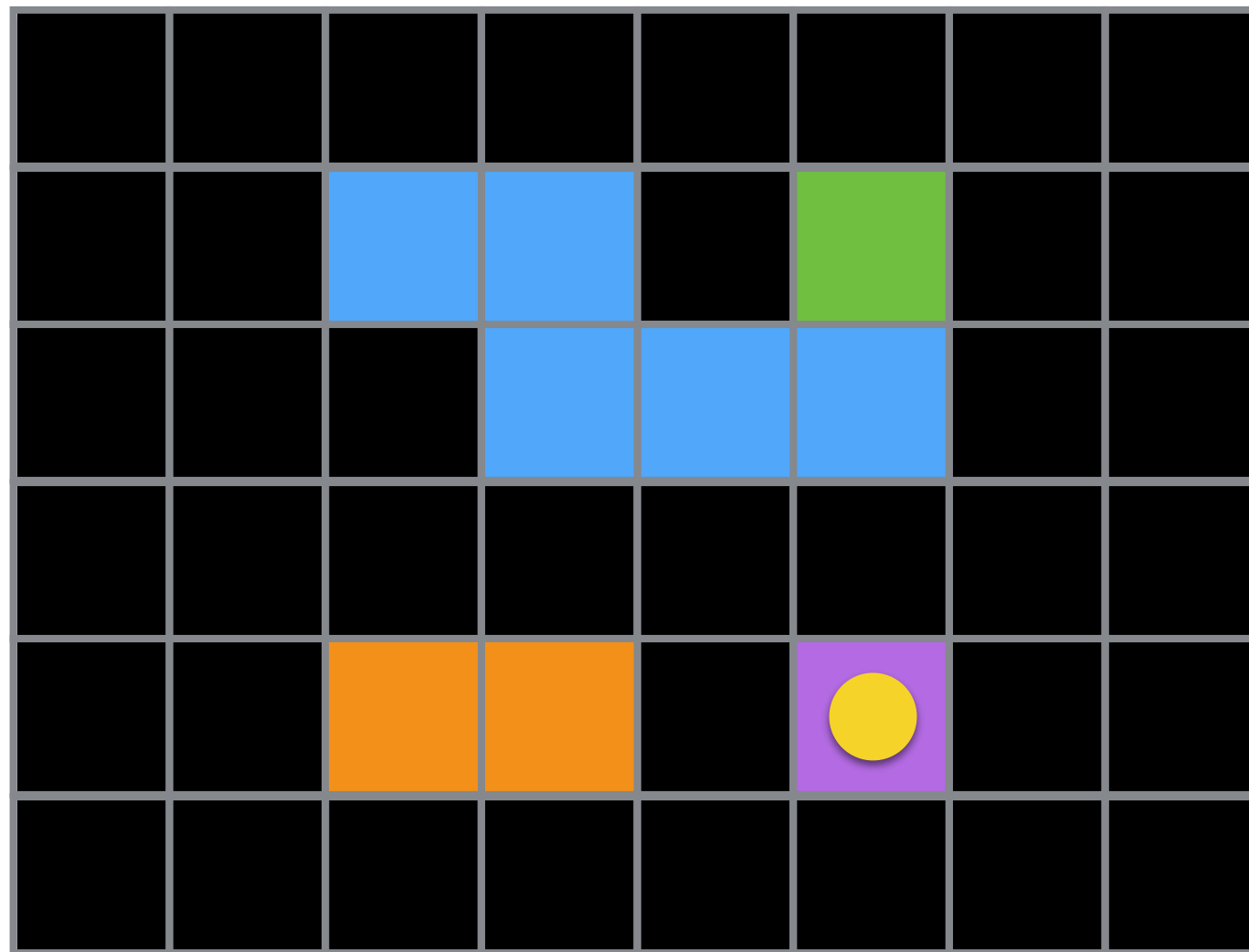
# Thresholding: Connected Components



# Thresholding: Connected Components

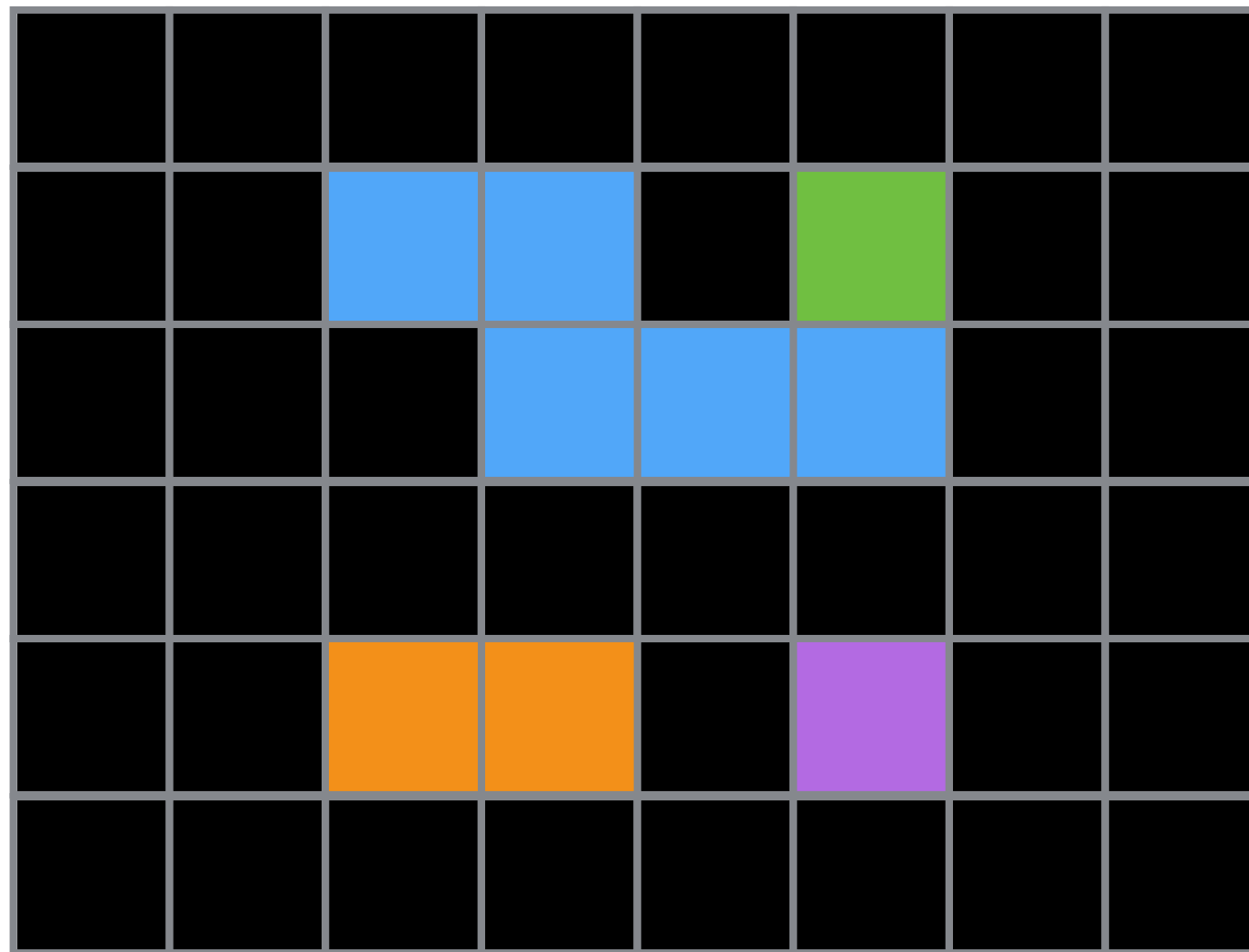
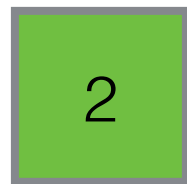
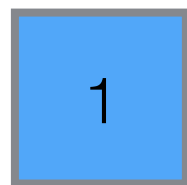


# Thresholding: Connected Components



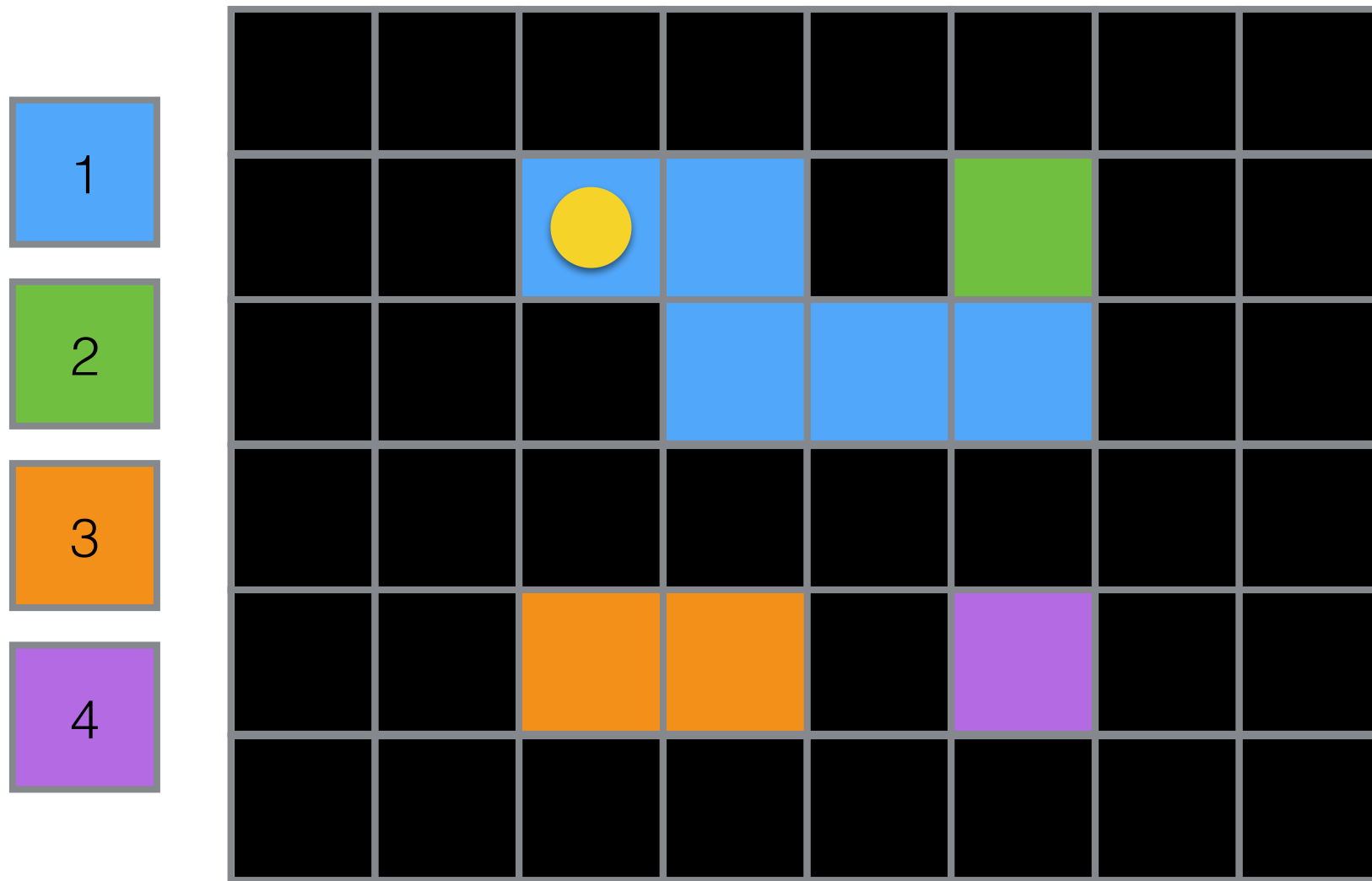
Second Pass

# Thresholding: Connected Components

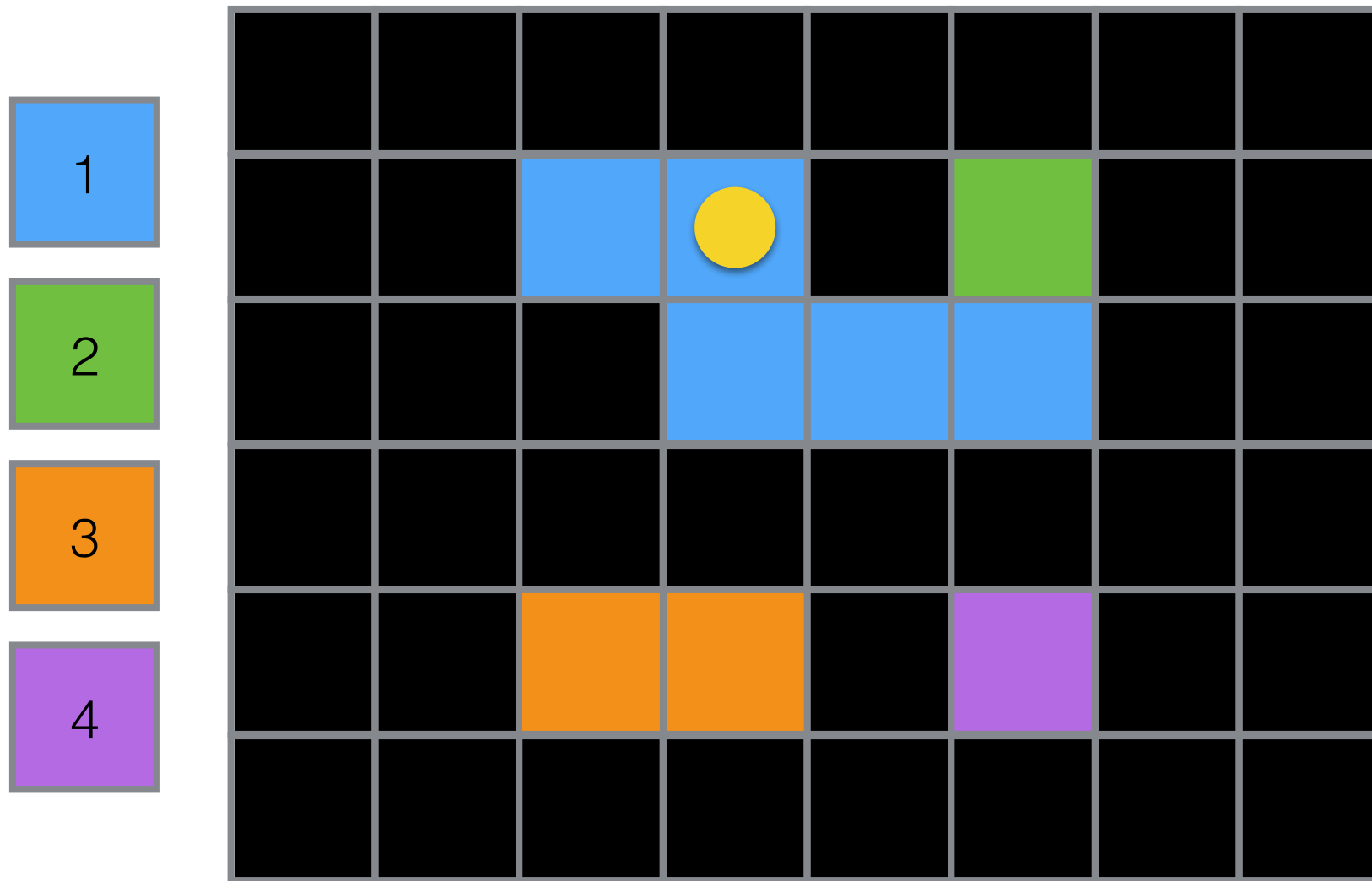


We go through all pixels. For each pixel we set the value of lowest equivalent.

# Thresholding: Connected Components

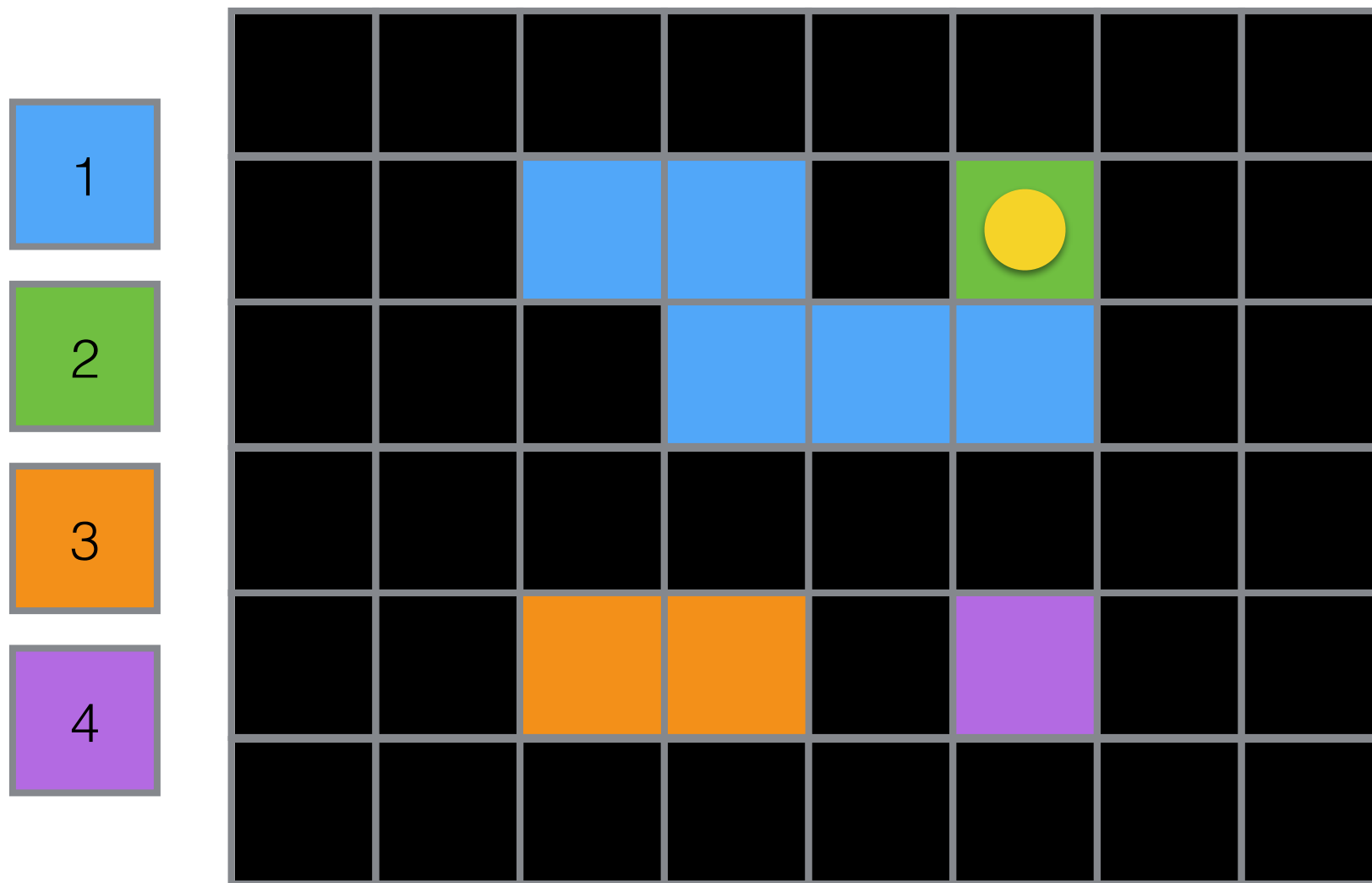


# Thresholding: Connected Components

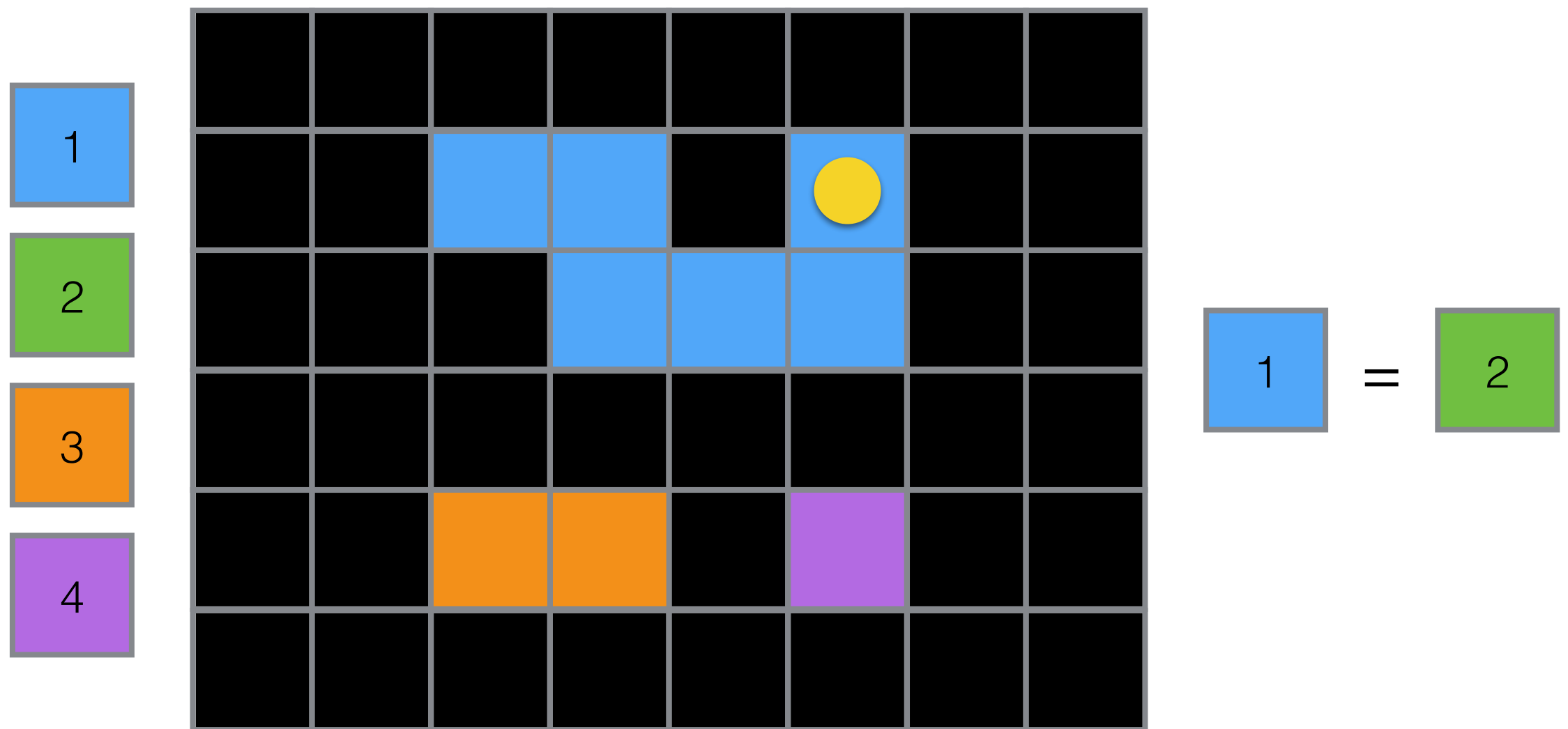




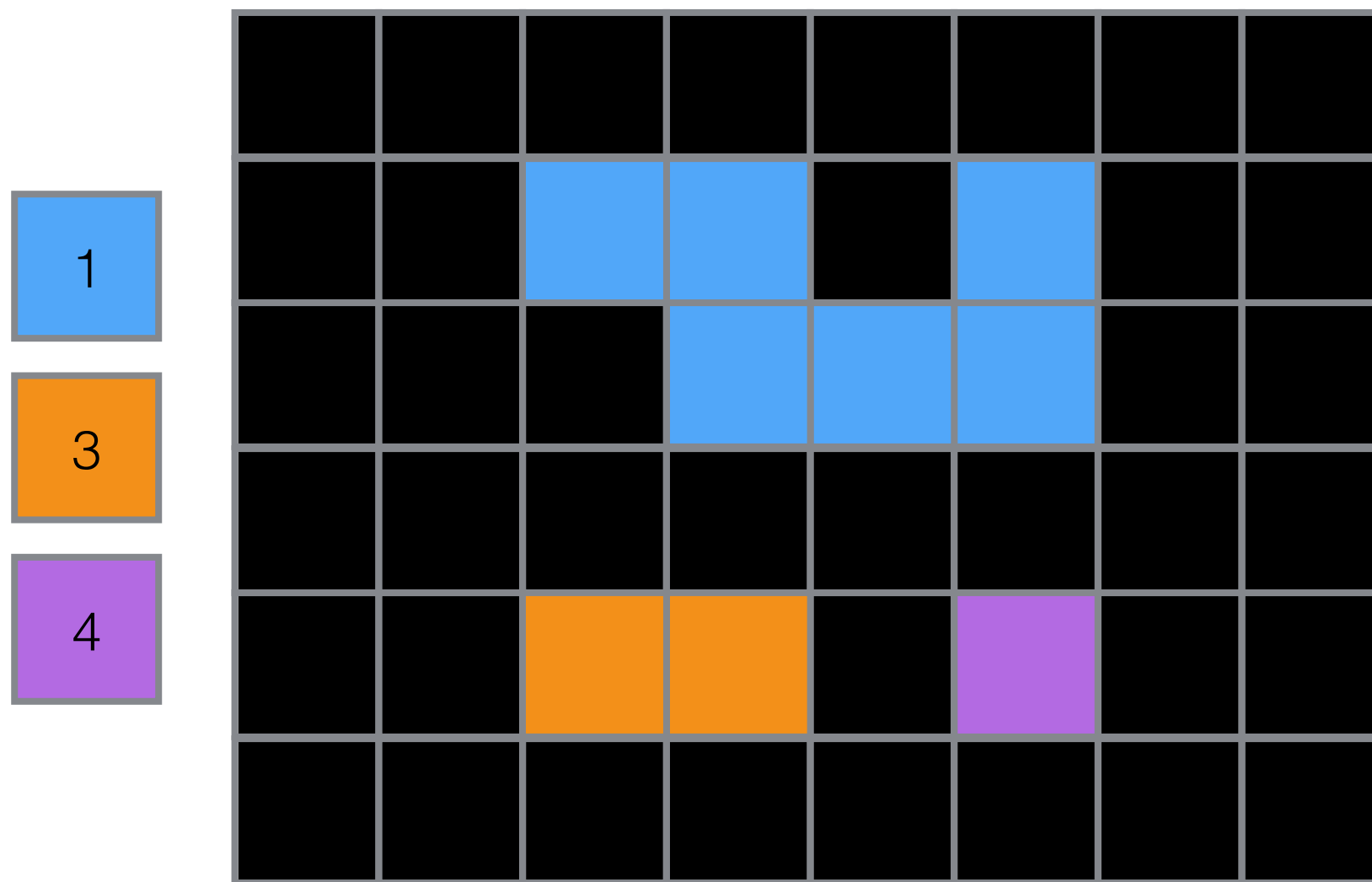
# Thresholding: Connected Components



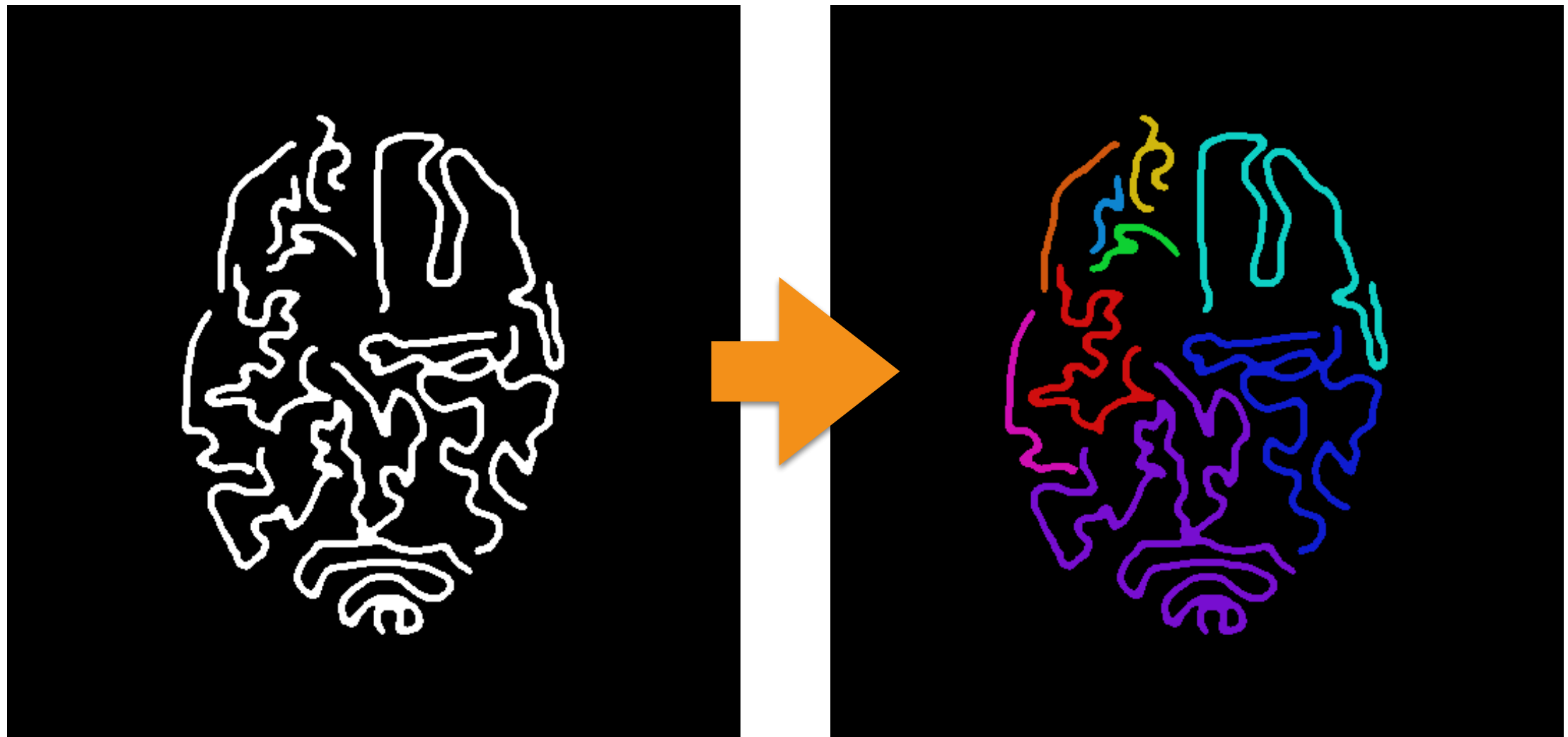
# Thresholding: Connected Components



# Thresholding: Connected Components



# Thresholding: Connected Components Example



# Thresholding

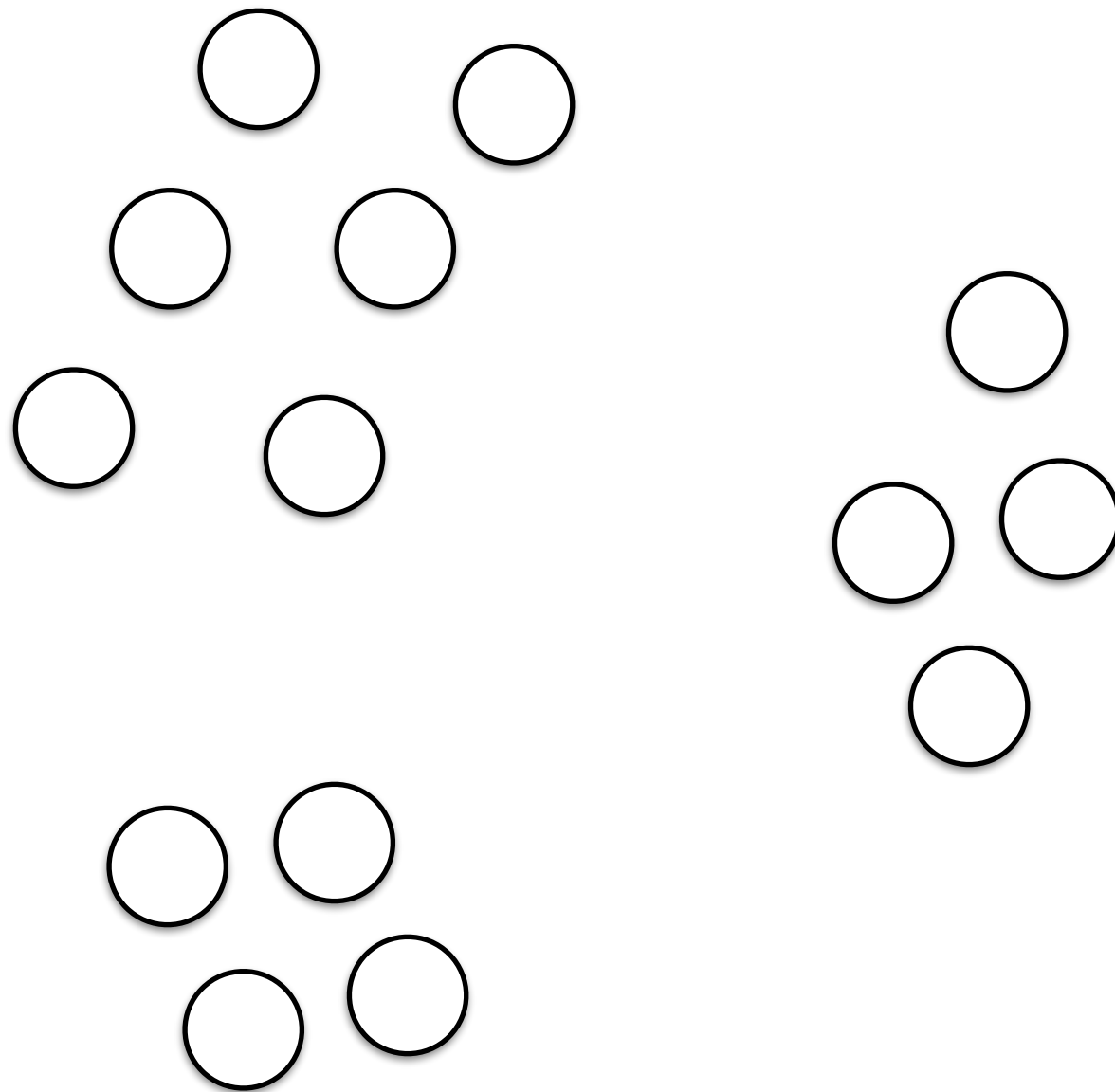
- It works if each object has a unique intensity value/color; this is a very limiting constraint!
  - However, it could be used as a starting point for other algorithms.
- The user needs to set the threshold!
  - The  $I_t$  value for each class may be inferred by analyzing the histogram of the input image.
- Its 3D extension is trivial!

*k*-Means

# $k$ -Means

- $k$ -means is a clustering algorithm for clustering  $n$ -D vectors/points in an  $n$ -D space:
  - A pixel with position  $(x, y)$  and intensity  $l$  is a 3D vector:  $\langle x, y, l \rangle$
  - A voxel with position  $(x, y, z)$  and intensity  $l$  is a 4D vector:  $\langle x, y, z, l \rangle$
- Let's assume we have  $k$  objects in the image.
- So we have to determine  $k$ -clusters.

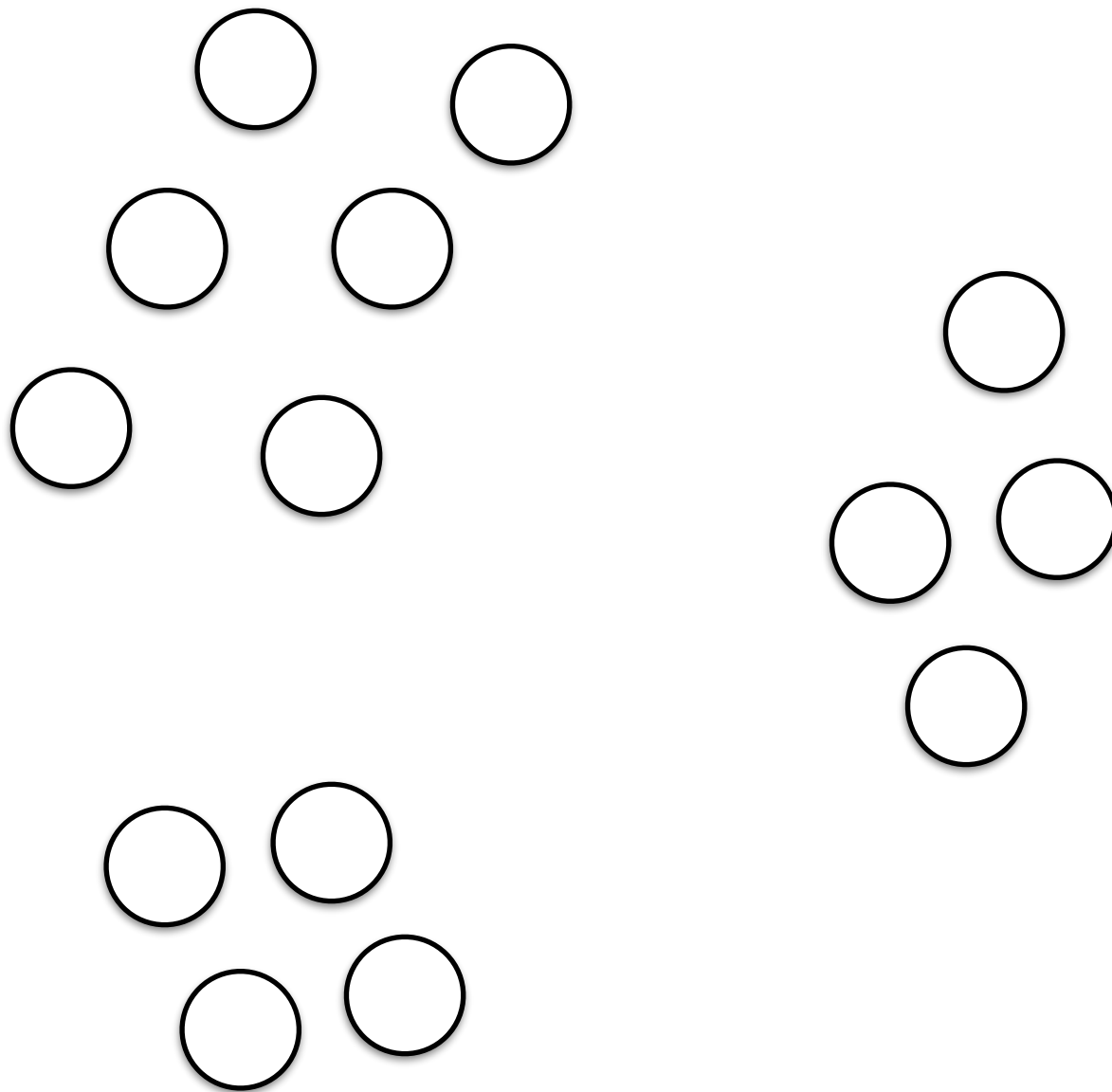
# $k$ -Means: How it Works



- A 2D example

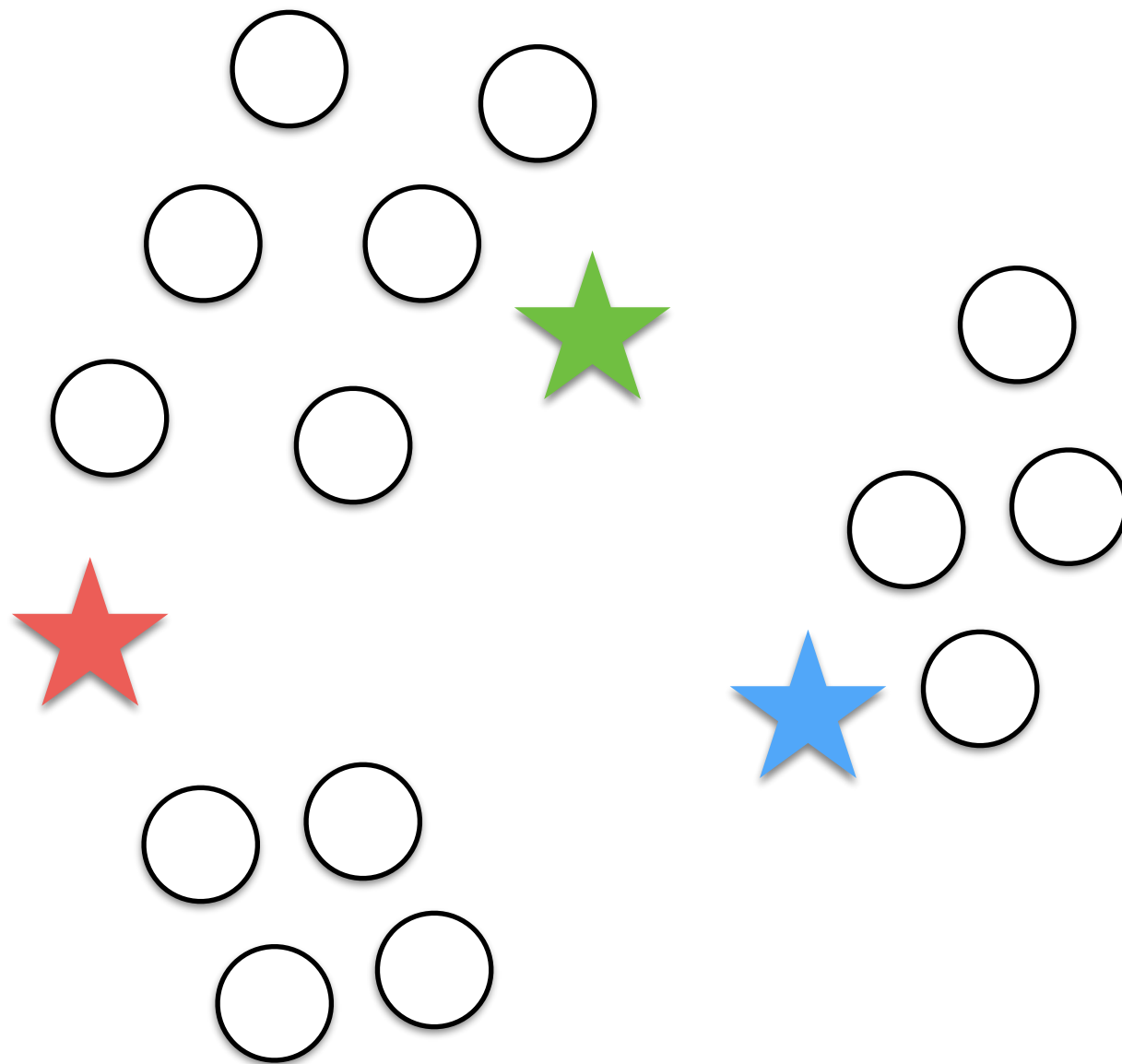


# $k$ -Means: Initialization



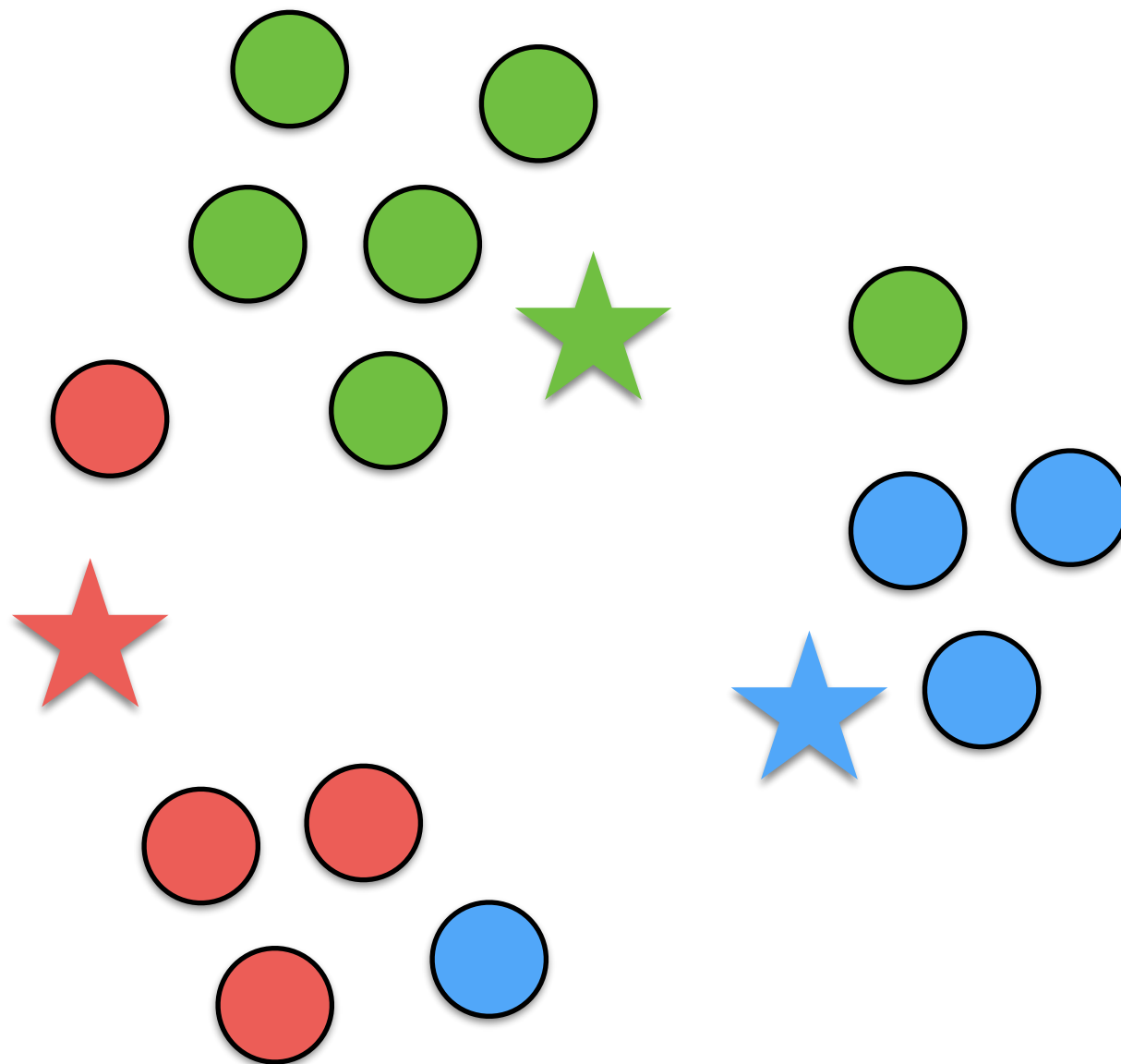
- Let's assume  $k = 3$
- We make a random guess on the  $k$ -centroids; i.e., the stars.

# $k$ -Means: Initialization



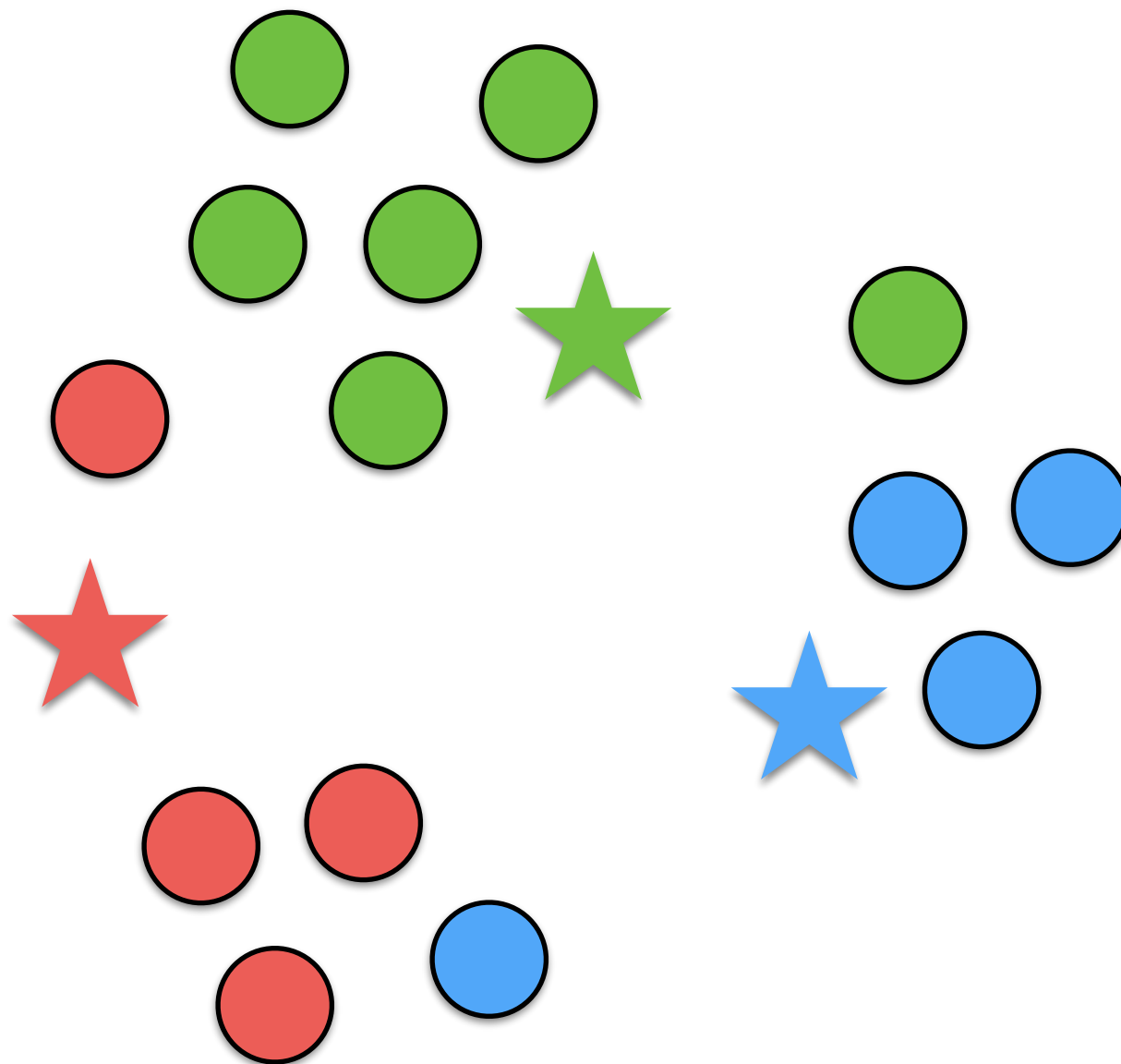
- Let's assume  $k = 3$
- We make a random guess on the  $k$ -centroids; i.e., the stars.

# $k$ -Means: Iteration



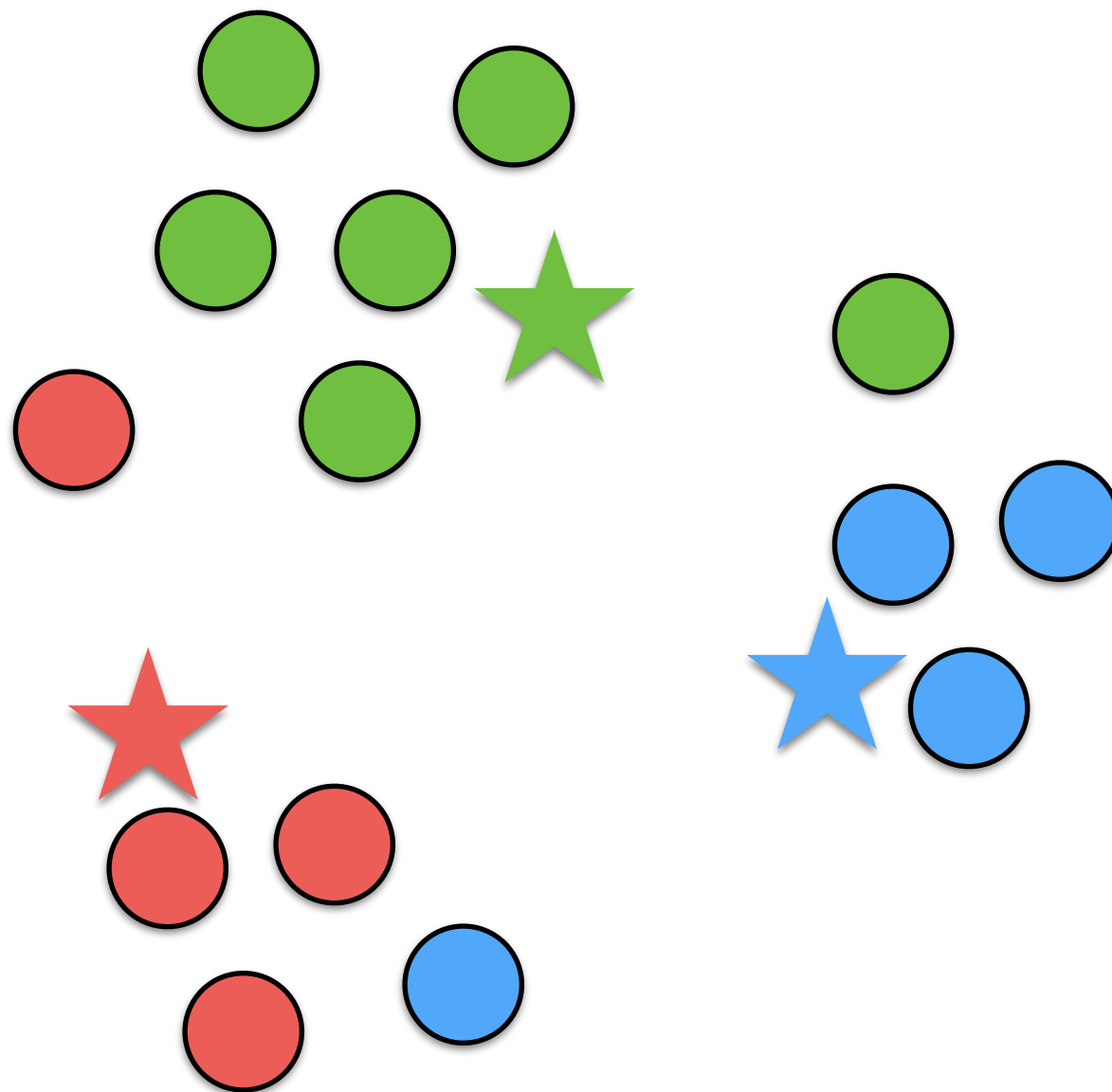
- We now assign a sample to a cluster if the distance (L1, L2, etc.), between a centroid is the minimum.

# $k$ -Means: Iteration



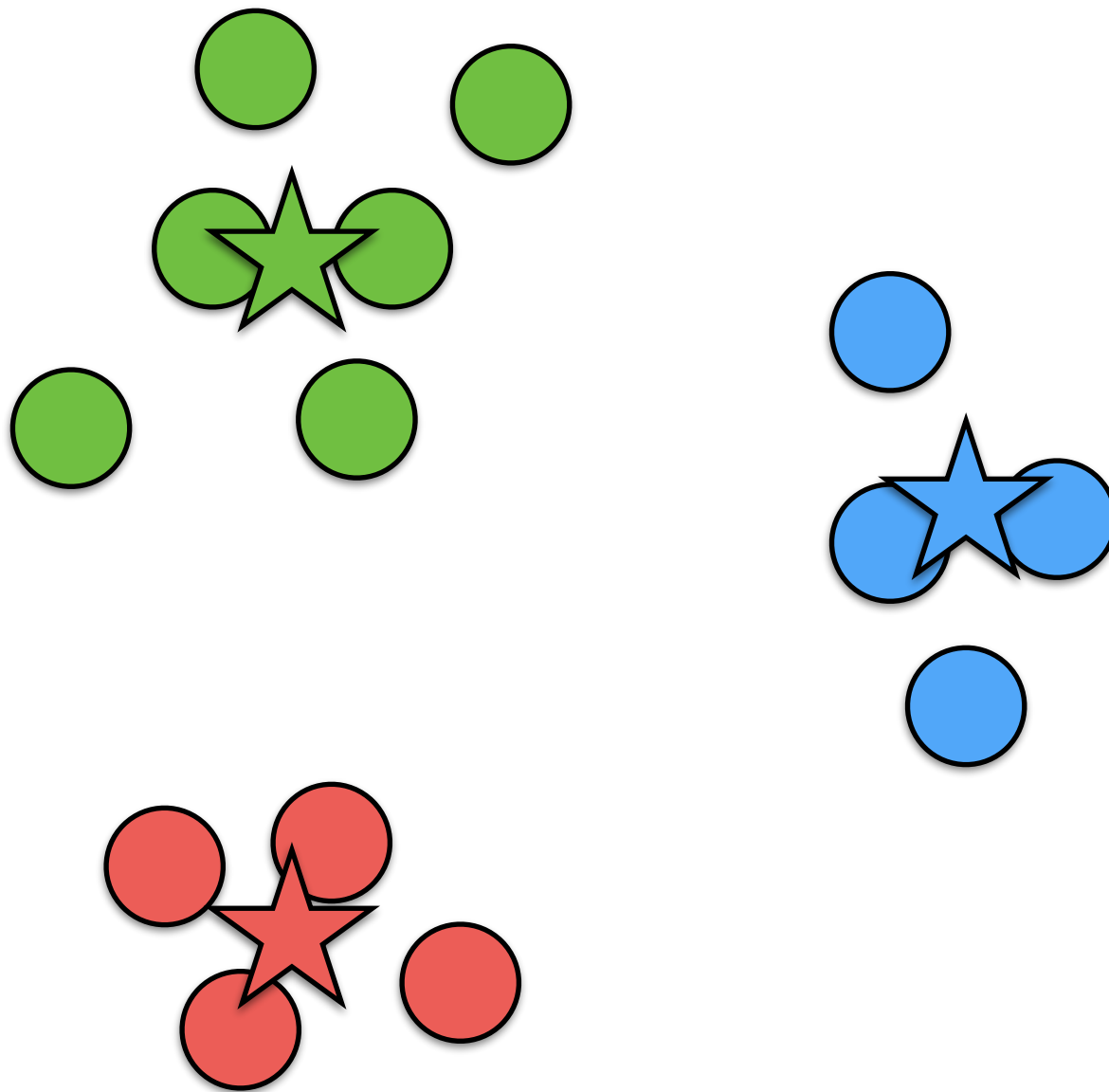
- We re-compute the centroid as the mean of samples of a cluster.

# $k$ -Means: Iteration



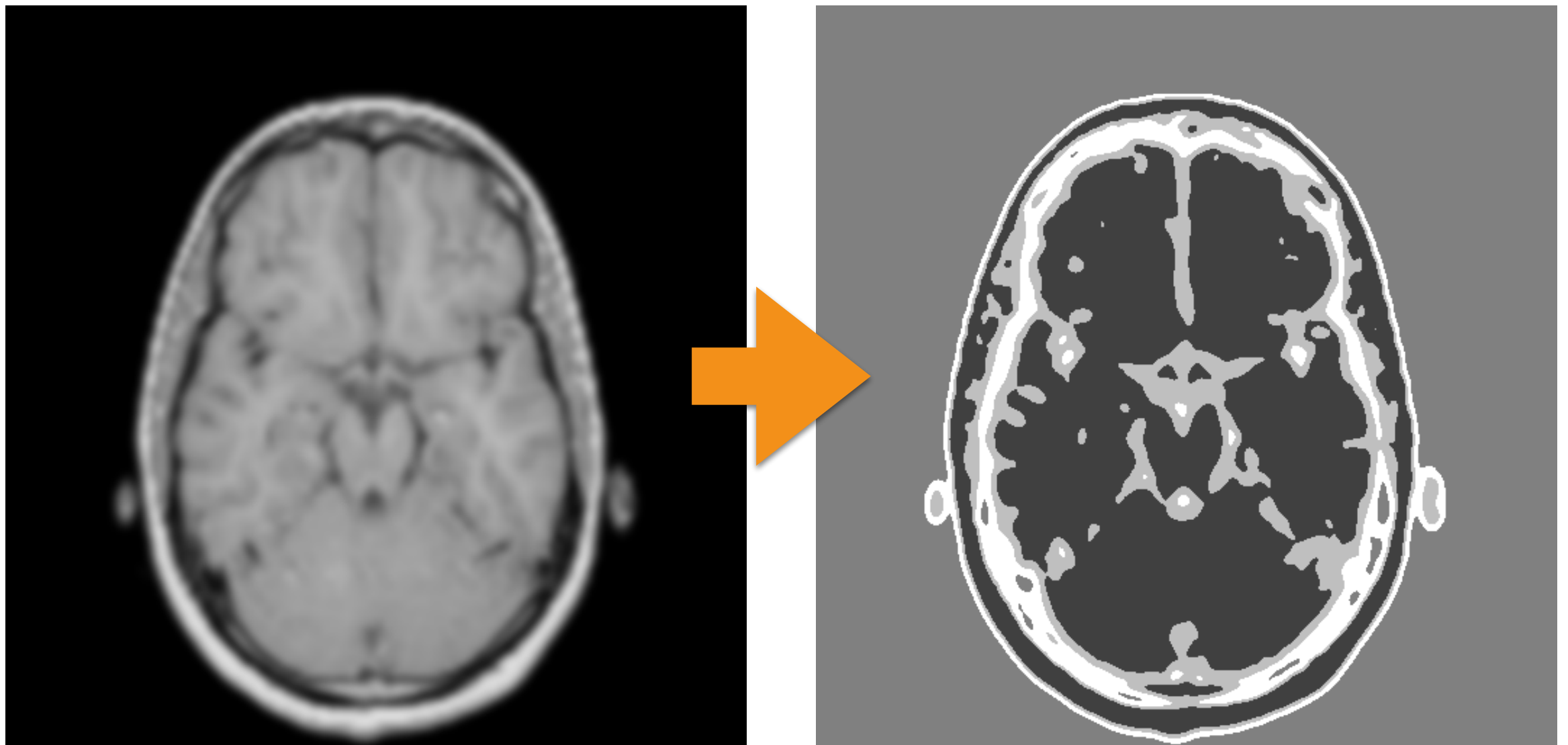
- We repeat the process until convergence (no more changes) or after  $m$  iterations.

# $k$ -Means: Iteration

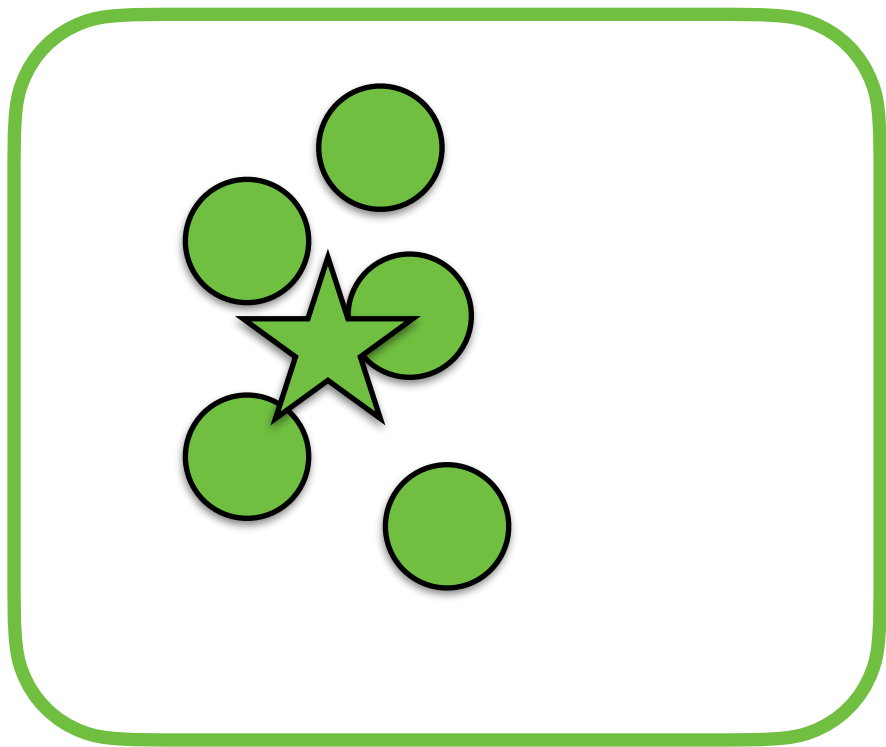


- We repeat the process until convergence (no more changes) or after  $m$  iterations.

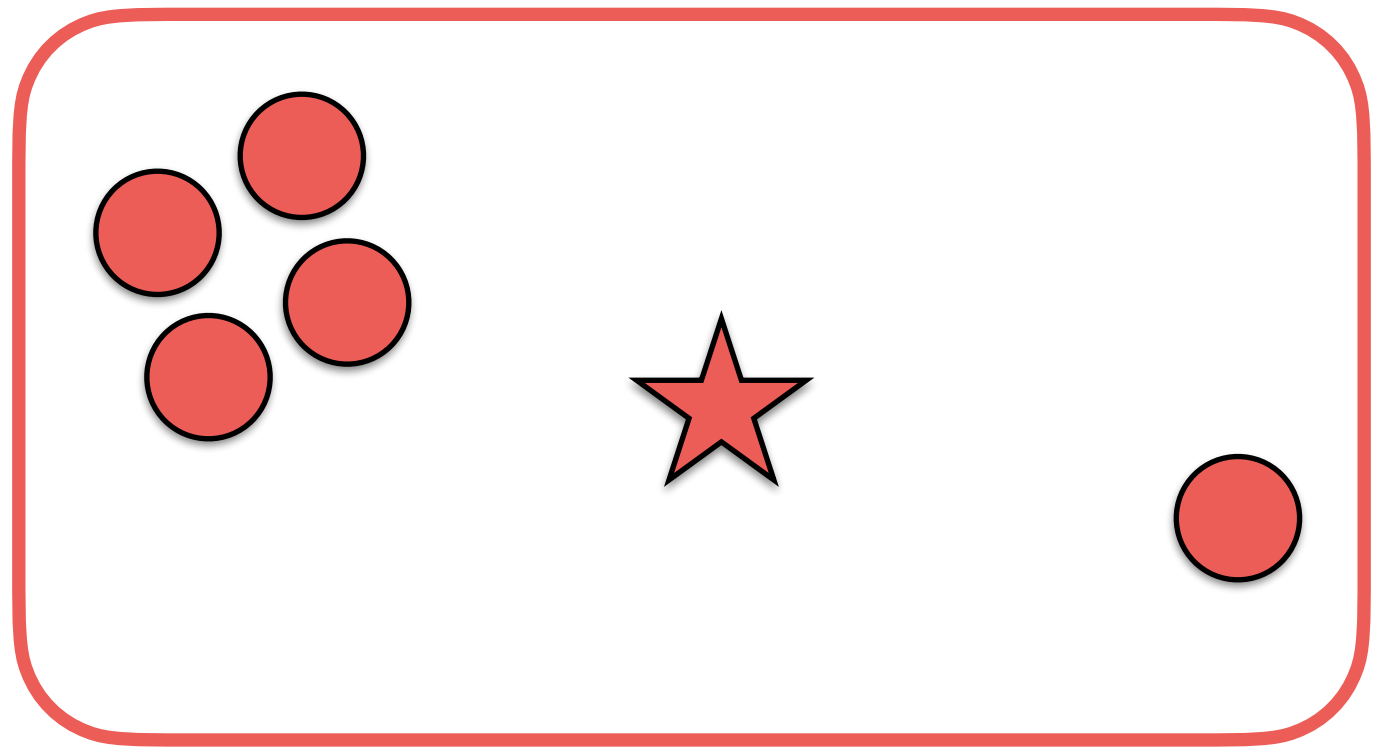
# $k$ -Means Example



# $k$ -Means: Outliers



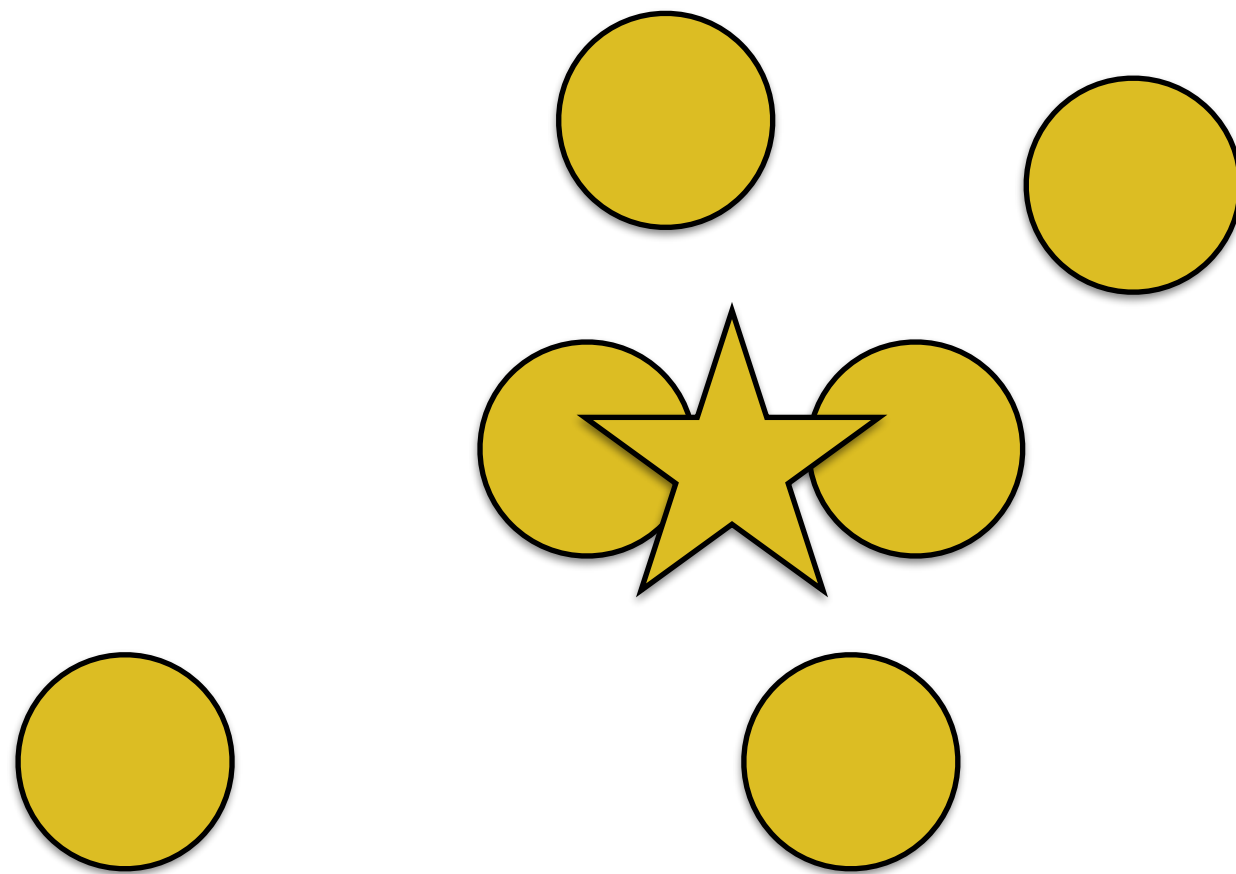
Cluster A



Cluster B

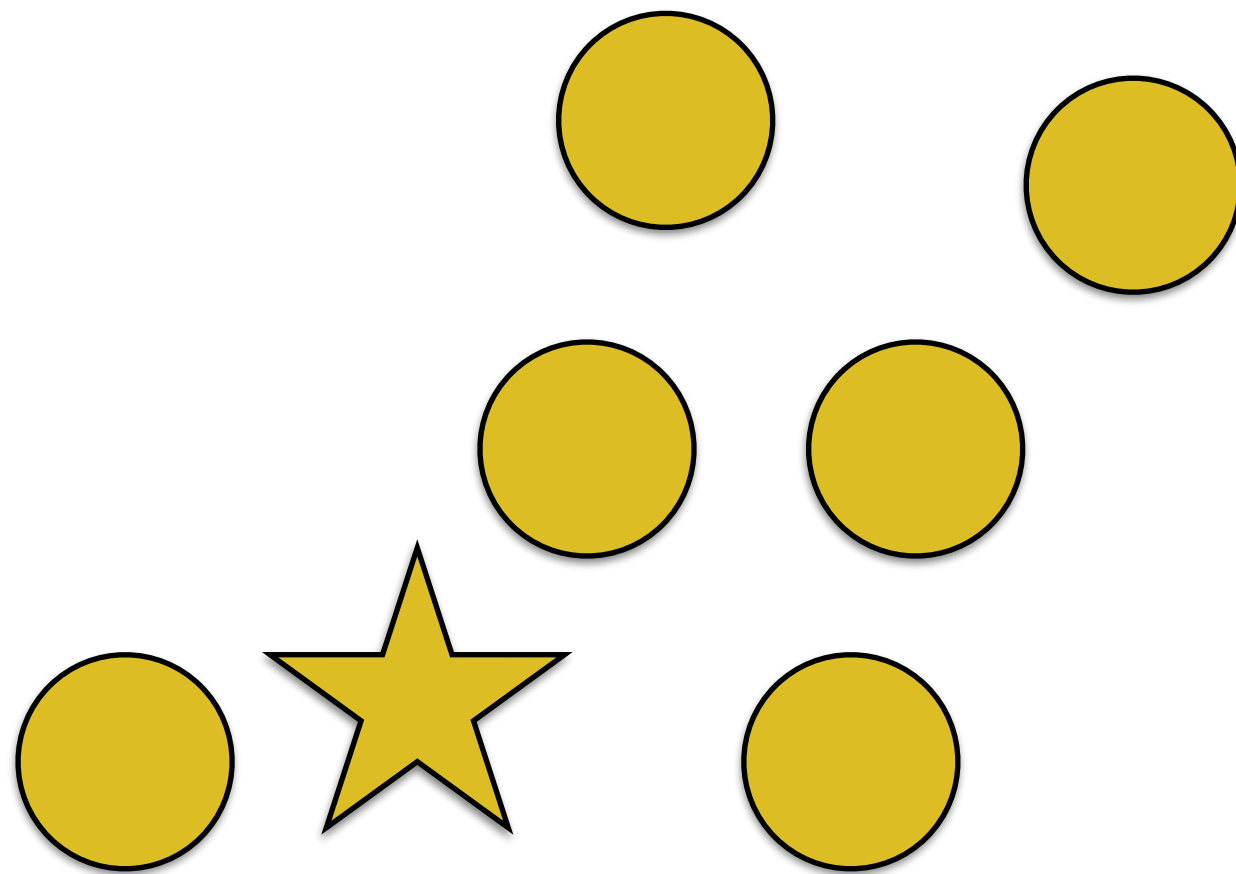


# $k$ -Means: Oscillation



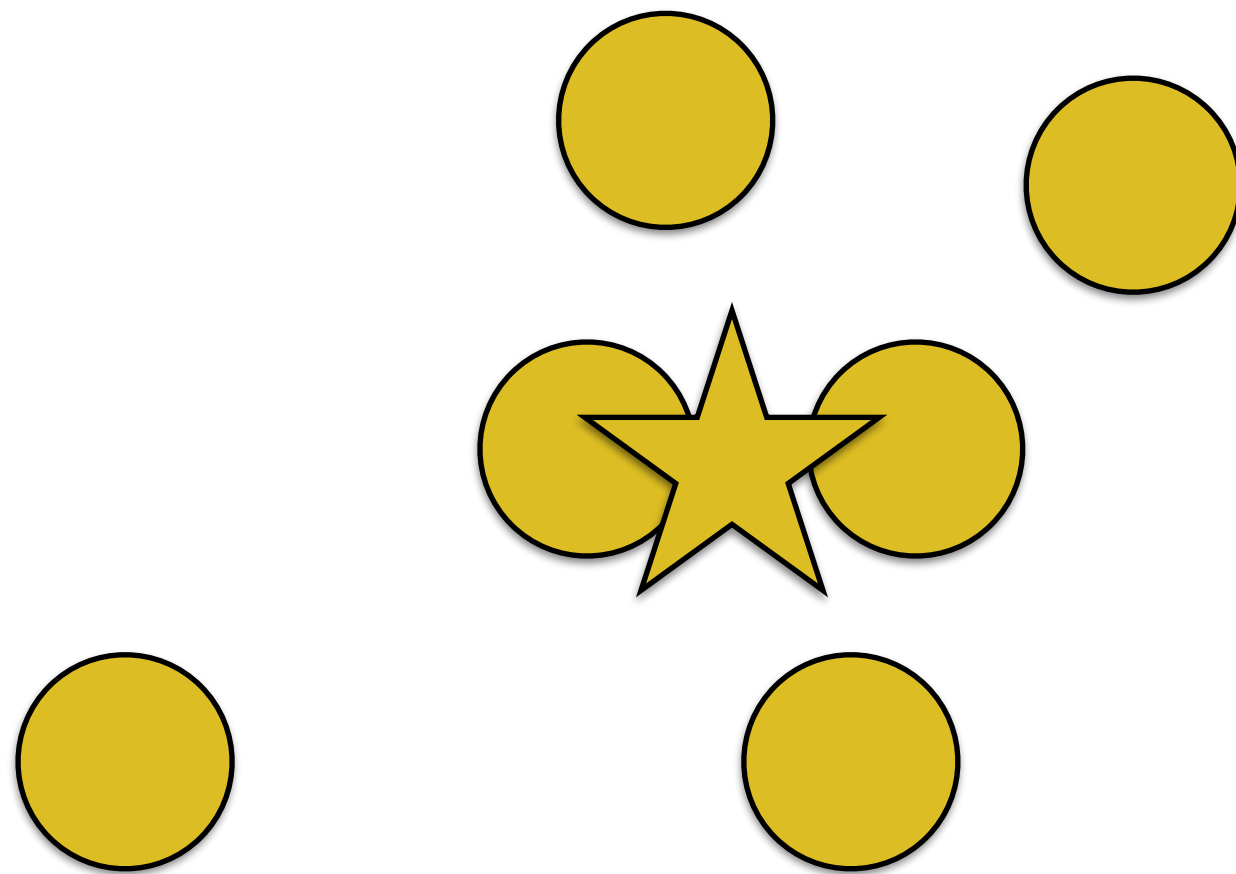
Even Iteration

# $k$ -Means: Oscillation



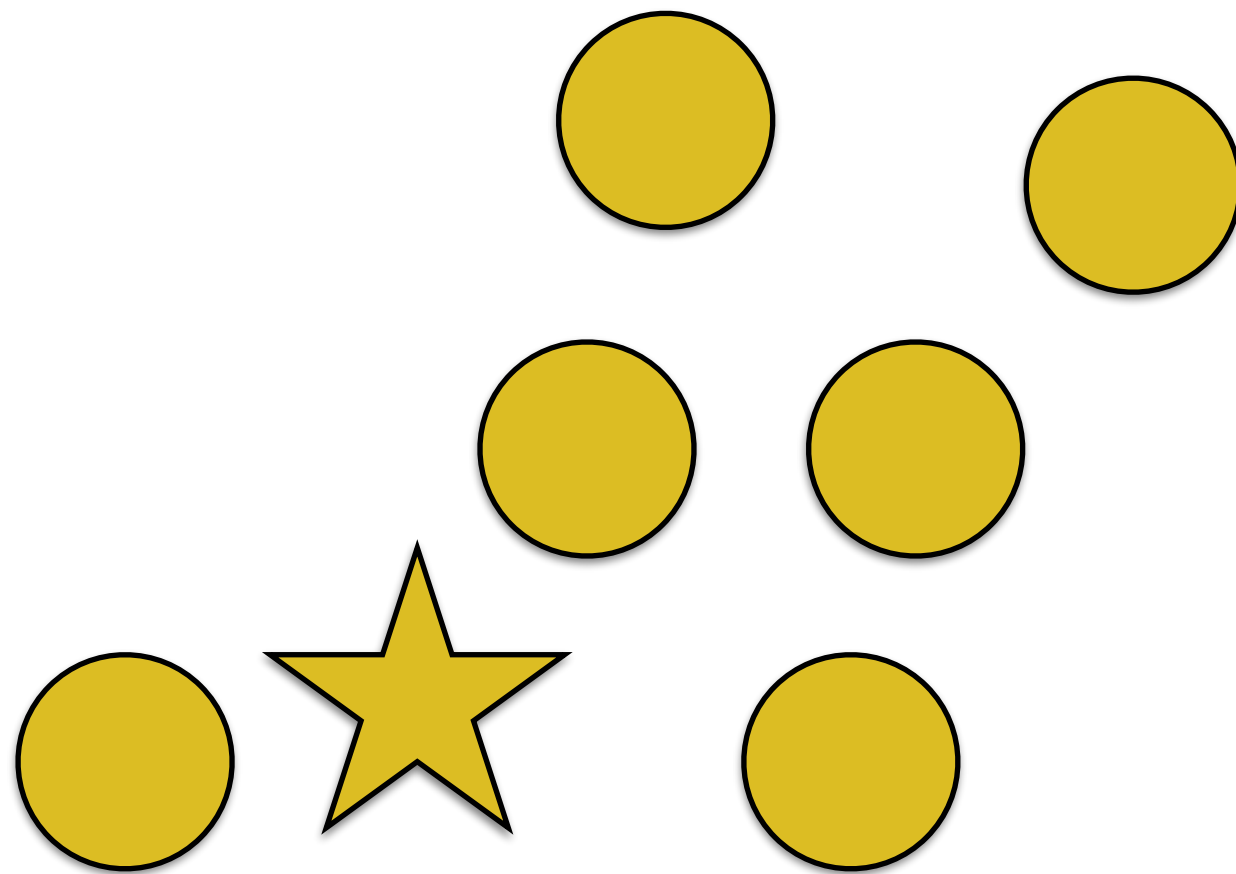
Odd Iteration

# $k$ -Means: Oscillation



Even Iteration

# $k$ -Means: Oscillation



Odd Iteration

# $k$ -Means: Advantages

- The method is fully automatic
- This works for 2D and 3D volumes
- This can “understand” neighbors in an implicit way

# $k$ -Means: Disadvantages

- We need to know how many objects (including the background) are in the image:
  - We may run k-means multiple times until a certain criterion is met (e.g., reaching the 80% of percentage of explained variance)
- Outliers:
  - better initialization (sampling)
- The method **may not** converge
  - we need to set a **maximum** number of iterations

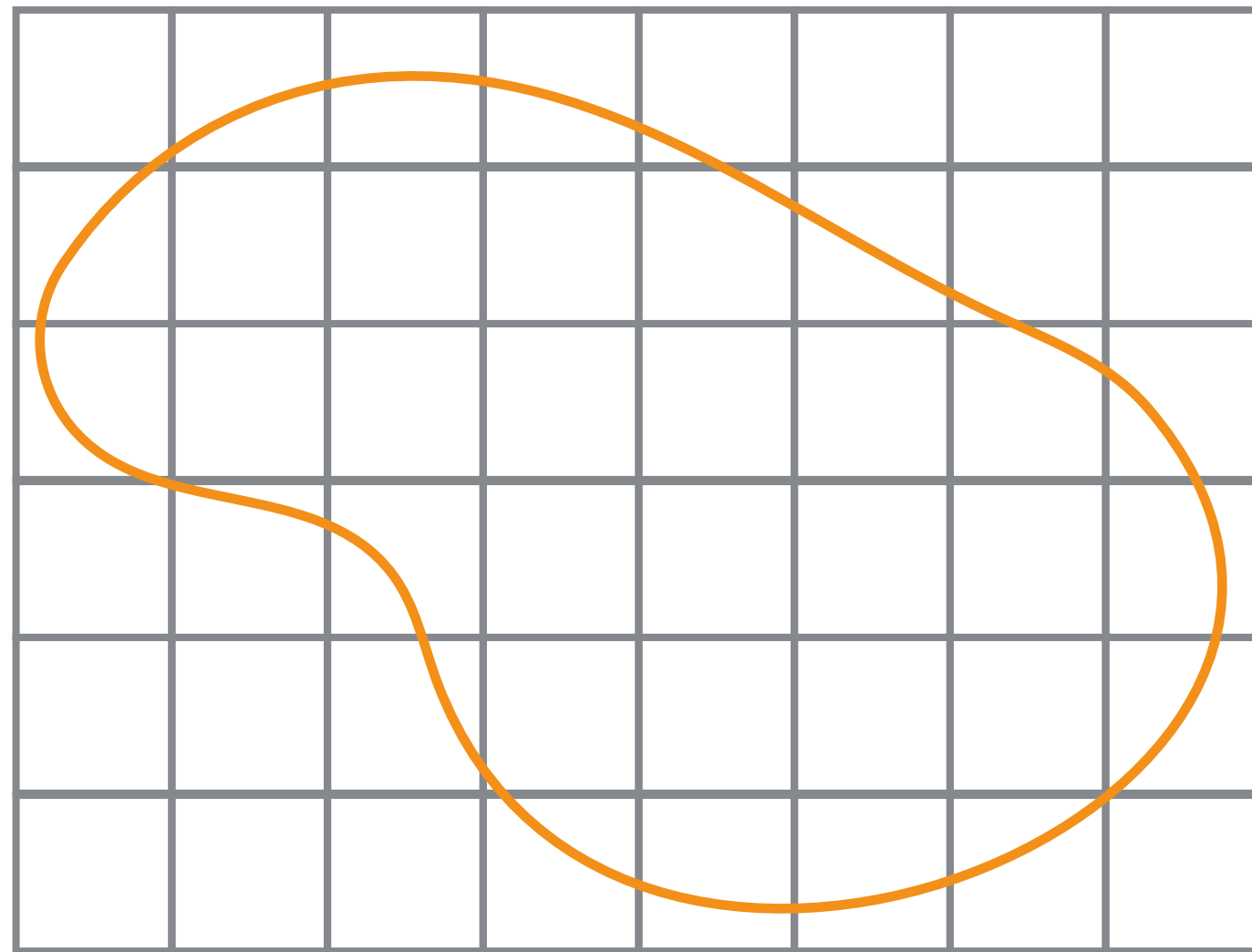
Region Growing

# Region Growing

- This algorithm expands a painted initial mask until it reaches strong edges
- Therefore, we need to compute edges first!

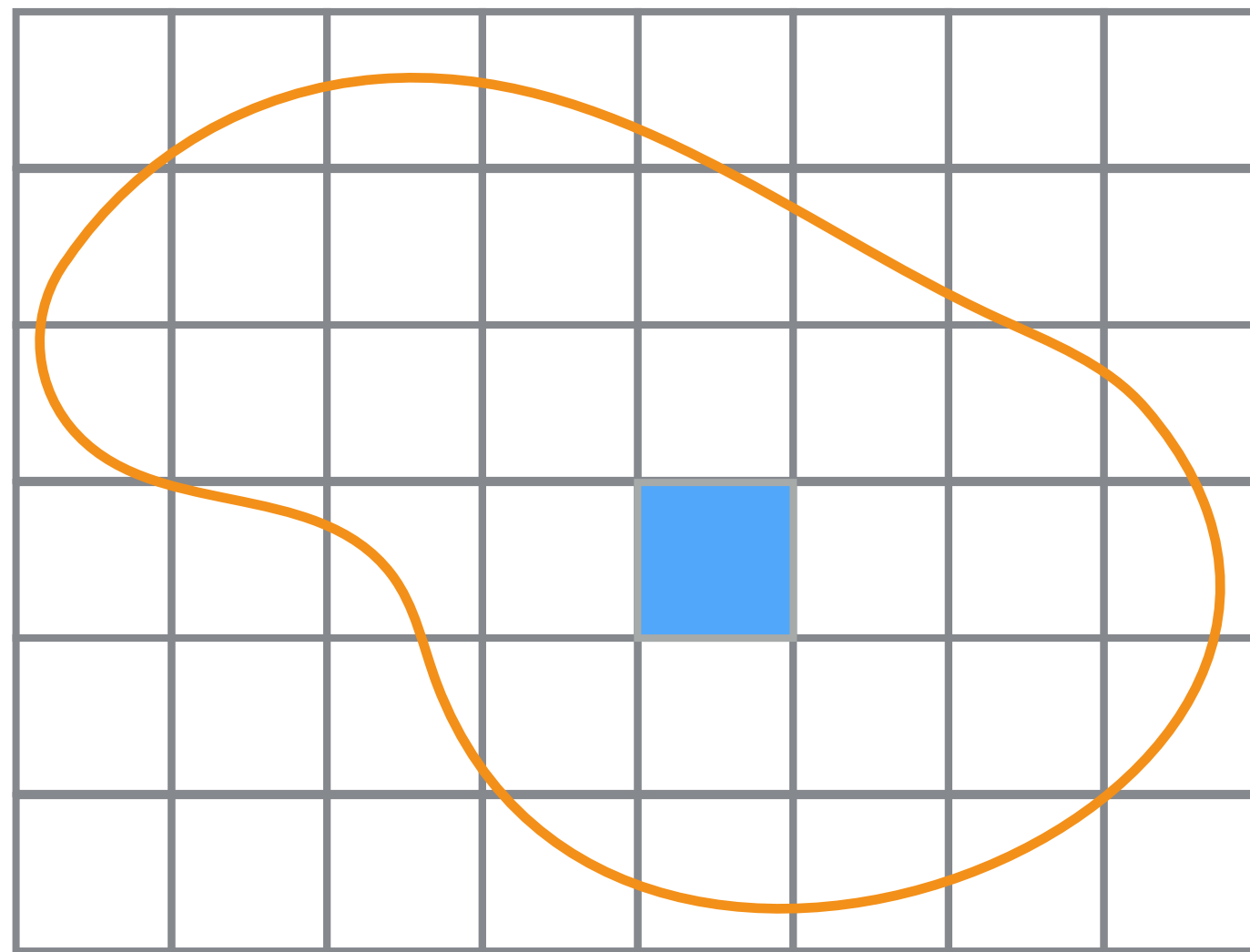


# Region Growing



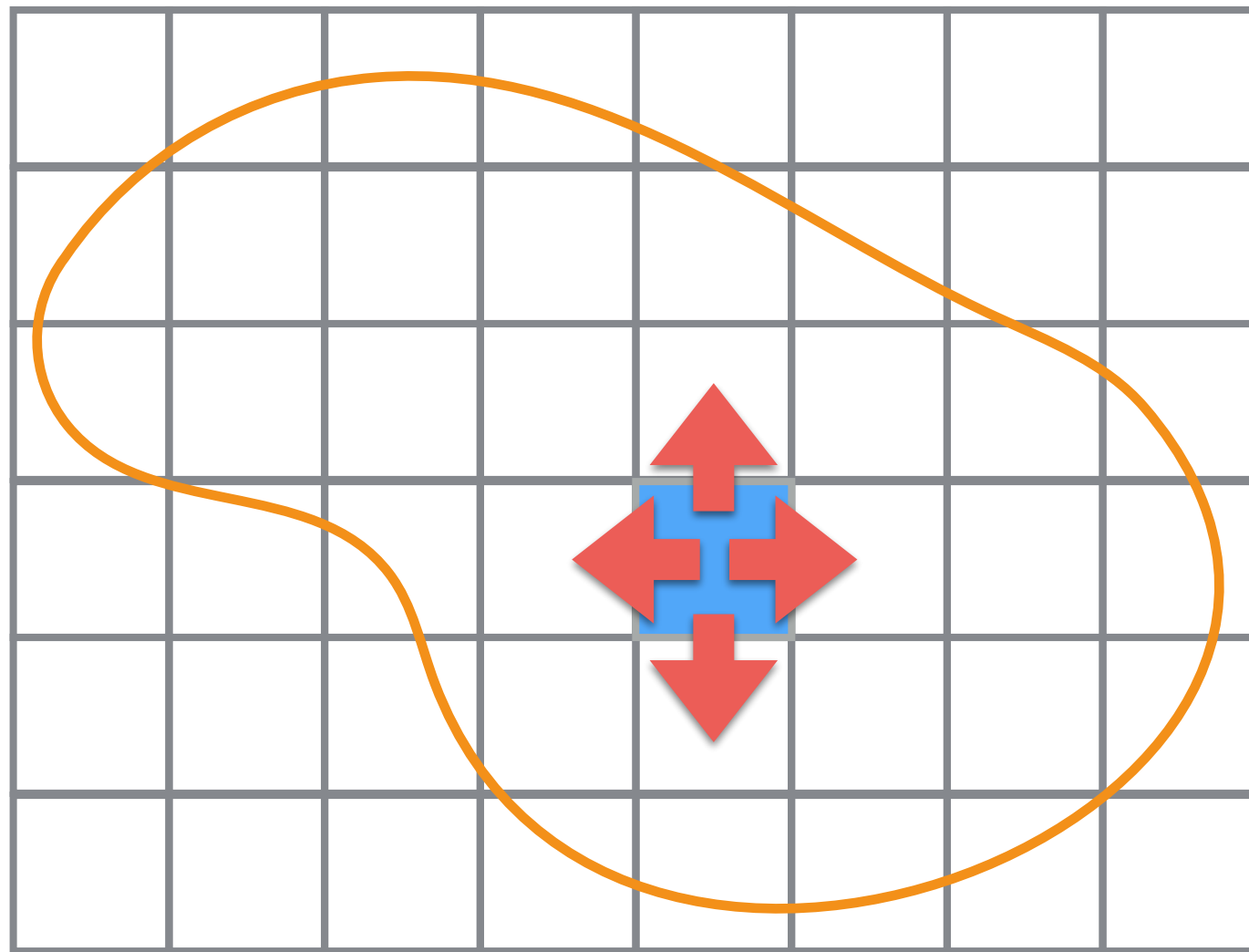
— Structure  
Edge

# Region Growing

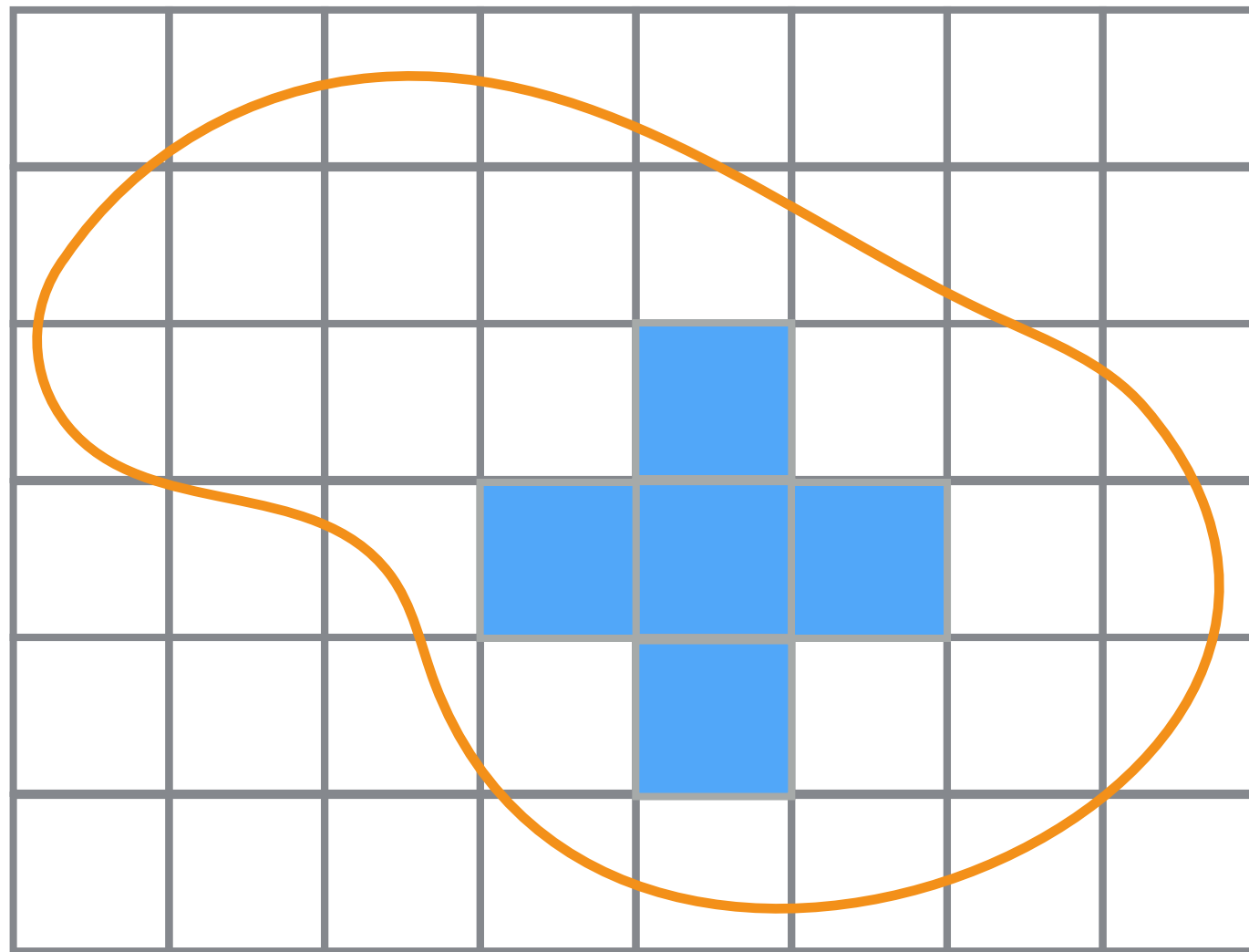


Seed

# Region Growing

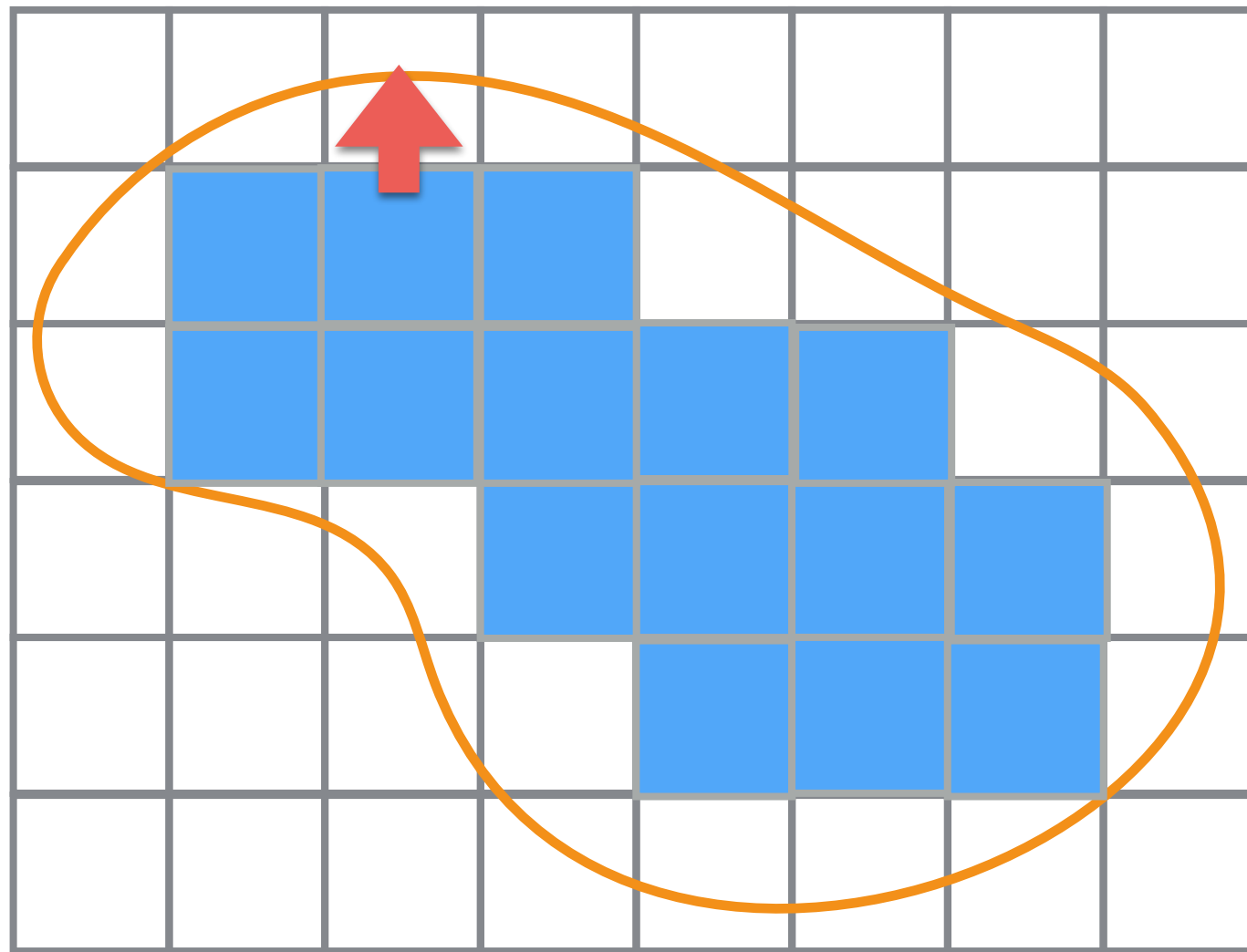


# Region Growing

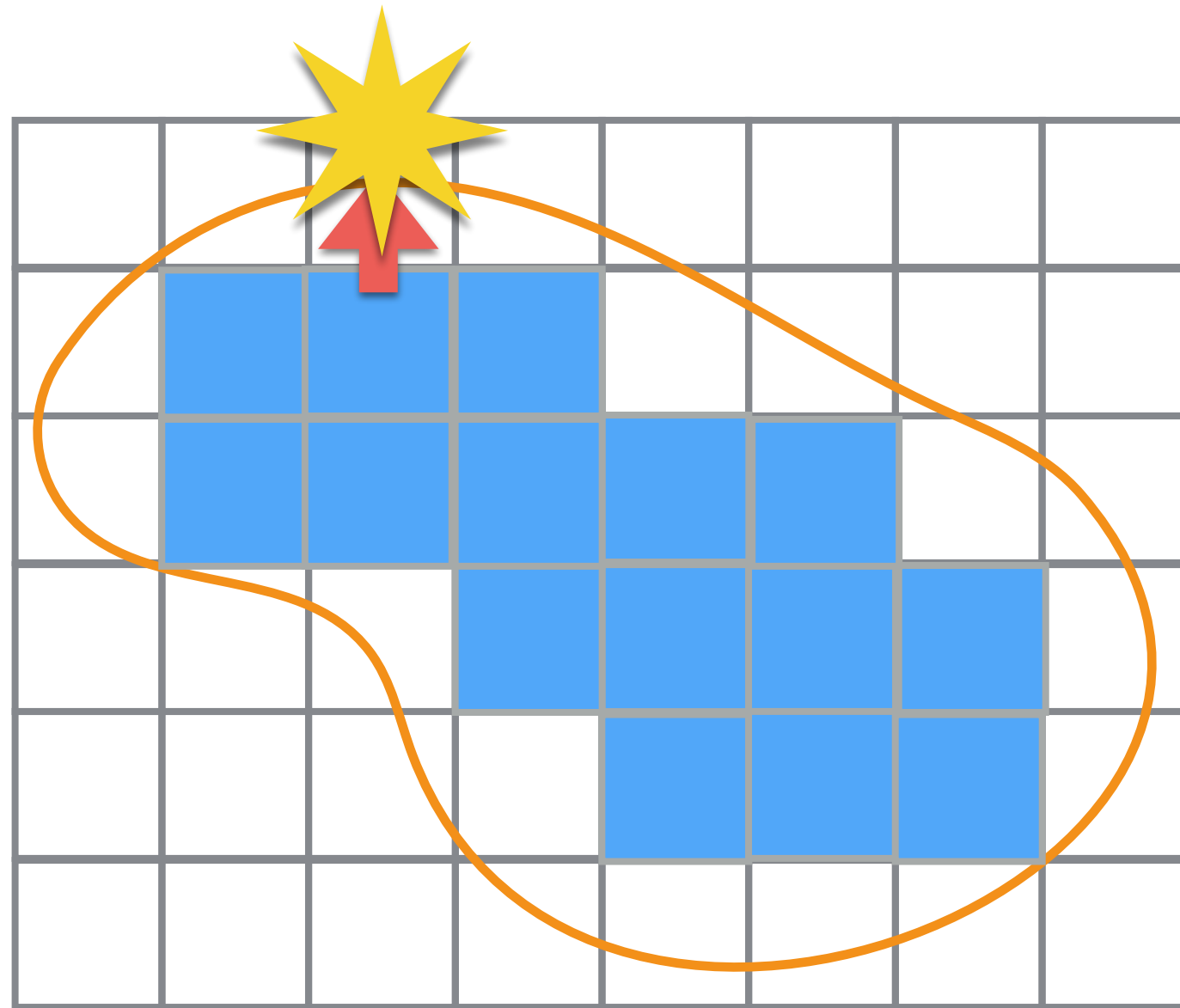


after a while...

# Region Growing



# Region Growing

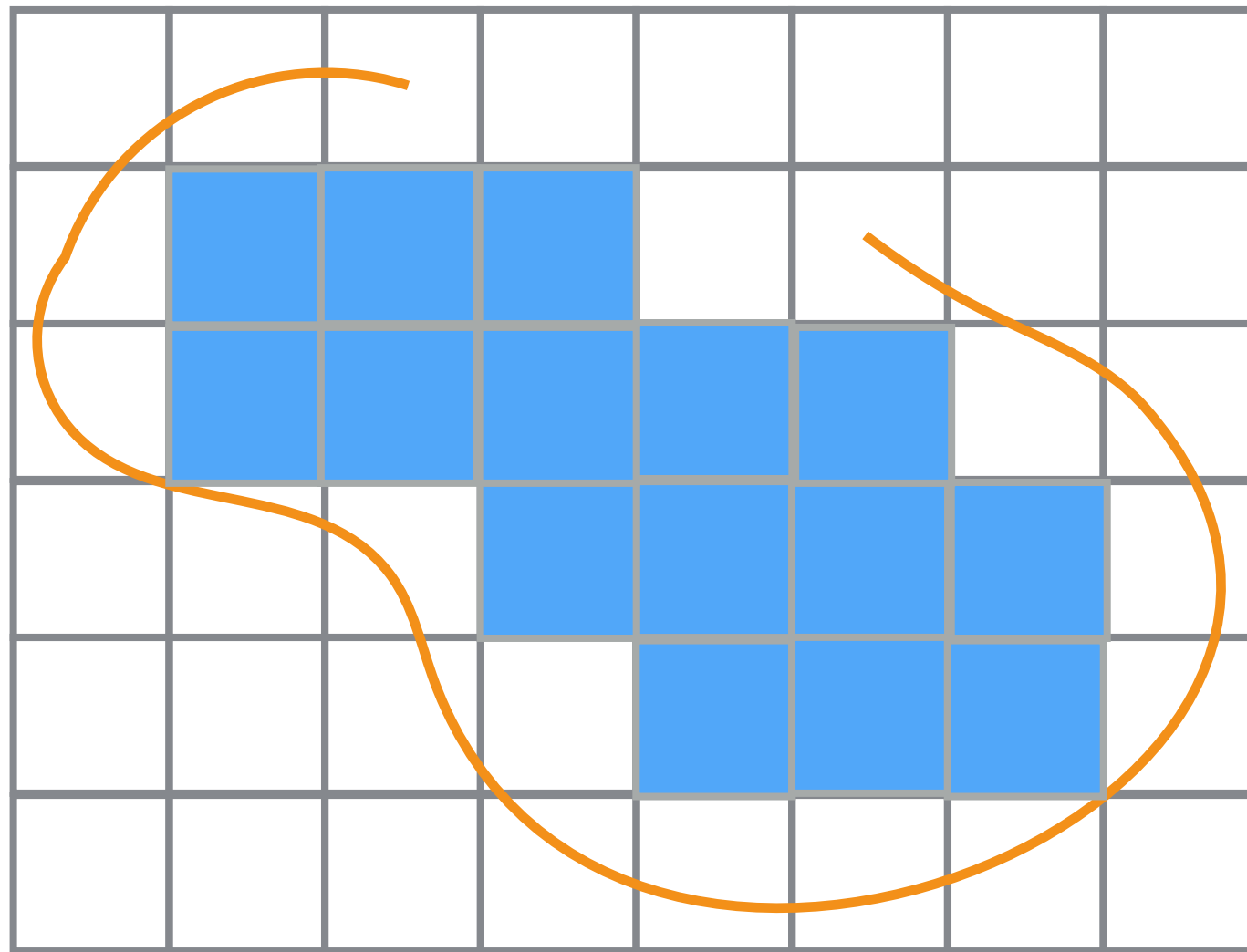


# Region Growing

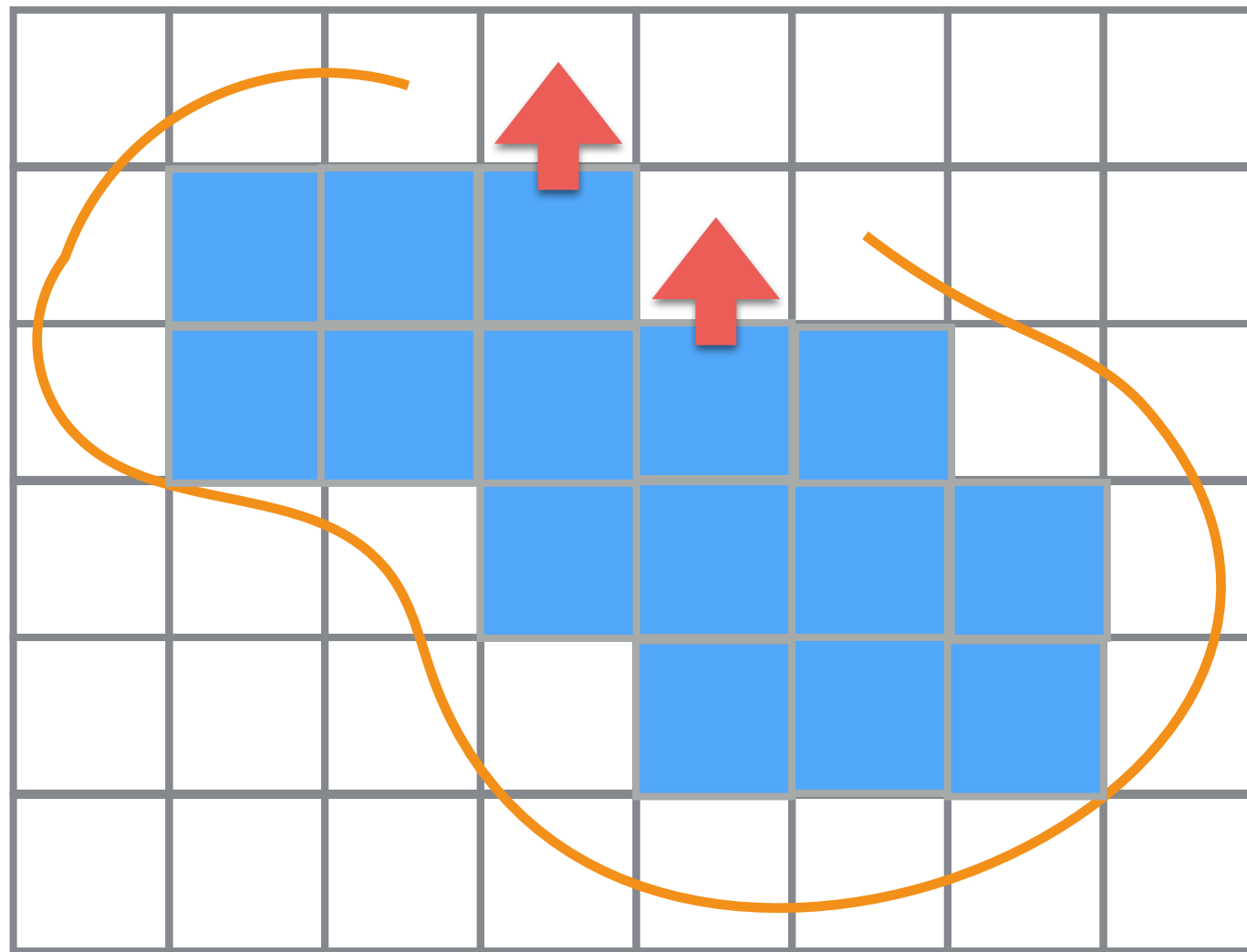
- It is straightforward to extend to 3D!
- This algorithm depends on:
  - The threshold of edge detection
- It may be slow:
  - From an initial seed, the growing region needs to reach the farthest edge pixel/voxel.
  - Computational complexity is a function of the area/volume of the object we want to segment.



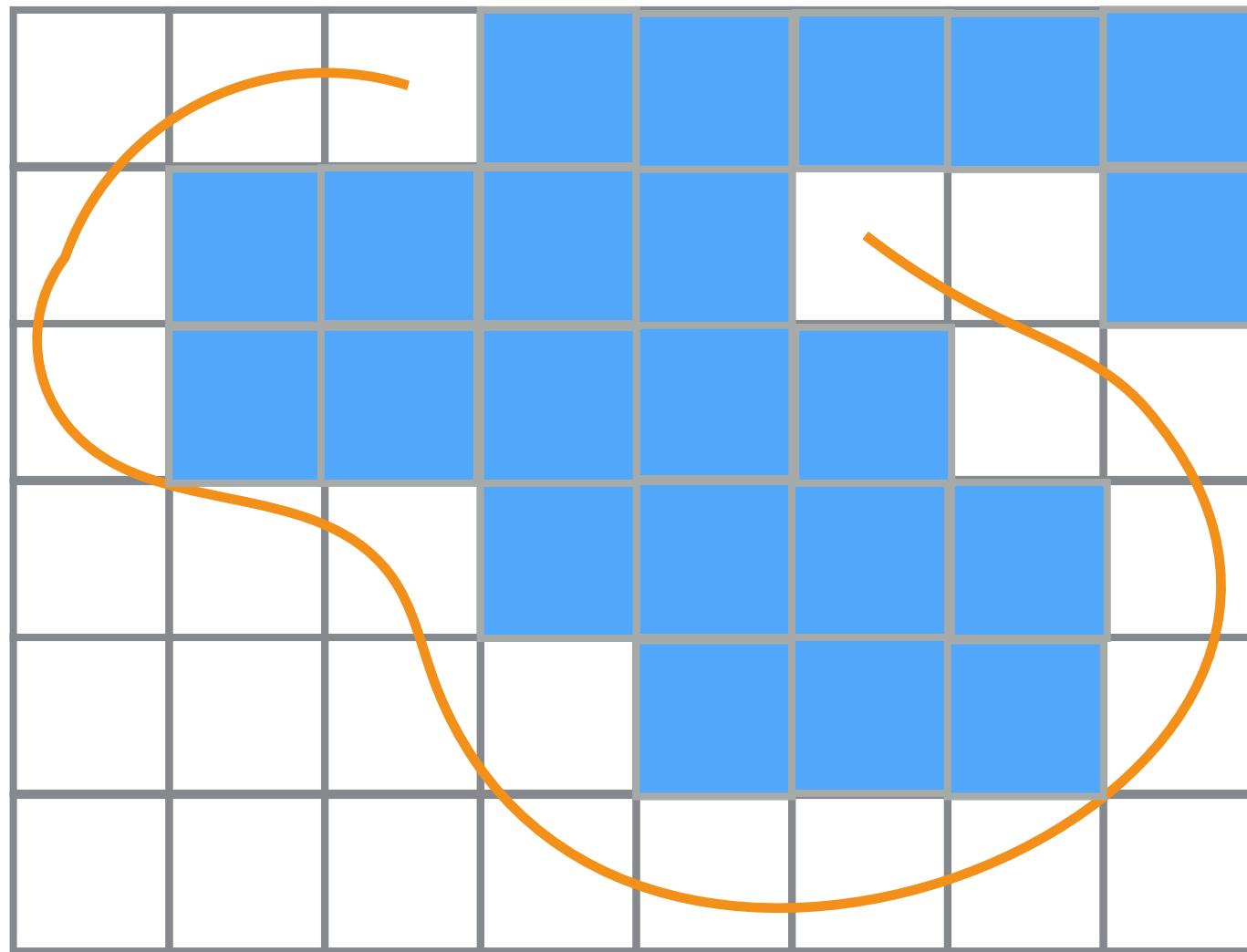
# Region Growing: Epic Fail



# Region Growing: Epic Fail



# Region Growing: Epic Fail



# Active Contour Model aka Snakes

# Snakes

- A snake is a parametric curve:

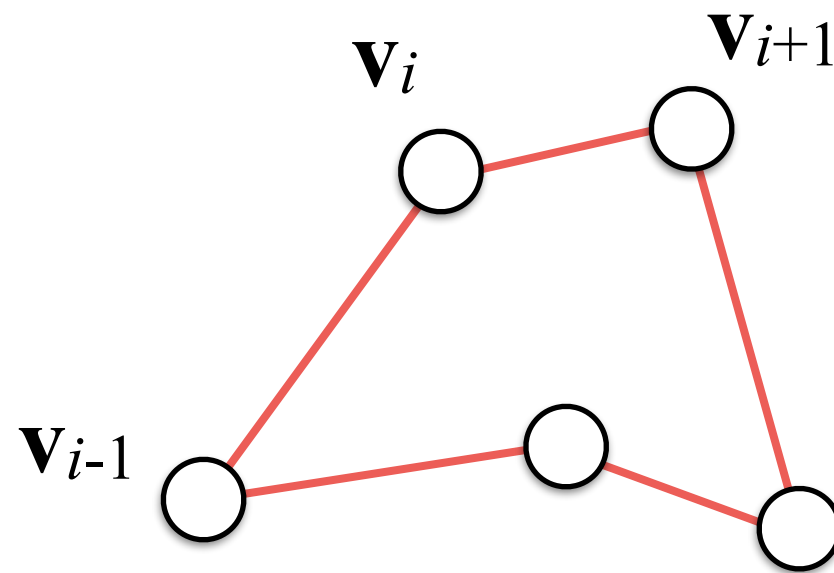
$$\mathbf{v}(t) = [x(t); y(t)] \quad t \in [0,1]$$

- Typically, it is a spline (original paper), but for sake of simplicity let's assume a piecewise linear curve.

# Snakes

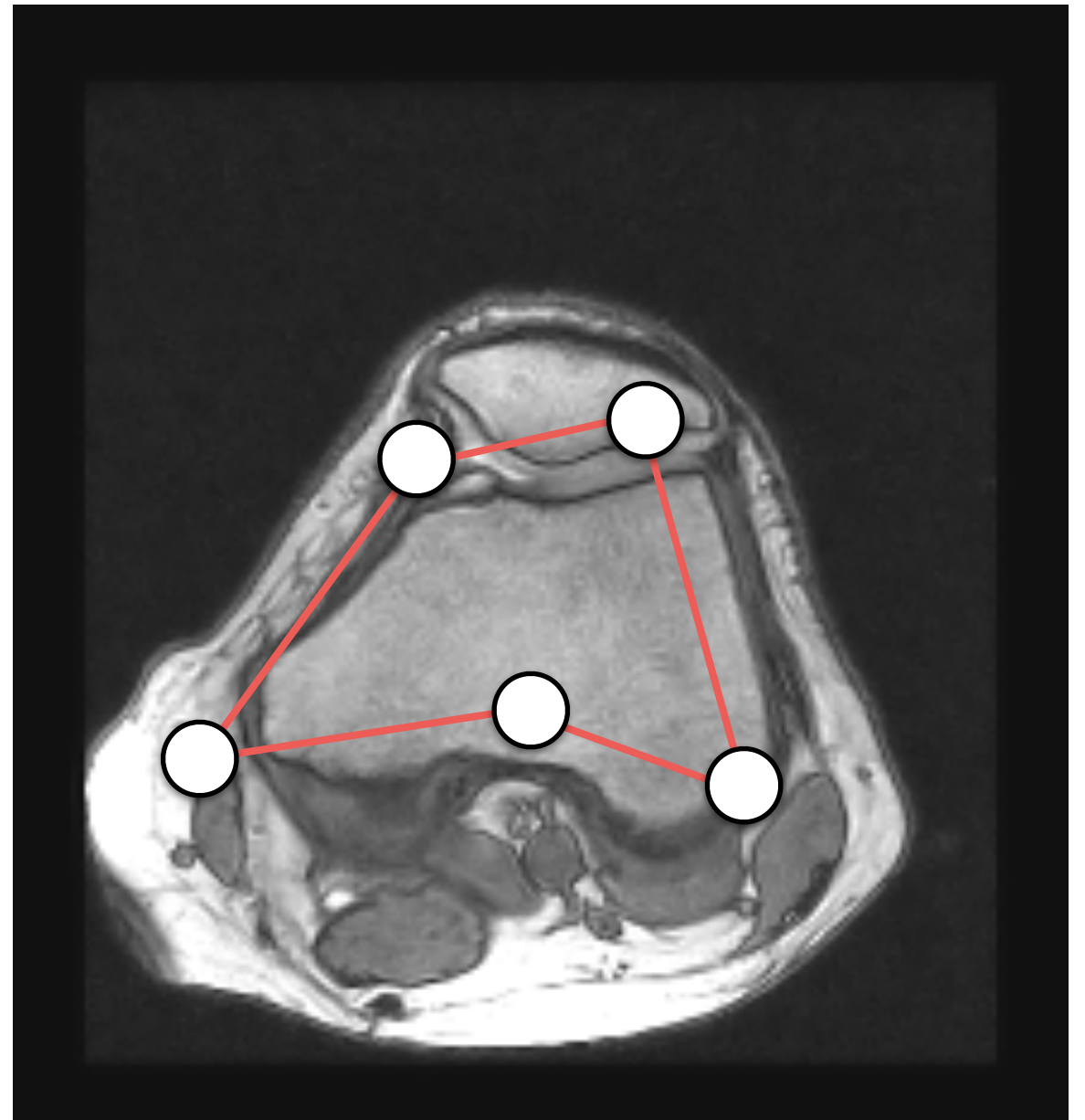
- The snake curve is defined by a set of control point that is defined as:

$$\mathbf{C} = \{\mathbf{v}_i \mid i \in [1, n]\} \quad \mathbf{v}_i = [x_i, y_i]$$



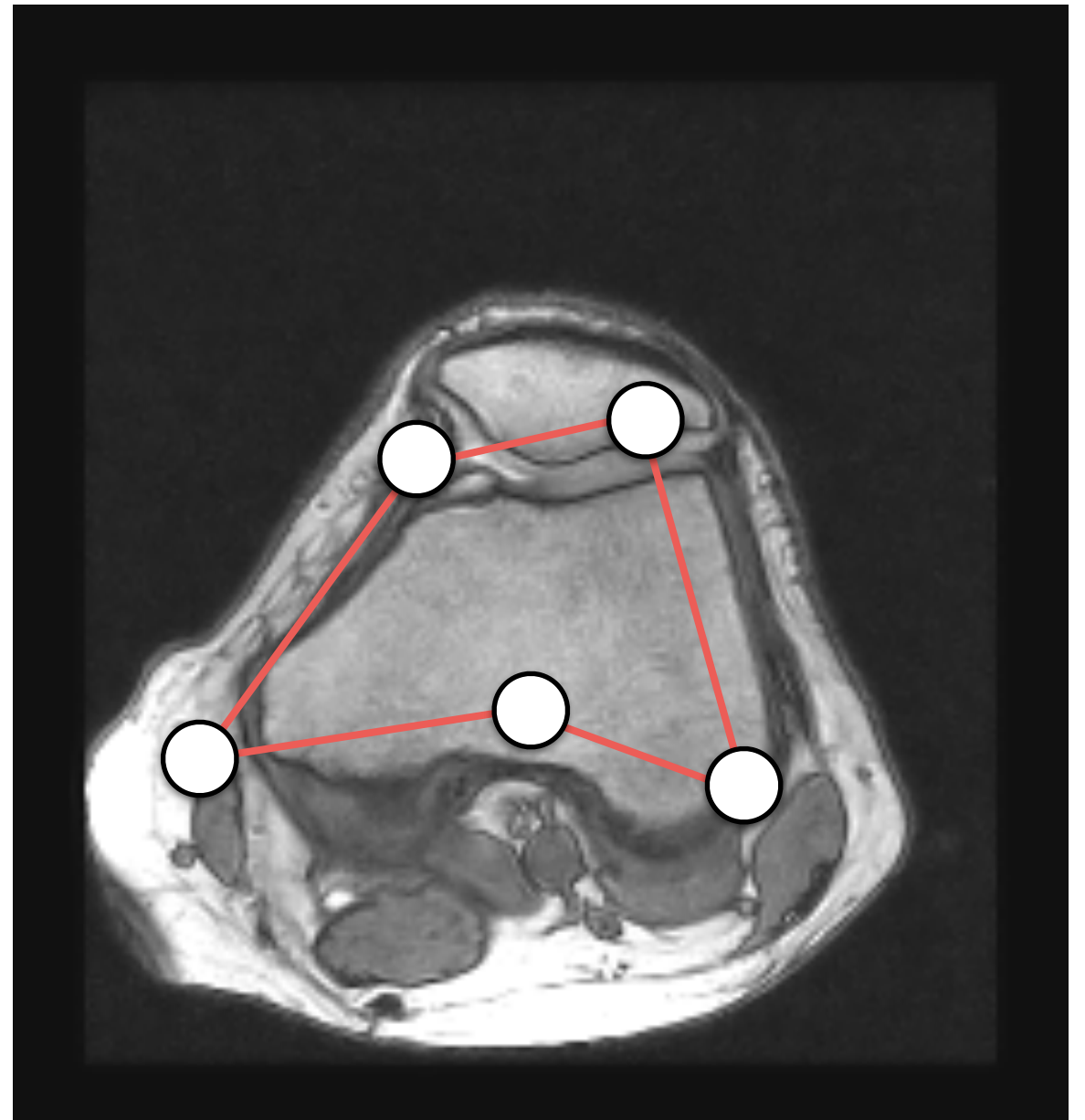
# Snakes

- A first step, we draw a snake close to the boundary of the object we want to segment.



# Snakes

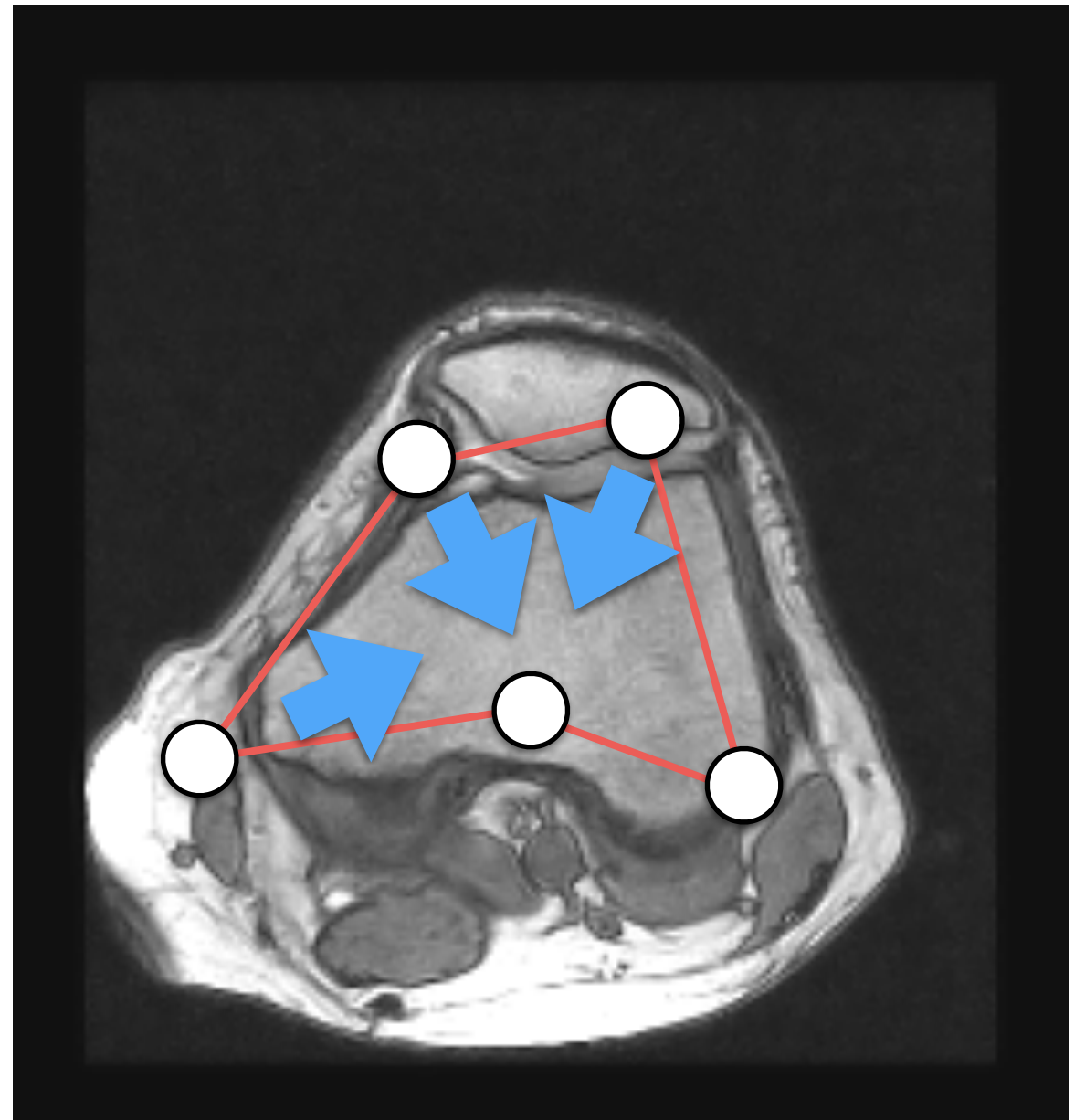
- Then, we *deform* its control points in order to move them towards the object's boundary.





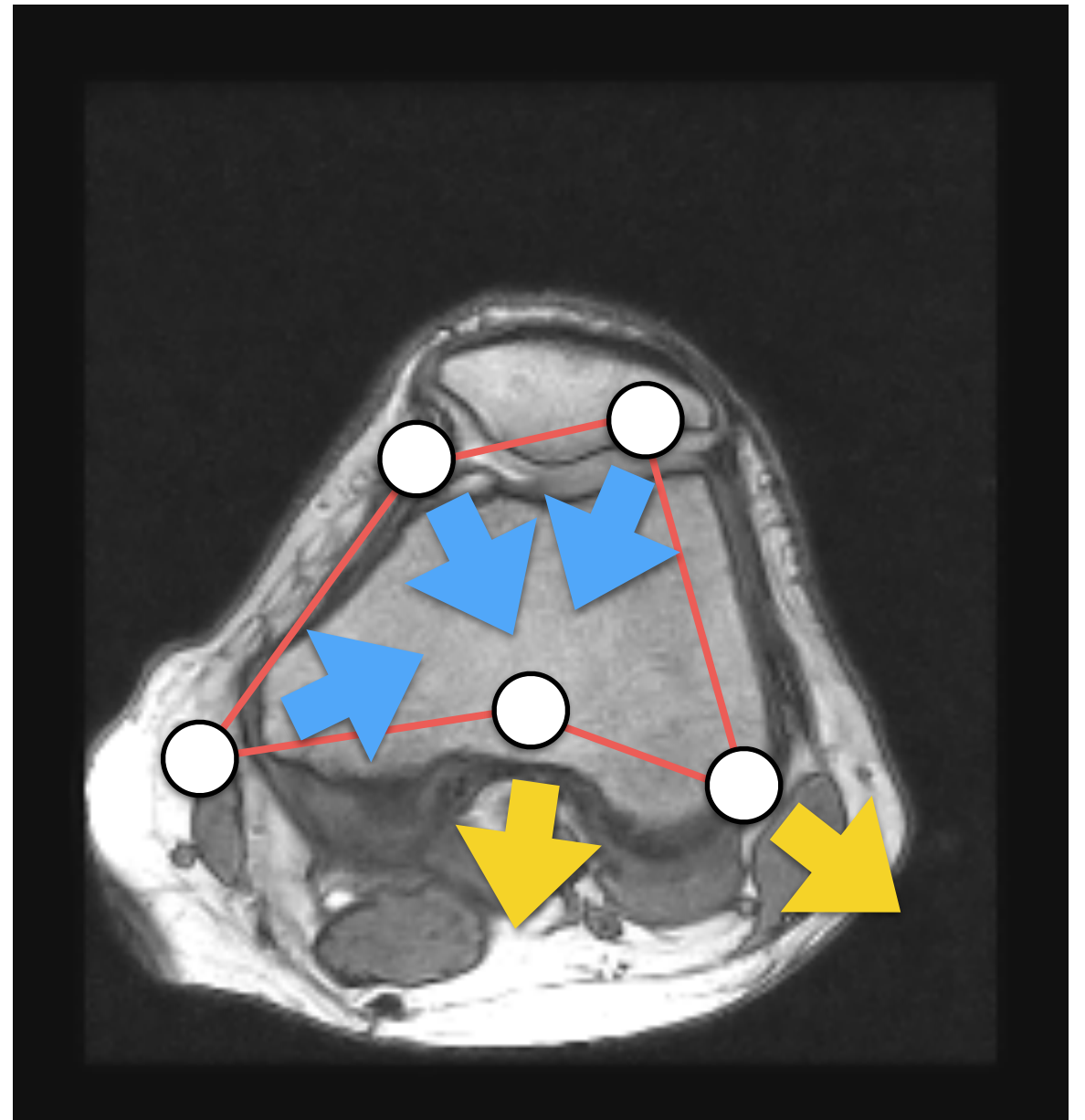
# Snakes

- Then, we *deform* its control points in order to move them towards the object's boundary.



# Snakes

- Then, we *deform* its control points in order to move them towards the object's boundary.



# Snakes

- How do we deform the control points?
- An energy function  $E$  is associated with the curve.
- We deform control points by minimizing  $E$ ; i.e., we solve an optimization problem.

# Snakes

- How do we define the energy function?
- The energy of a snake has three components:

$$E = E_{\text{internal}} + E_{\text{external}} + E_{\text{constraint}}$$

# Snakes: Internal Energy

- This energy represents the internal energy of the curve due to bending. It is defined per point as

$$E_{\text{internal}}(\mathbf{v}(t)) = \frac{1}{2} \left( \alpha(t) \left| \frac{d\mathbf{v}(t)}{dt} \right|^2 + \beta(t) \left| \frac{d^2\mathbf{v}(t)}{d^2t} \right|^2 \right)$$

- The total energy is defined as

$$E_{\text{internal}} = \int_0^1 E_{\text{internal}}(\mathbf{v}(t)) dt$$

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Elasticity

- The total energy is defined as

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# Snakes: Internal Energy

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$$E_{\text{internal}}(\mathbf{v}(t)) = \frac{1}{2} \left( \underbrace{\alpha(t) \left| \frac{d\mathbf{v}(t)}{dt} \right|^2}_{\text{Elasticity}} + \underbrace{\beta(t) \left| \frac{d^2\mathbf{v}(t)}{d^2t} \right|^2}_{\text{Stiffness}} \right)$$

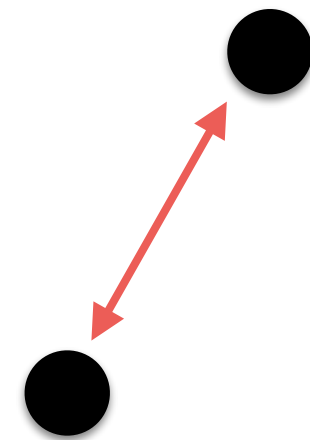
- The total energy is defined as

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# Snakes: Internal Energy

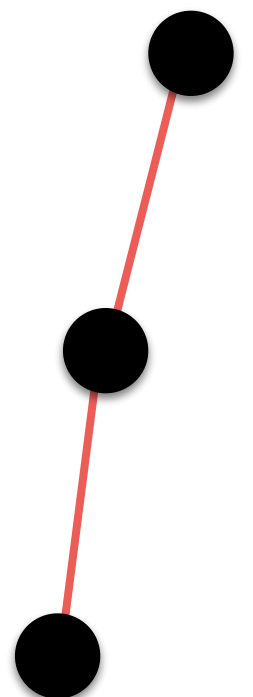
- The first term is an elastic energy:

$$\frac{d\mathbf{v}(t)}{dt} \approx \mathbf{v}_{i+1} - \mathbf{v}_i$$



- The second term is a bending energy:

$$\frac{d^2\mathbf{v}(t)}{d^2t} \approx \mathbf{v}_{i+1} - 2\mathbf{v}_i + \mathbf{v}_{i-1}$$

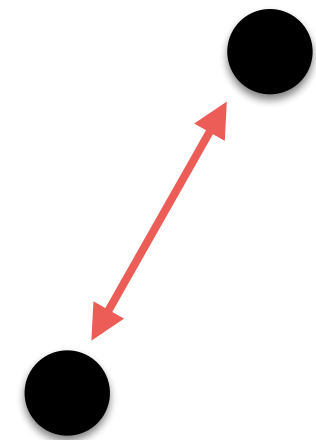




# Snakes: Internal Energy

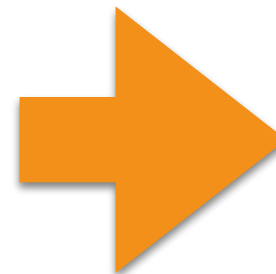
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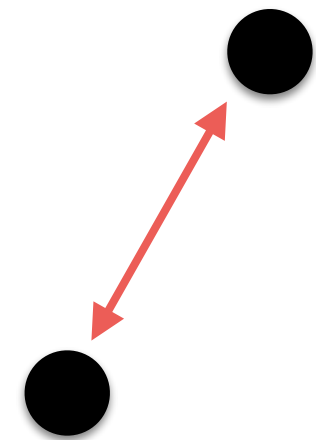
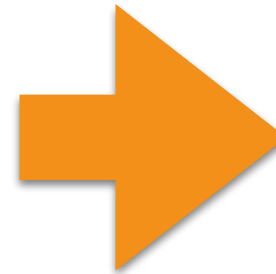
$$\frac{d^2\mathbf{v}(t)}{d^2t} \approx \mathbf{v}_{i+1} - 2\mathbf{v}_i + \mathbf{v}_{i-1}$$



# Snakes: Internal Energy

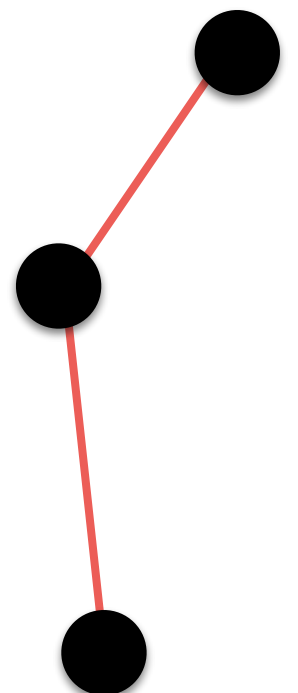
- The first term is an elastic energy:

$$\frac{d\mathbf{v}(t)}{dt} \approx \mathbf{v}_{i+1} - \mathbf{v}_i$$



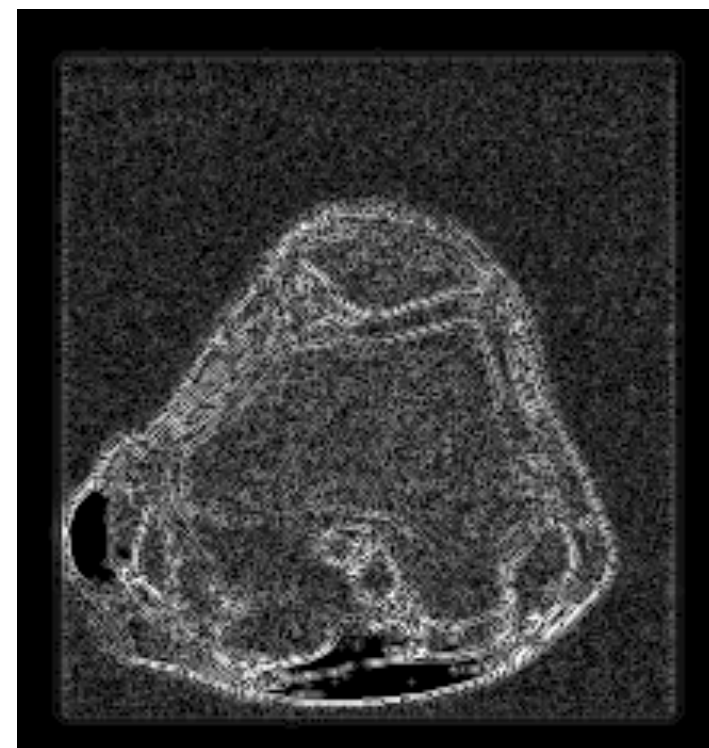
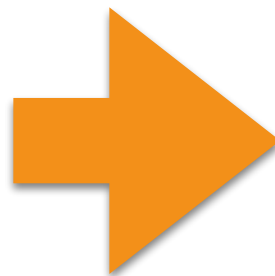
- The second term is a bending energy:

$$\frac{d^2\mathbf{v}(t)}{d^2t} \approx \mathbf{v}_{i+1} - 2\mathbf{v}_i + \mathbf{v}_{i-1}$$



# Snakes: External Energy

- This energy determines how well the snake matches with the image locally!
- How can we achieve this?
  - Gradients magnitude



# Snakes: External Energy

- It is defined per point as

$$E_{\text{external}}(\mathbf{v}(t)) = -\|\nabla I(\mathbf{v}(t))\|^2$$

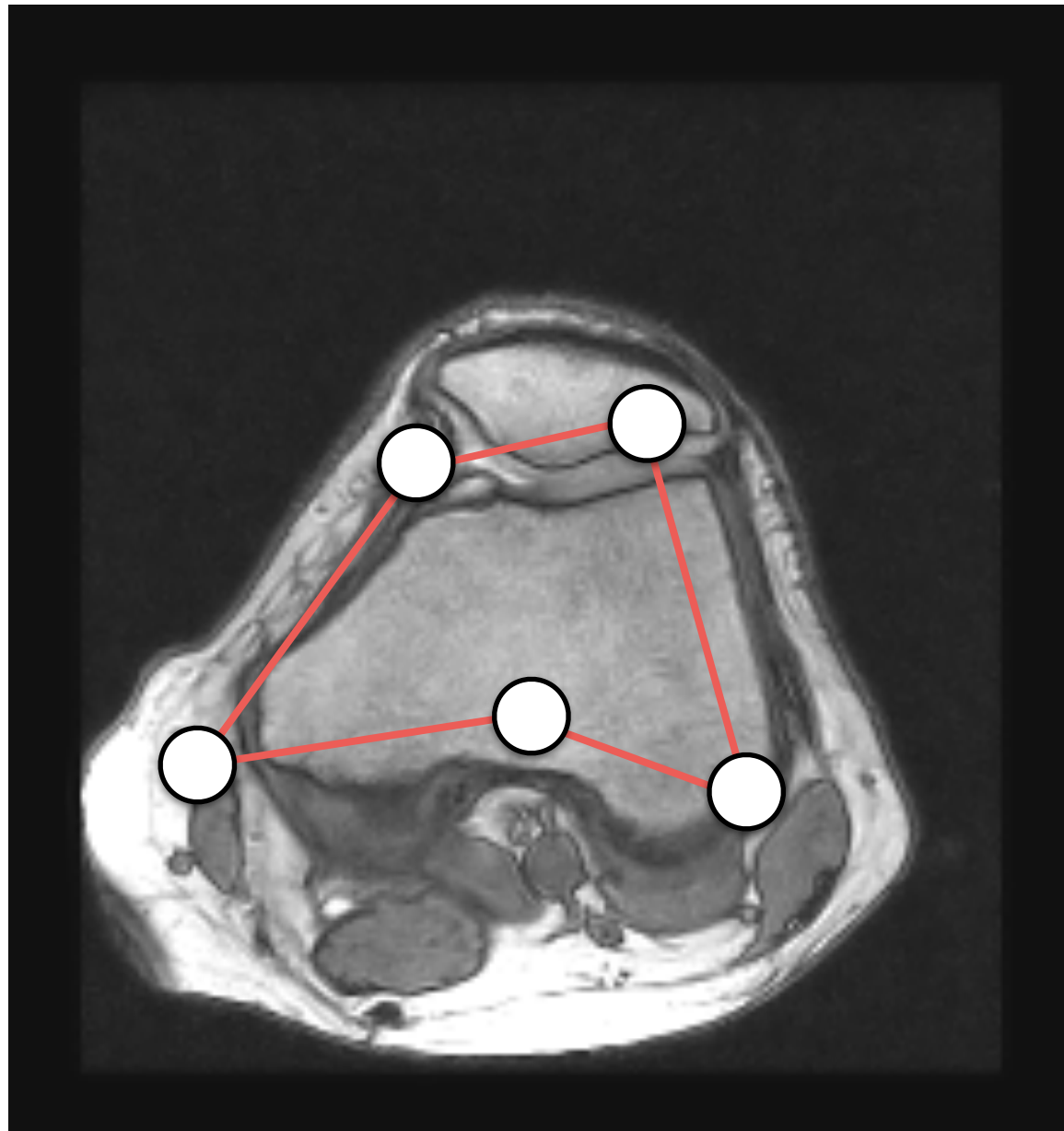
- The total energy is defined as

$$E_{\text{external}} = \int_0^1 E_{\text{external}}(\mathbf{v}(t)) dt$$

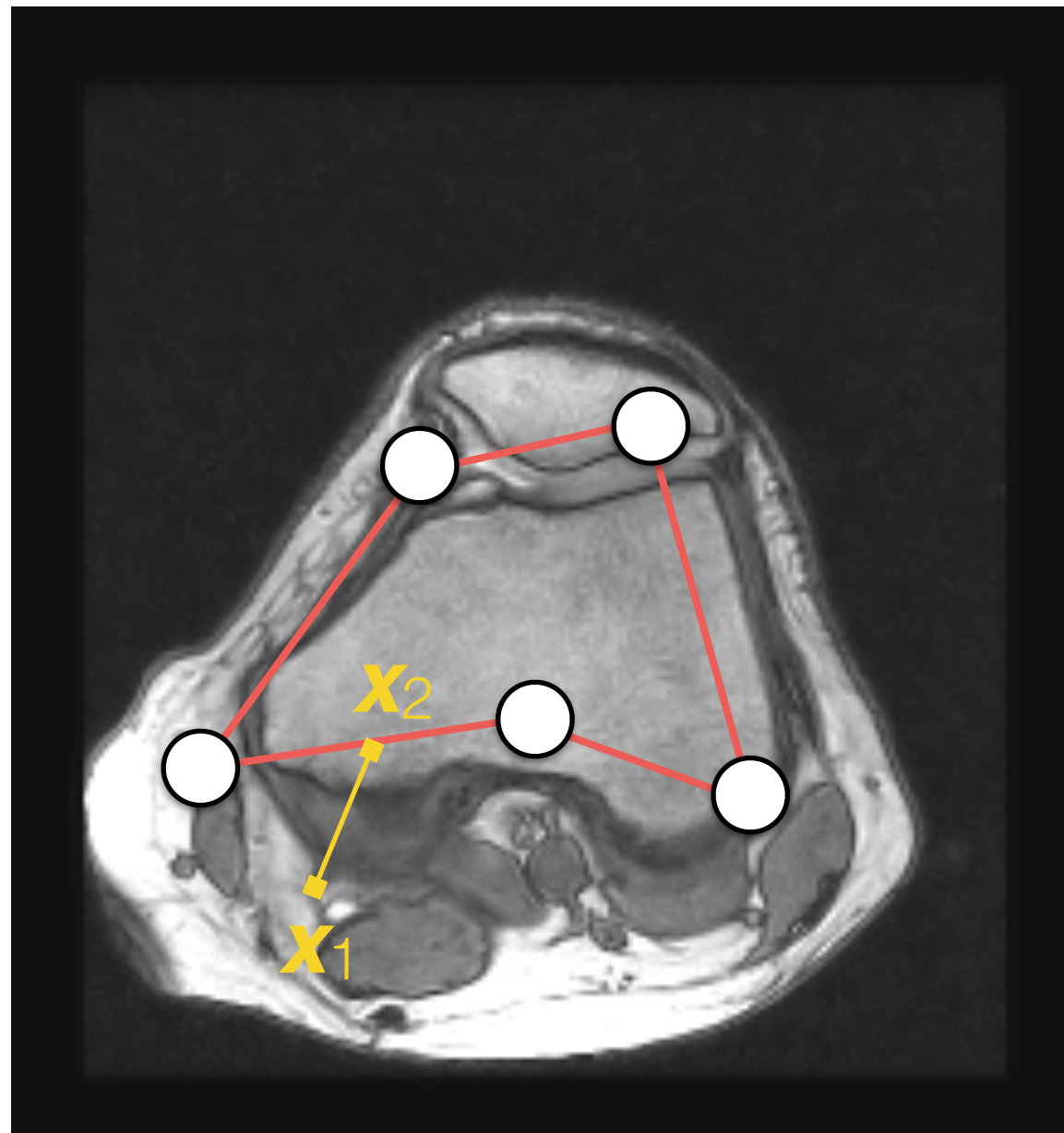
# Snakes: Constraint Energy

- This energy is meant for interactive systems.
- The user interactively monitors the minimization, and she/he can push/pull vertices using the mouse cursor's position:
  - Repulsion forces or “vulcano”:  $\frac{1}{r^2}$
  - Spring forces:  $-k\|\mathbf{x}_1 - \mathbf{x}_2\|^2$

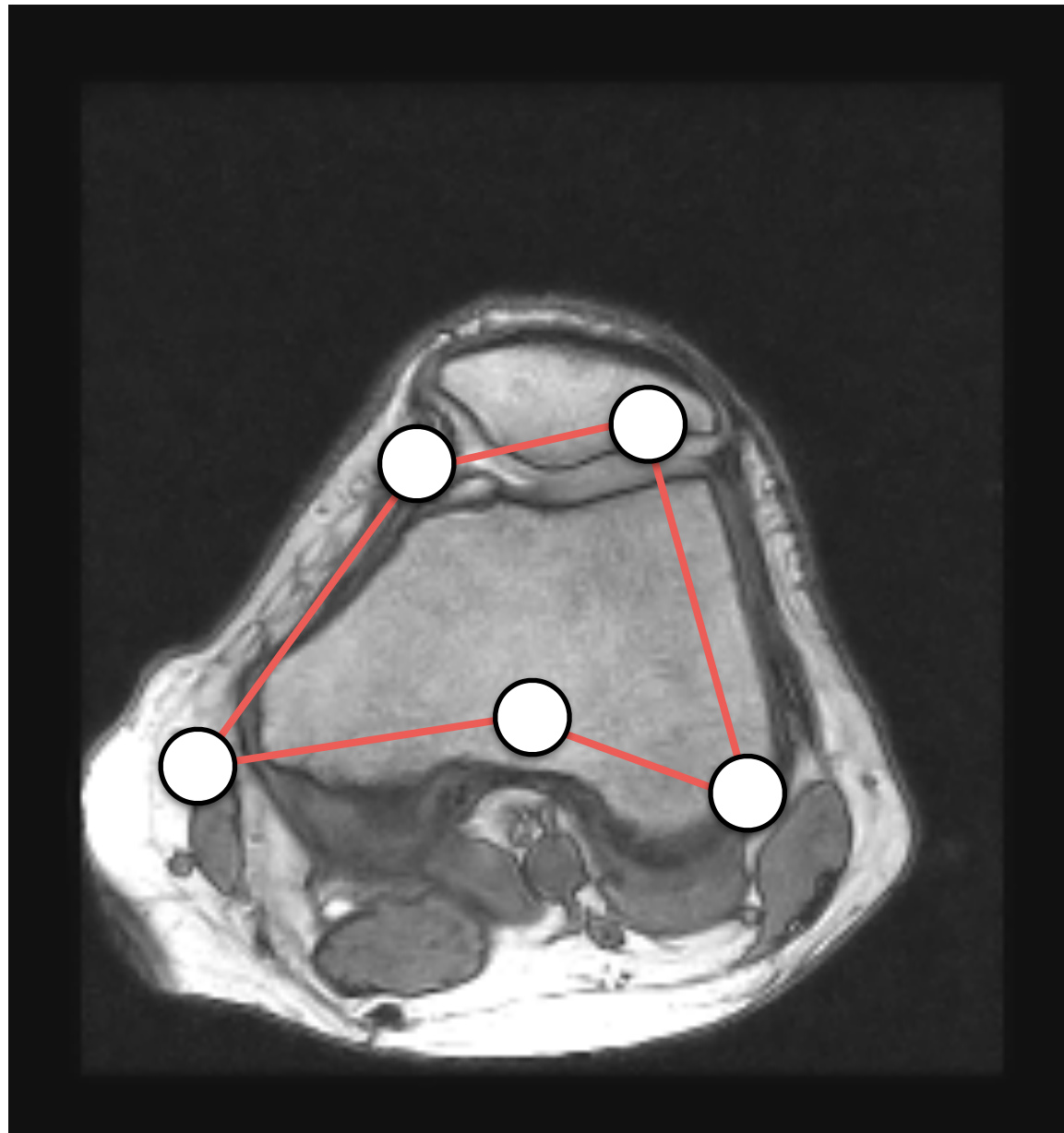
# Snakes: Constraint Energy



# Snakes: Constraint Energy

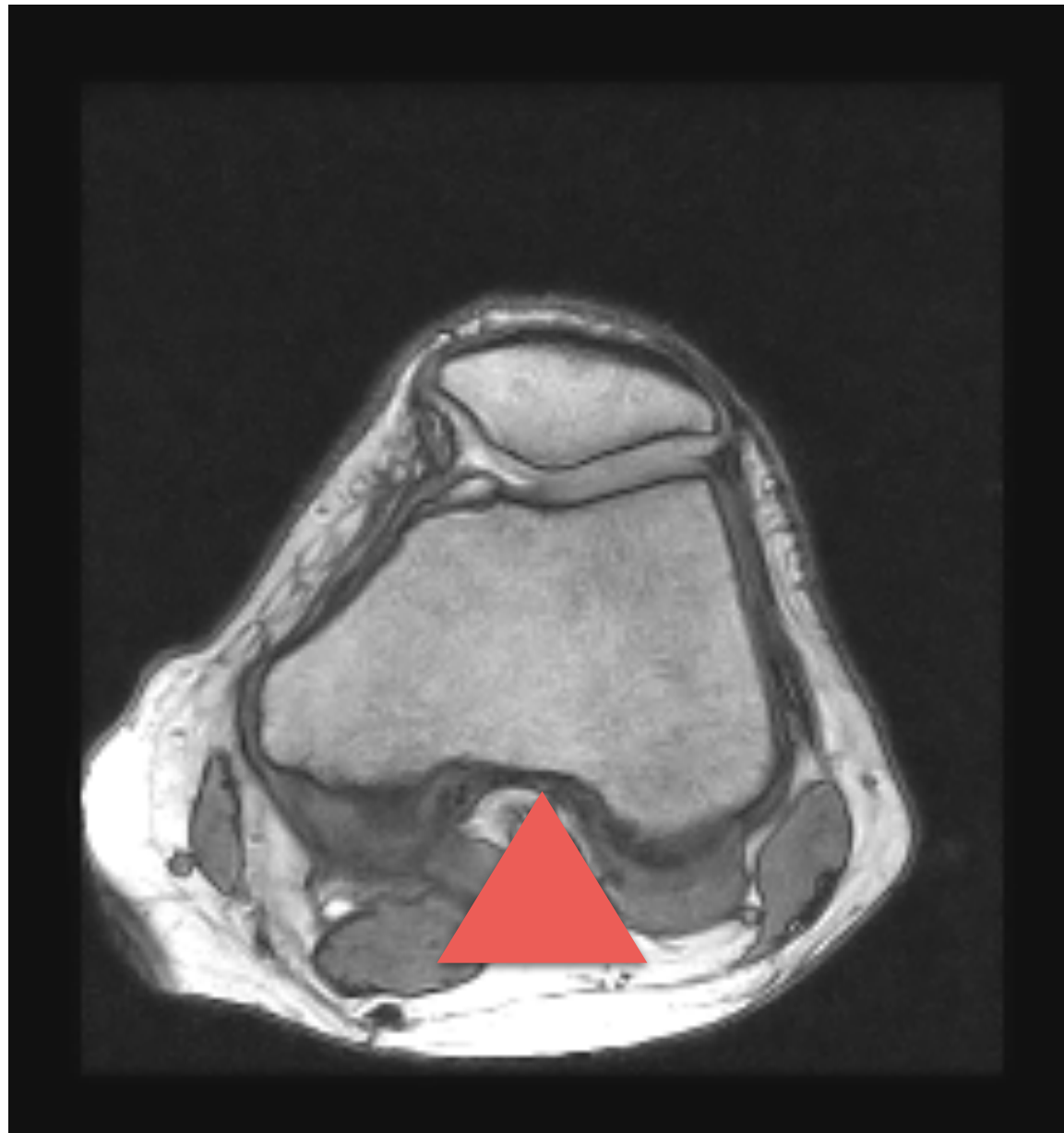


# Snakes: Constraint Energy

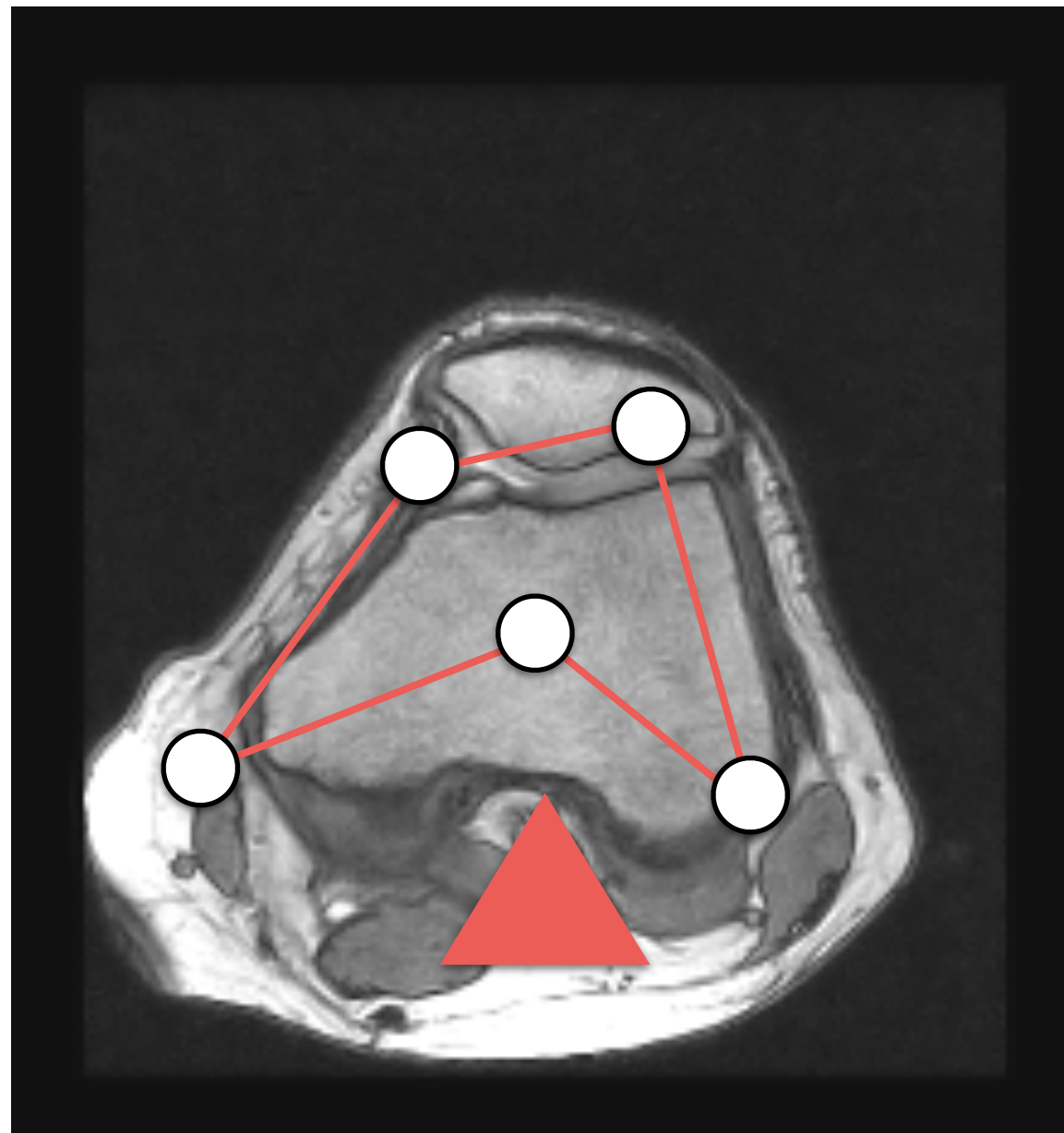




# Snakes: Constraint Energy



# Snakes: Constraint Energy



How do we solve  $E$ ?

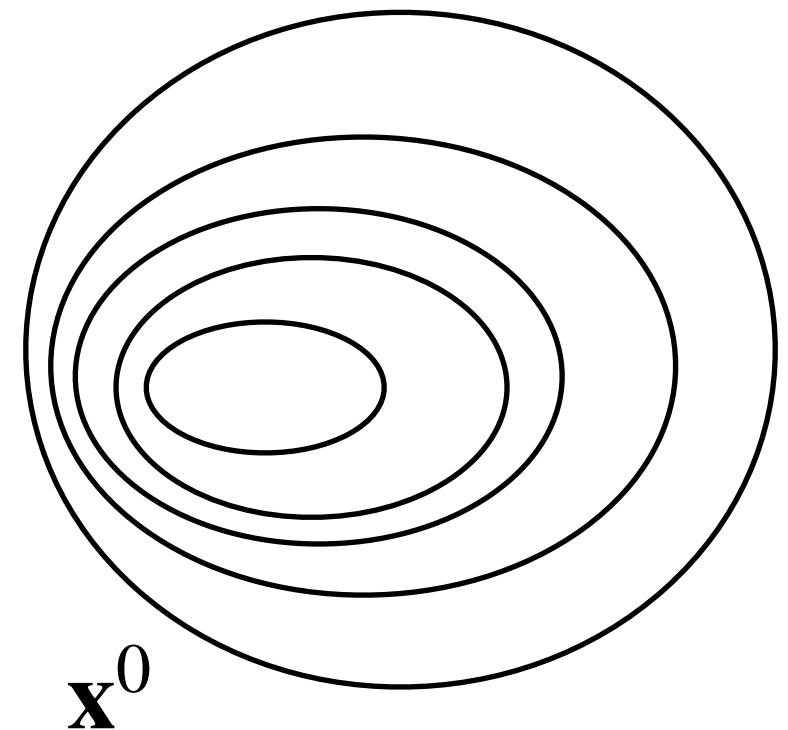
$$E = E_{\text{internal}} + E_{\text{external}} + E_{\text{constraint}}$$

# Gradient Descent

- It is a first-order iterative optimization method:

$$\mathbf{x}_j^{i+1} = \mathbf{x}_j^i - \alpha \frac{\partial}{\partial \mathbf{x}_j} f(\mathbf{x}^i)$$

- We need to start with a g
- It will find a **local minimum**!
- $f$  has to be differentiable.
- $\mathbf{x}^0$  is a “good” guess.

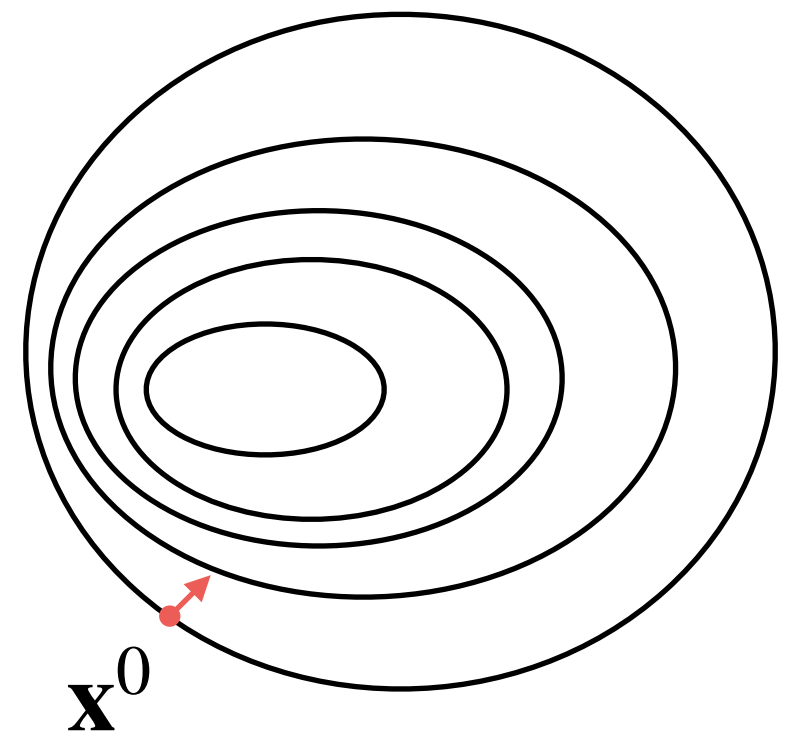


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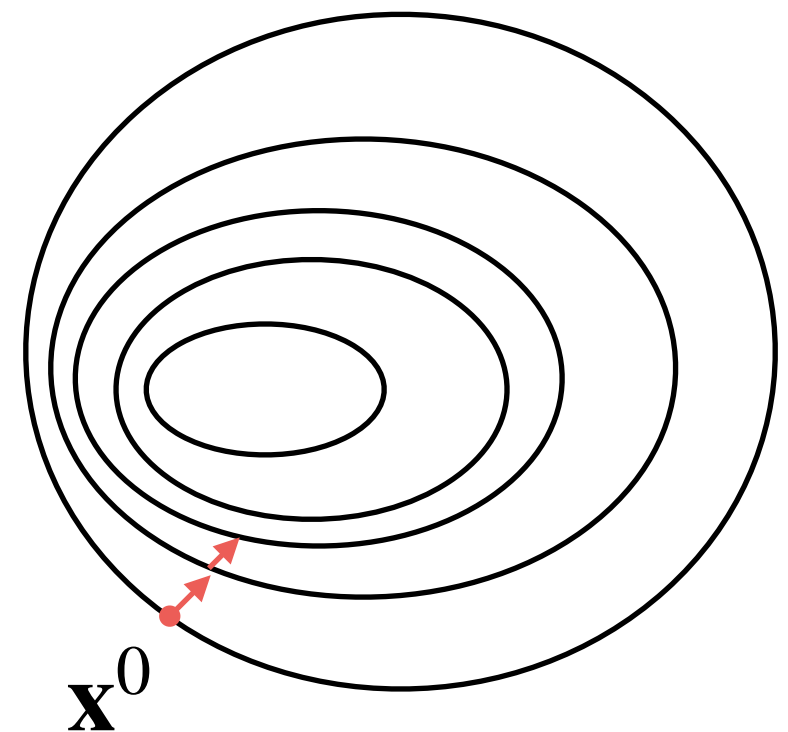


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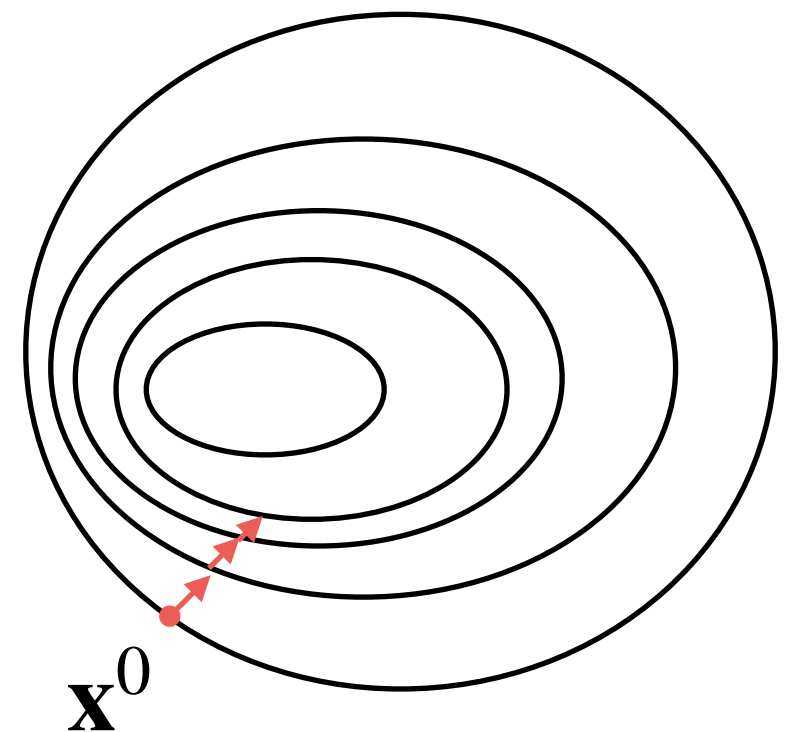


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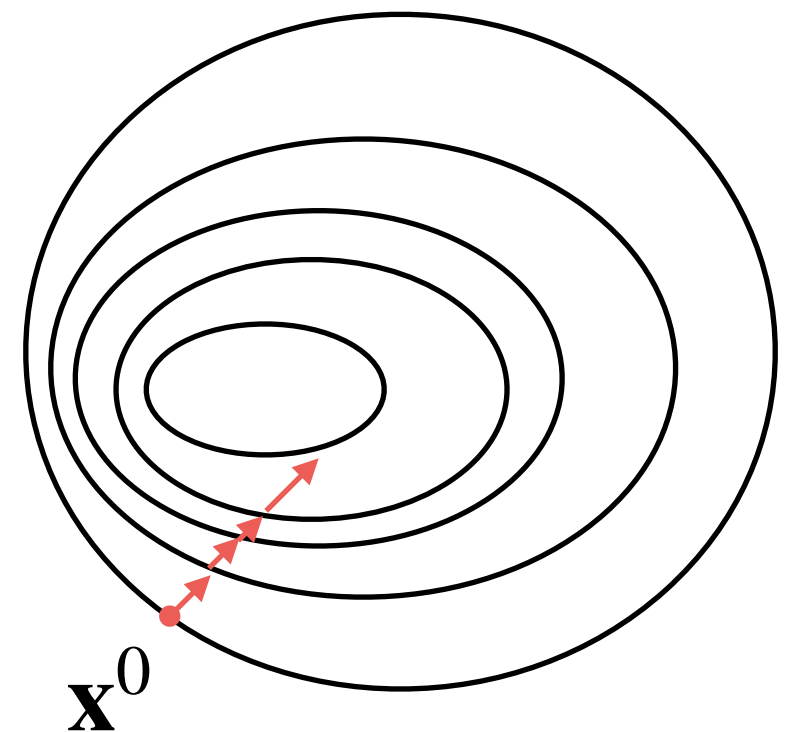


# Gradient Descent

- It is a first-order iterative optimization method:

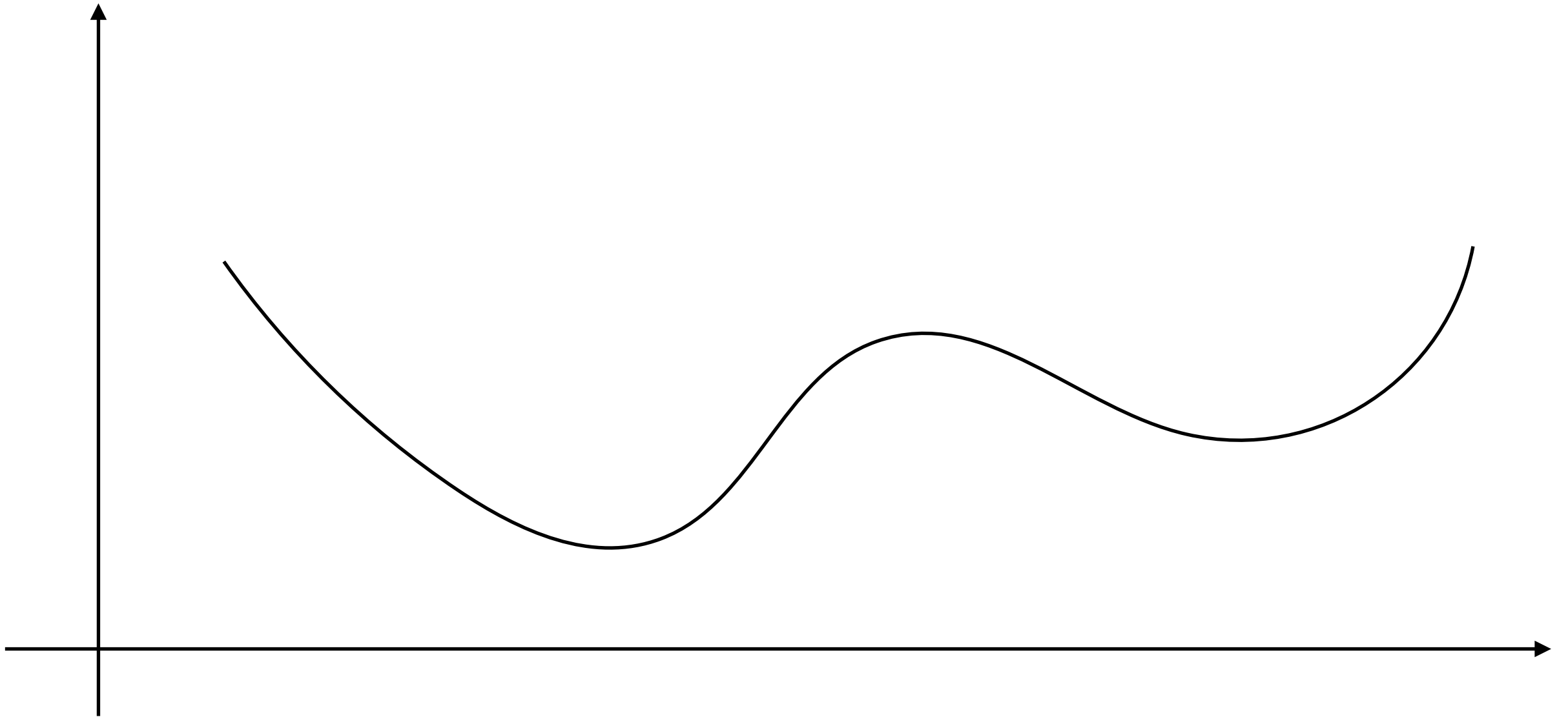
$$\mathbf{x}_j^{i+1} = \mathbf{x}_j^i - \alpha \frac{\partial}{\partial \mathbf{x}_j} f(\mathbf{x}^i)$$

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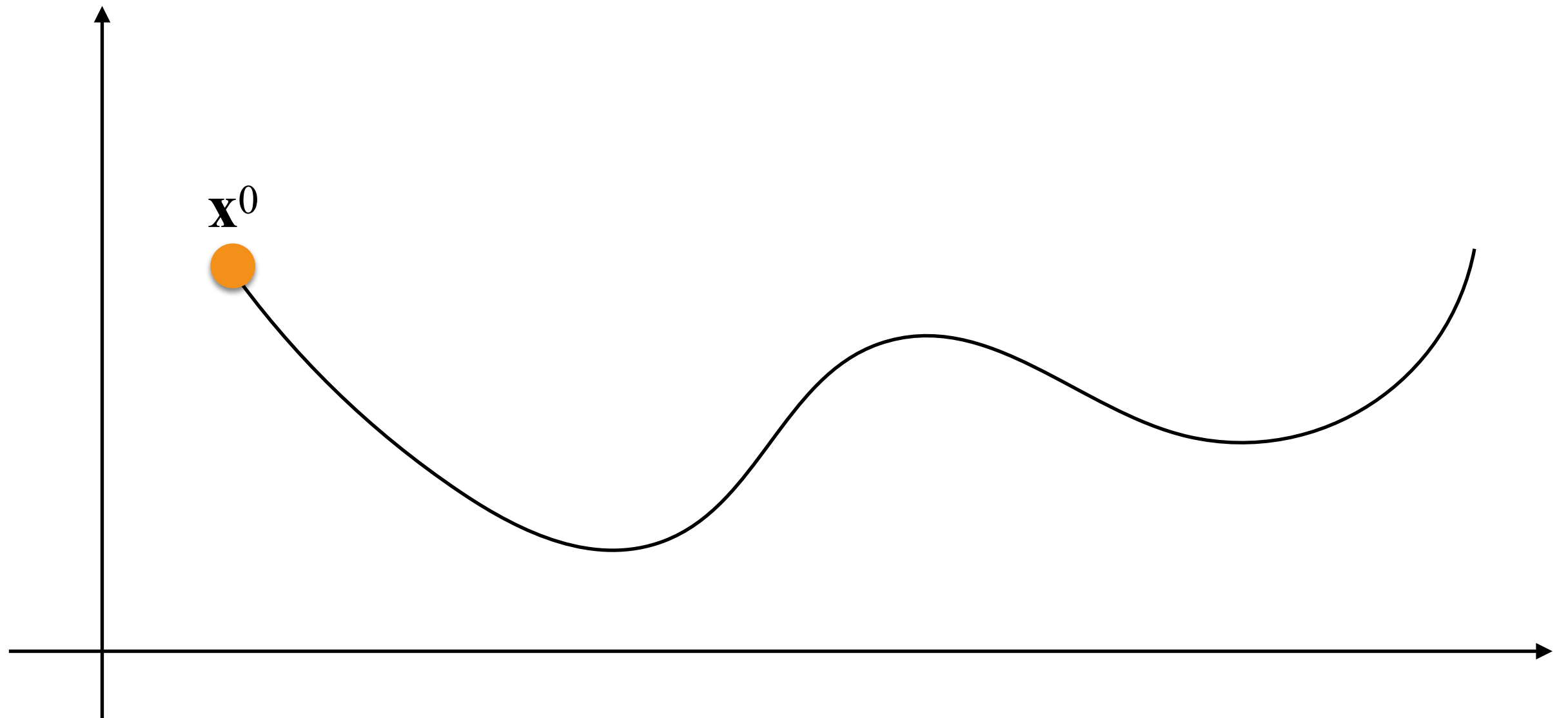




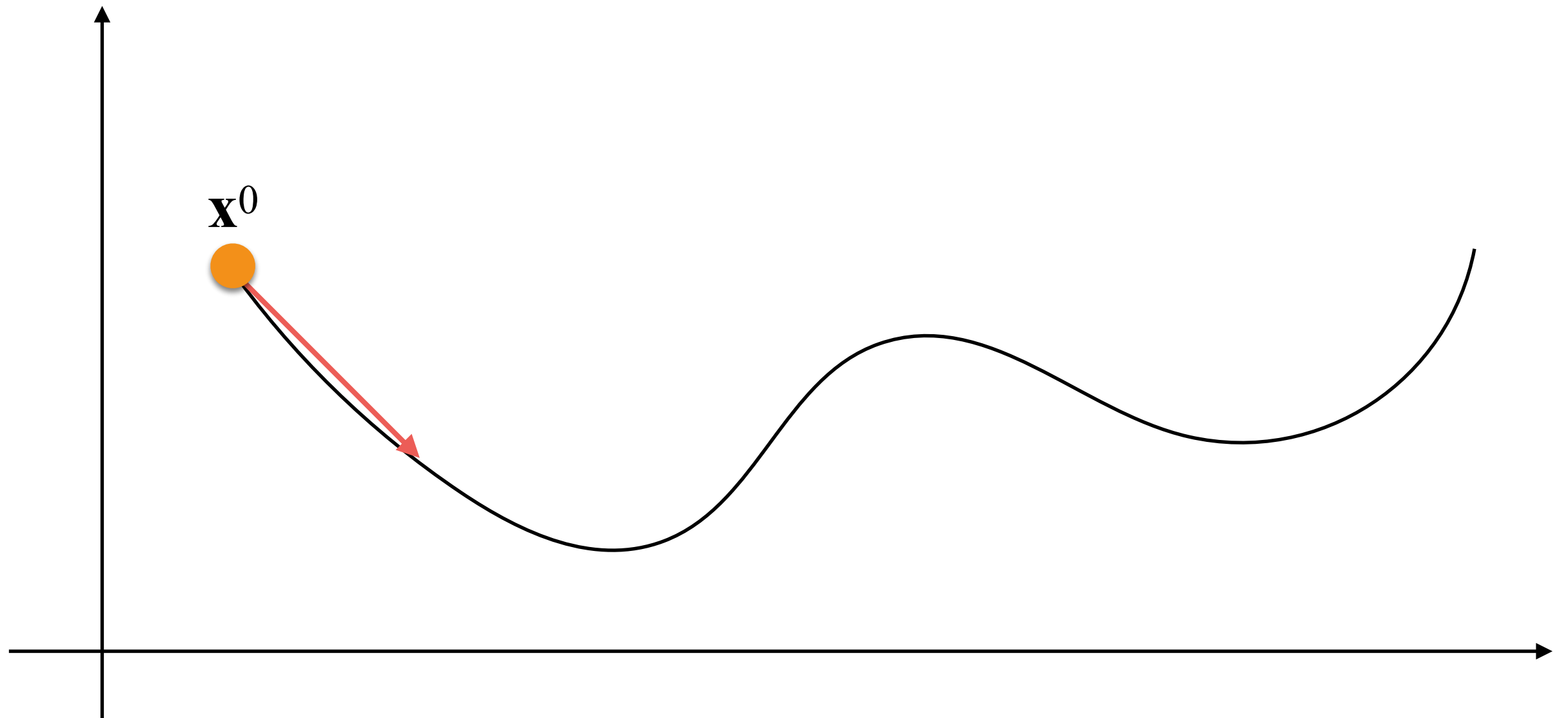
# Gradient Descent



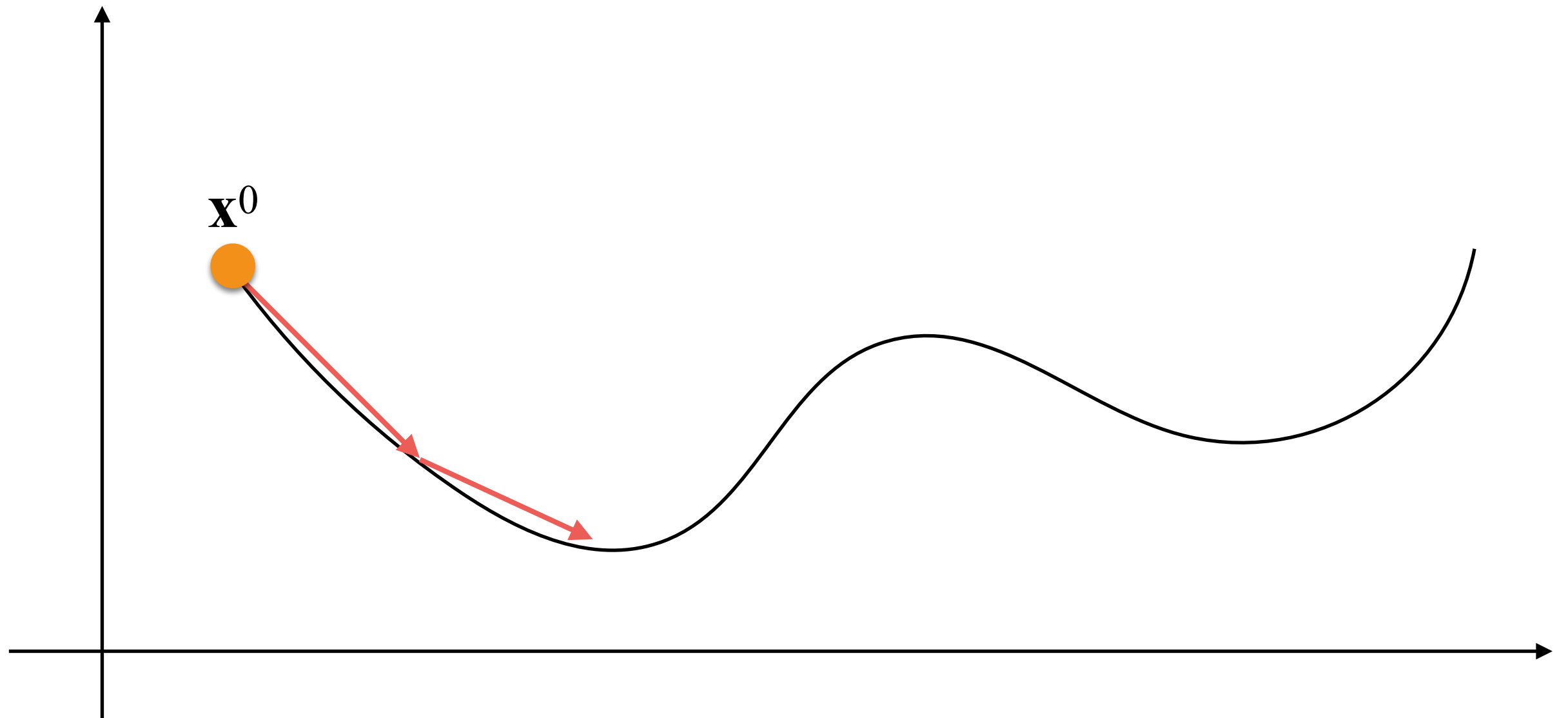
# Gradient Descent



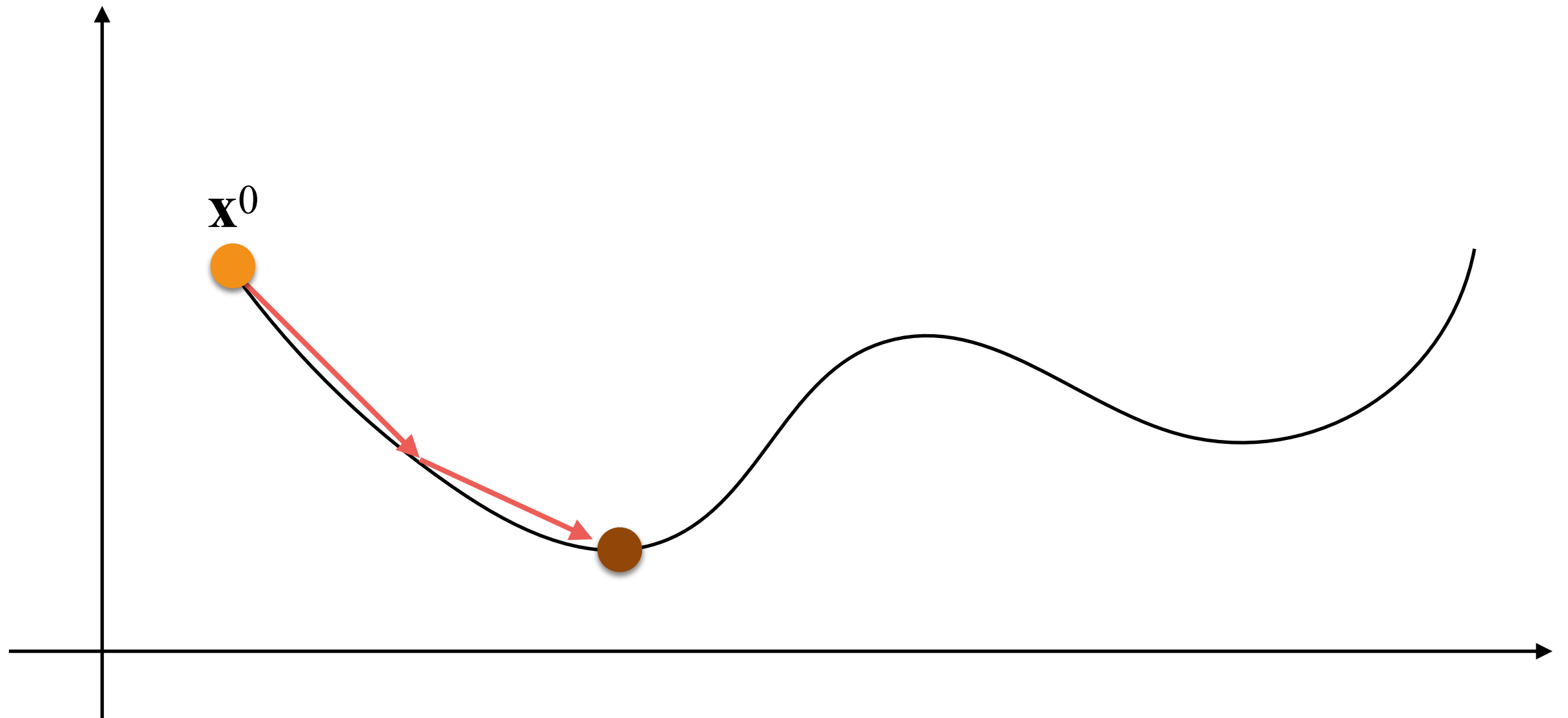
# Gradient Descent



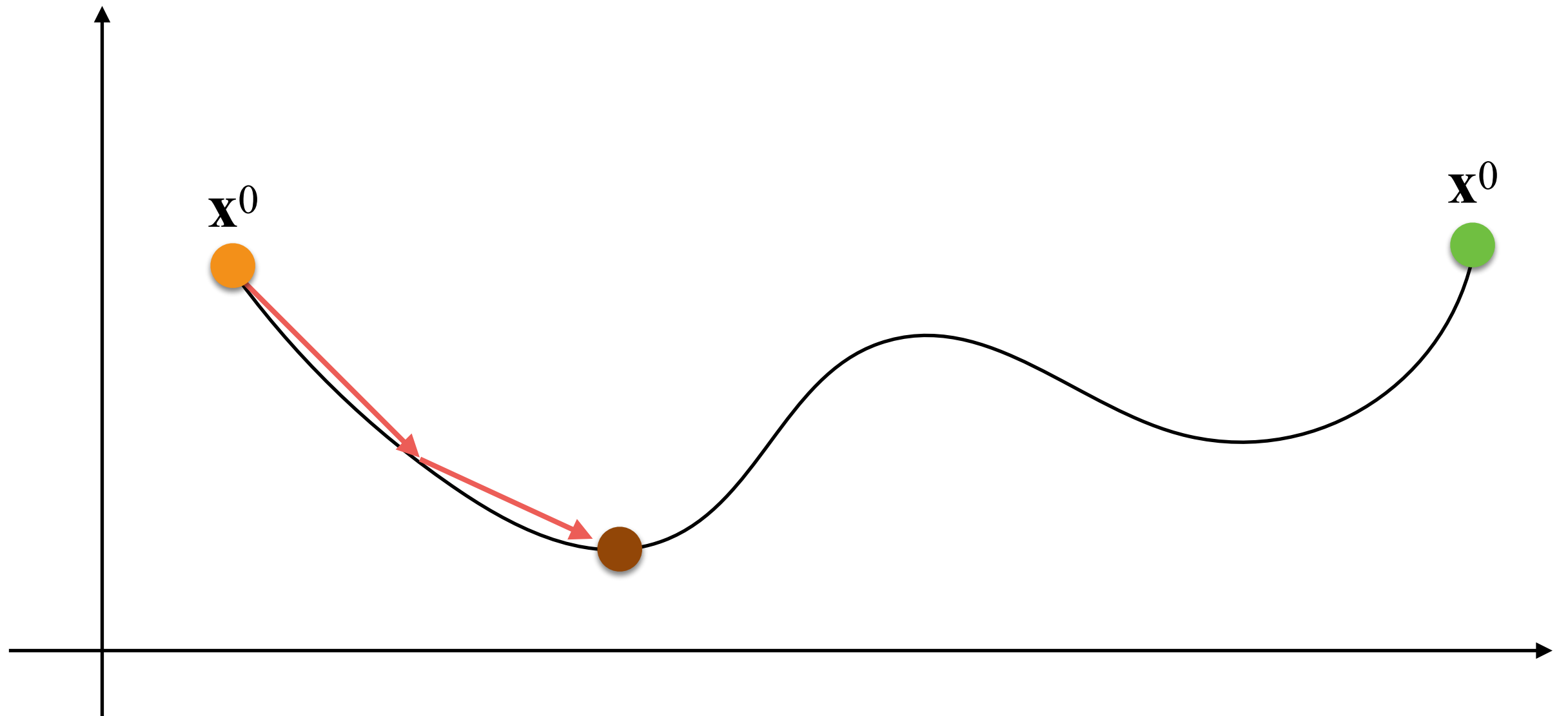
# Gradient Descent



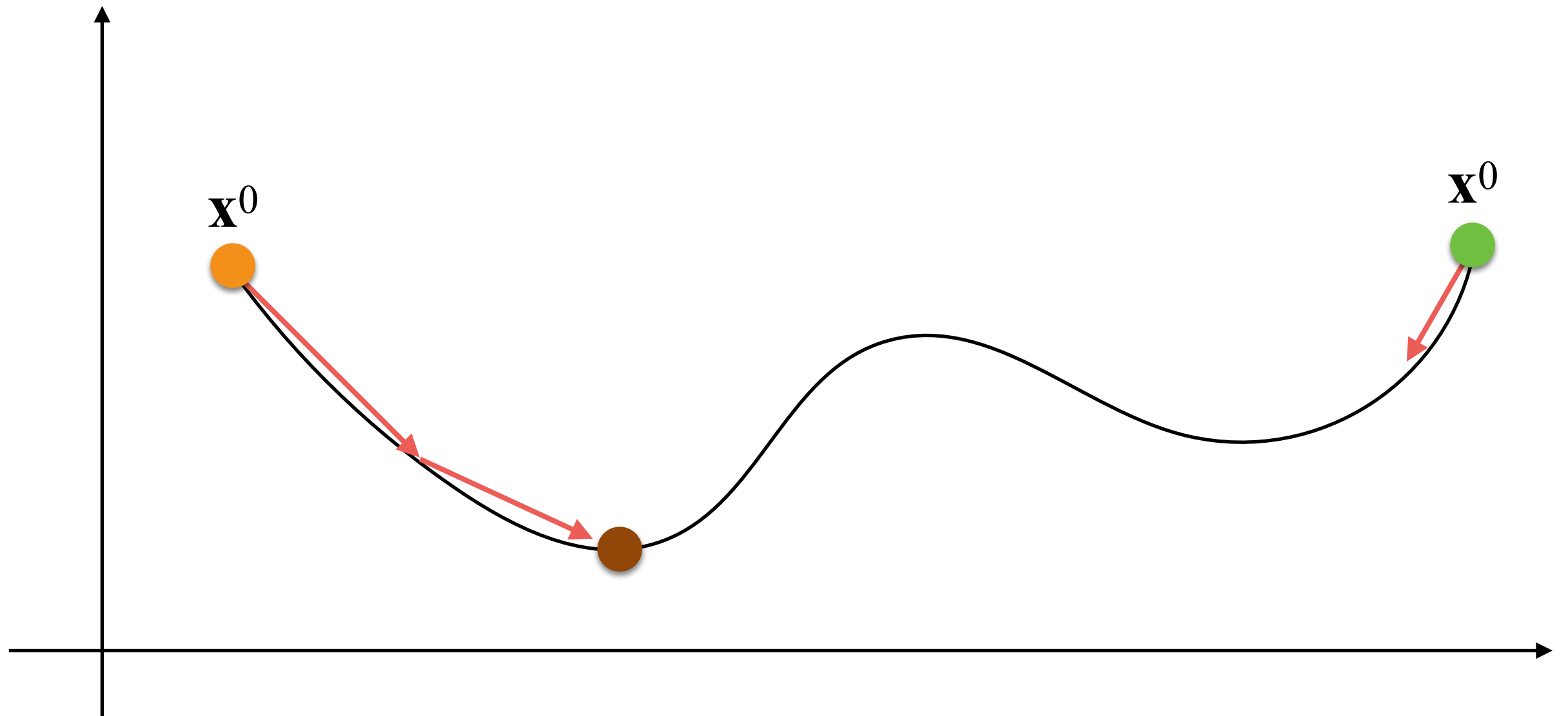
# Gradient Descent



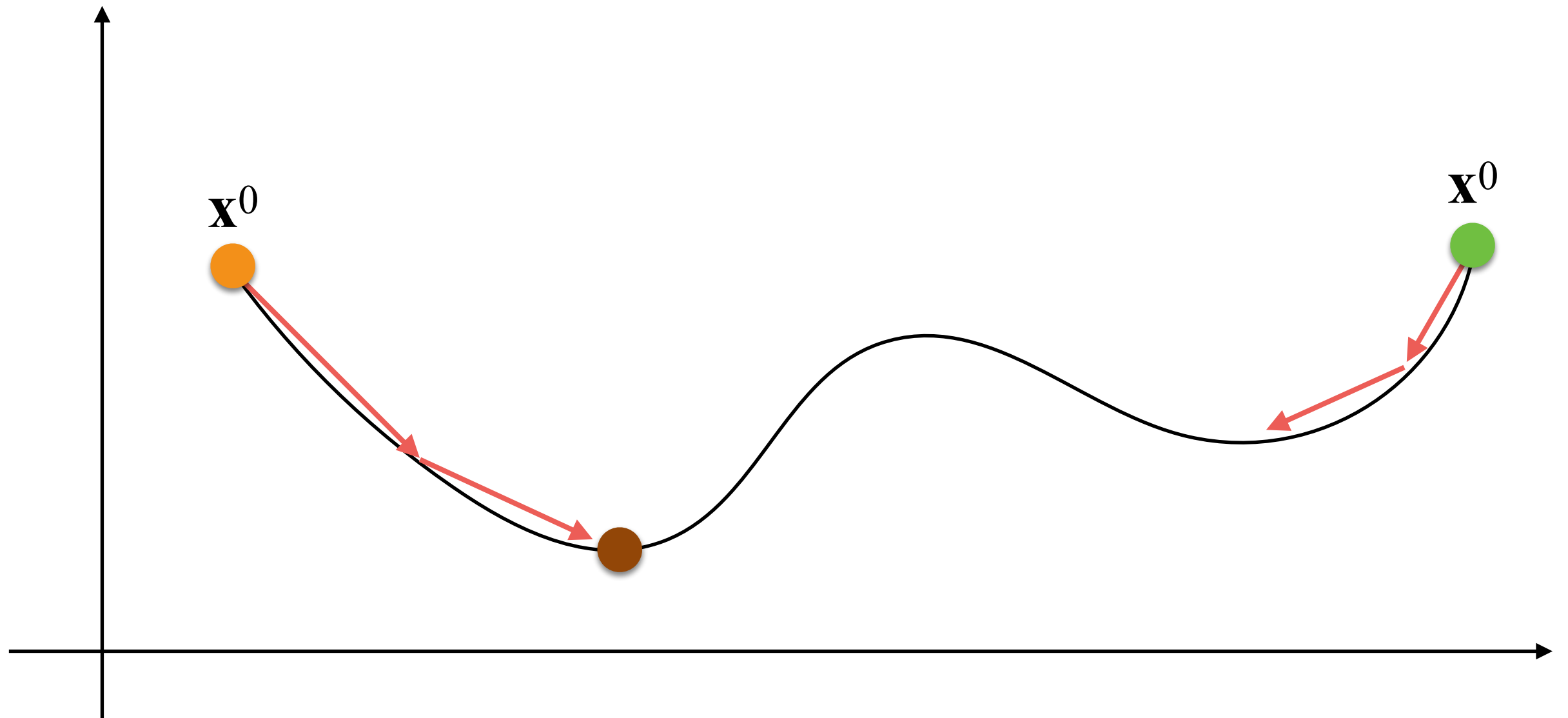
# Gradient Descent



# Gradient Descent

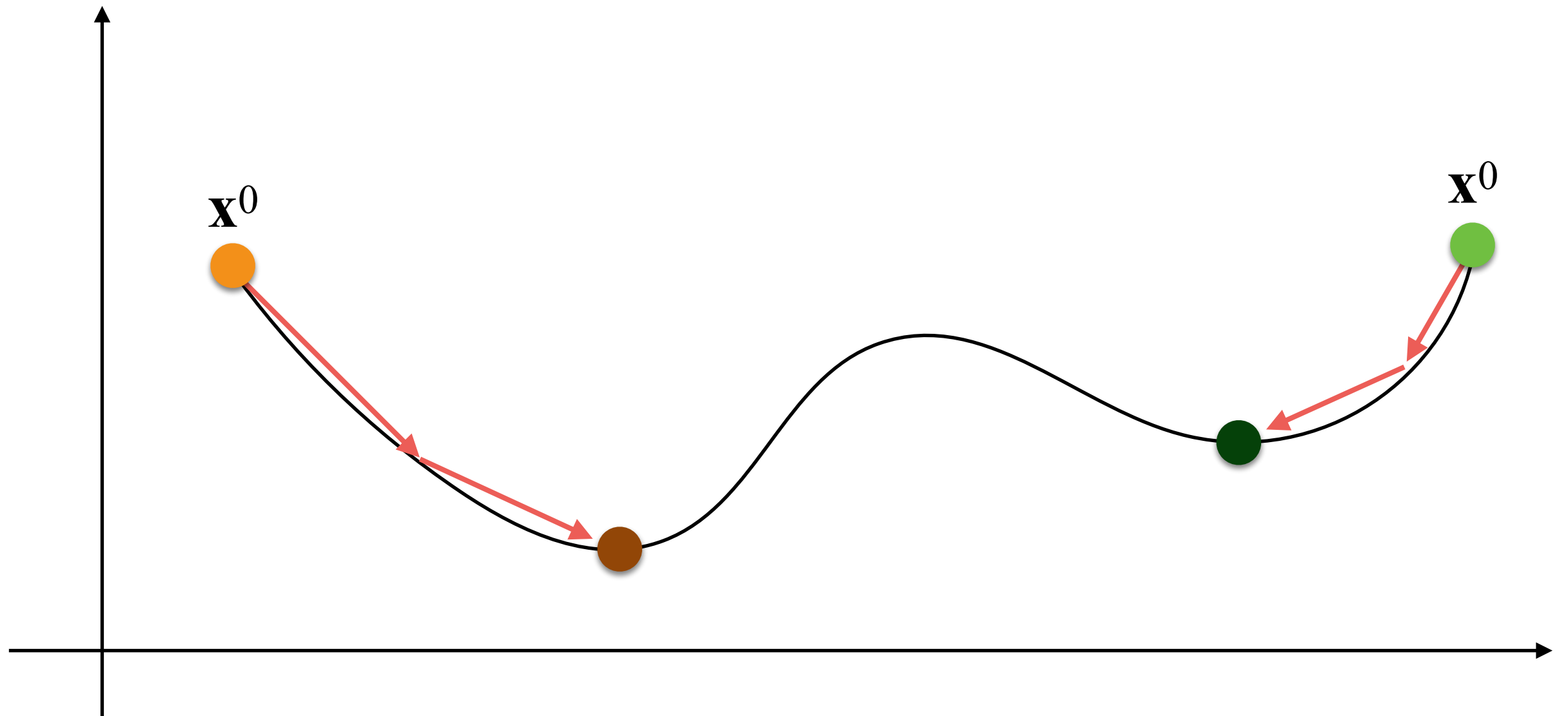


# Gradient Descent





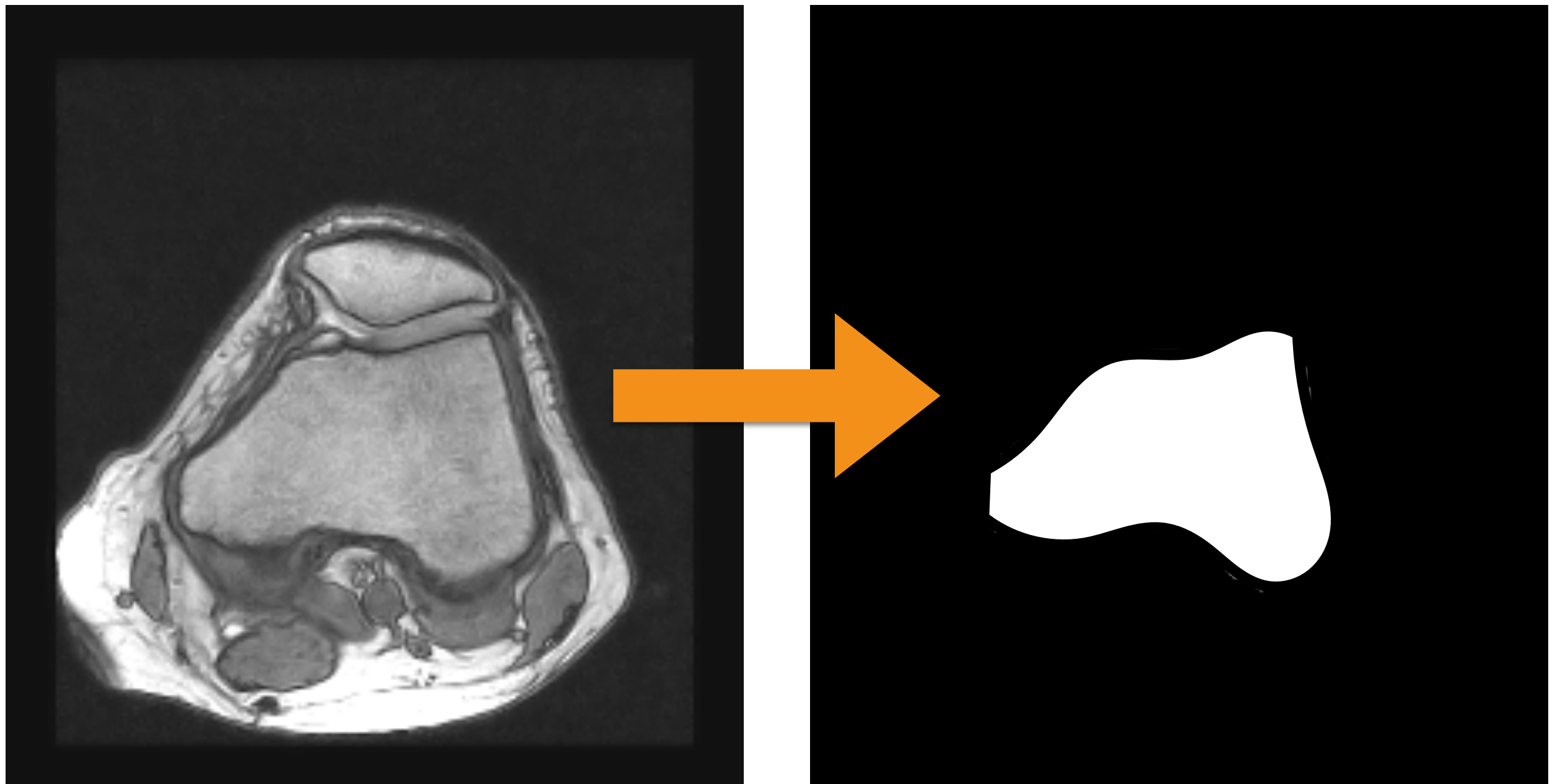
# Gradient Descent



# Snakes: Gradient Descent

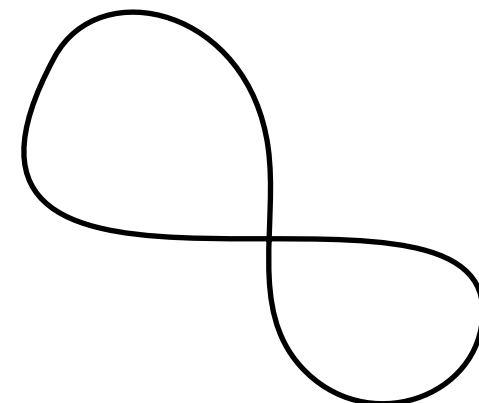
- What is our  $\mathbf{x}^0$  in the snake minimization?
- We need to click a few points in the image around our object of interest!

# Snakes An Example



# Snakes

- Extension to the 3D case:
  - Instead of a curve we have a parametric surface; e.g., we can start using a sphere.
- Disadvantages:
  - We may have an over-smooth boundaries when using splines
  - How many  $n$  control points?
  - Not trivial to avoid self-intersection!



Stroke-Based

# Stroke-Based

- Stroke-based algorithms are based on the idea to define with a stroke what is foreground (i.e., our object of interest) and what is background.
- These strokes are roughly painted.
- However, they have to be placed in areas where we are 100% sure how to classify the image.

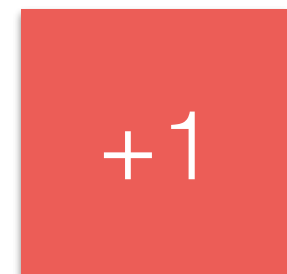
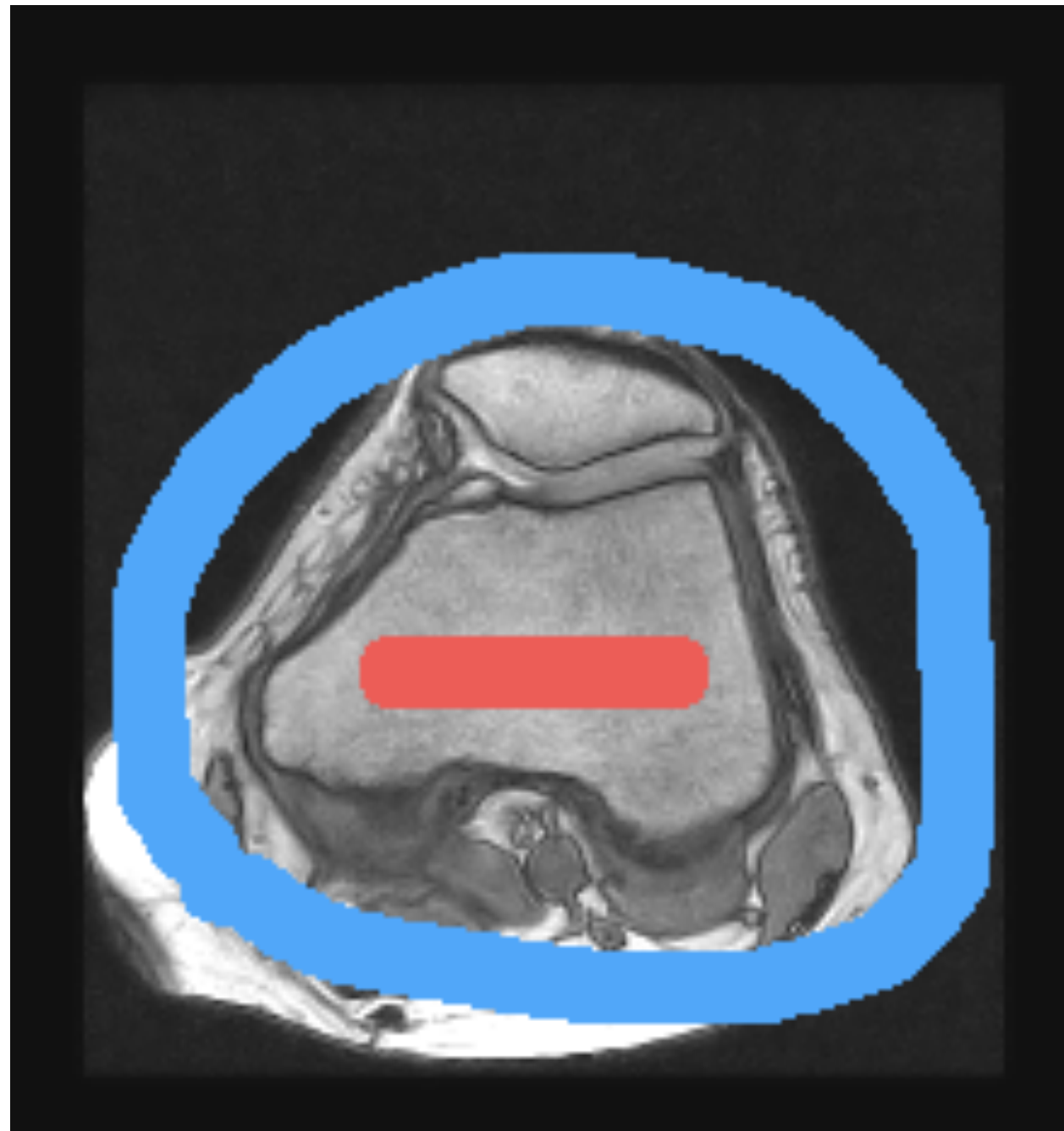
# Stroke-Based



+1

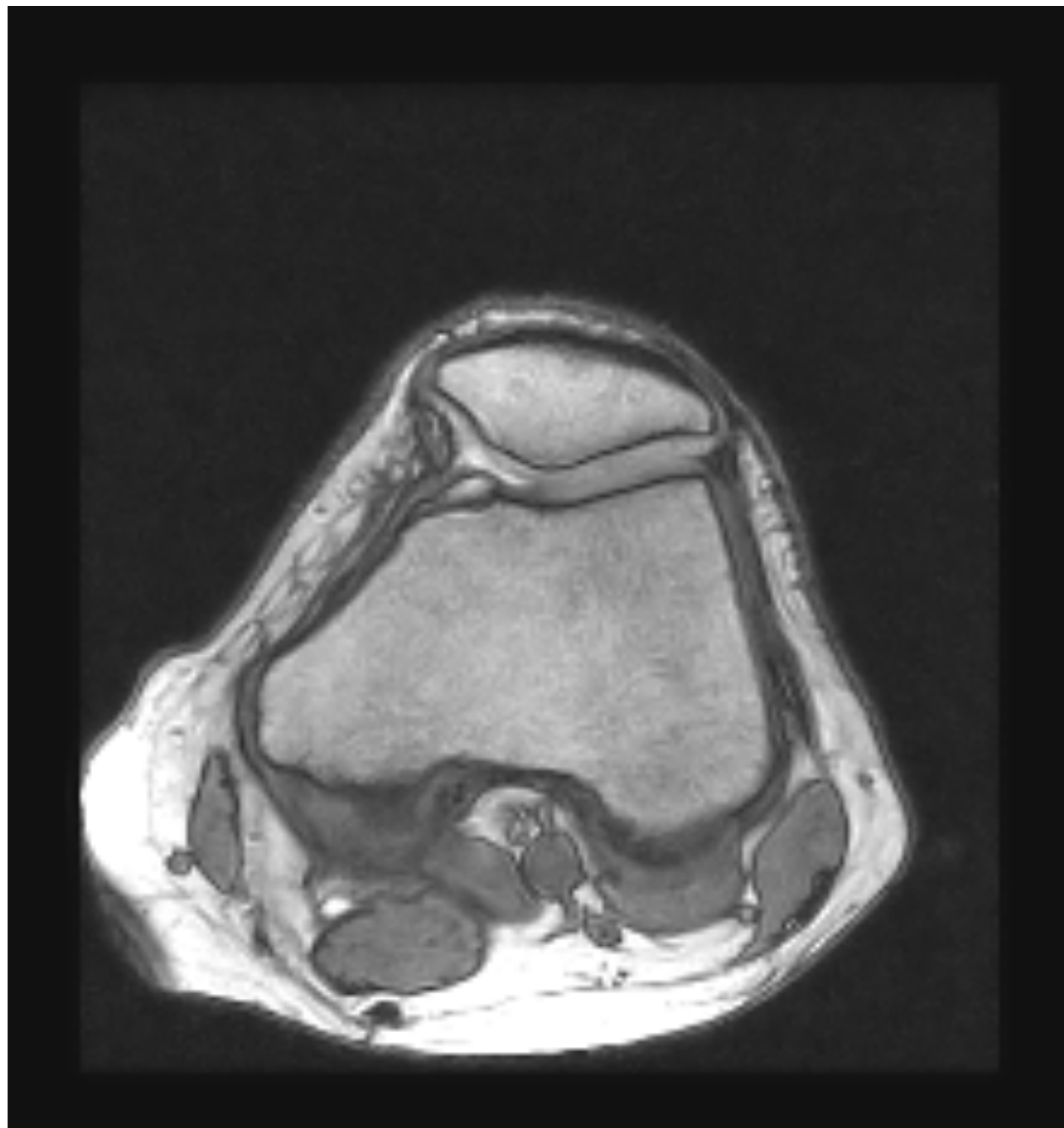
-1

# Stroke-Based





# Stroke-Based



$C$



$S$

# Stroke-Based: Grow-Cut

- Grow-cut is a stroke-based method.
- The idea is to propagate the label of the current pixels if its neighbors are “similar”.

# Stroke-Based: Grow-Cut

- For each pixel, we have:

$$< l_i; \theta_i; C_i >$$

- Initialization for pixels **not covered** by a stroke ( $s$ ):

$$< l_i = 0; \theta_i = 0; C_i = I(x_i, y_i) > \quad \forall_i s(x_i, y_i) = 0$$

- Initialization for pixels **covered** by a stroke ( $s$ ):

$$< l_i = s(x_i, y_i); \theta_i = 1; C_i = I(x_i, y_i) > \quad \forall_i s(x_i, y_i) \neq 0$$

# Stroke-Based: Grow-Cut

- For each pixel, we have:

$$\text{Label} \langle \overset{\text{Strength}}{\boxed{l_i; \theta_i; C_i}} \rangle \text{Intensity}$$

- Initialization for pixels **not covered** by a stroke ( $s$ ):

$$\langle l_i = 0; \theta_i = 0; C_i = I(x_i, y_i) \rangle \quad \forall_i s(x_i, y_i) = 0$$

- Initialization for pixels **covered** by a stroke ( $s$ ):

$$\langle l_i = s(x_i, y_i); \theta_i = 1; C_i = I(x_i, y_i) \rangle \quad \forall_i s(x_i, y_i) \neq 0$$

# Stroke-Based: A Single Grow-Cut Pass

- For each pixel  $I$  in the image:
- We copy the previous status:

$$\langle l_i^{t+1}, \theta_i^{t+1}, I_i^{t+1} \rangle = \langle l_i^t, \theta_i^t, I_i^t \rangle$$

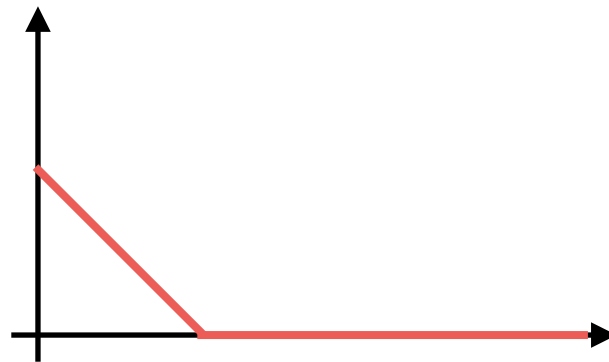
- For each neighbor  $j$  of  $i$ :
- if  $g(\|C_i^t - C_j^t\|_2)\theta_j^t > \theta_i^t$  then

$$\begin{aligned} l_i^{t+1} &= l_j^t \\ \theta_i^{t+1} &= g(\|C_i^t - C_j^t\|_2) \cdot \theta_j^t \end{aligned}$$

# Stroke-Based: A Single Grow-Cut Pass

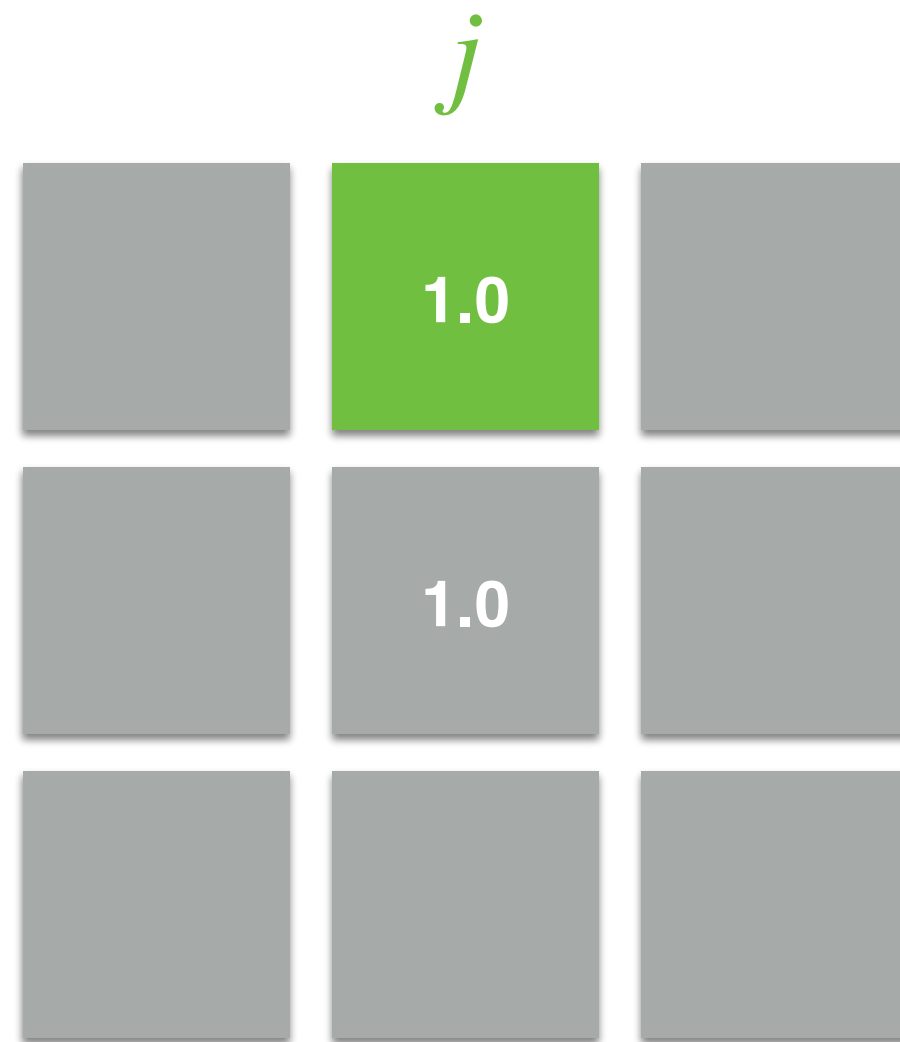
- Note that  $g$  is a decreasing function. For example:

$$g(x) = \begin{cases} 1 - x & \text{if } x \leq 1 \\ 0 & \text{otherwise} \end{cases}$$



- This means that if the two pixels, which we compare, are close in intensity/color values they should have the same label  $l$ .
- They should also share the same label the neighbors have a higher strength!

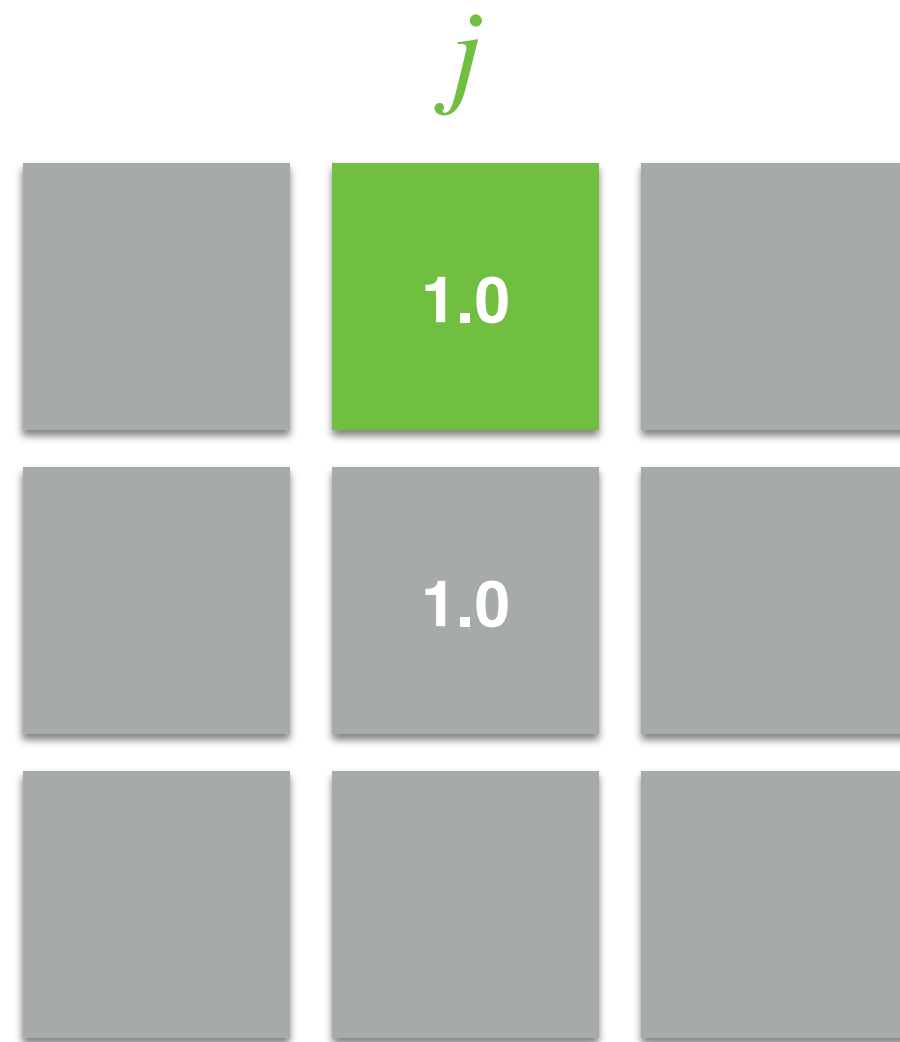
# Stroke-Based: Example 1 - Switching Labels



$$g(\|C_i^t - C_j^t\|_2)\theta_j^t > \theta_i^t$$

# Stroke-Based:

## Example 1 - Switching Labels

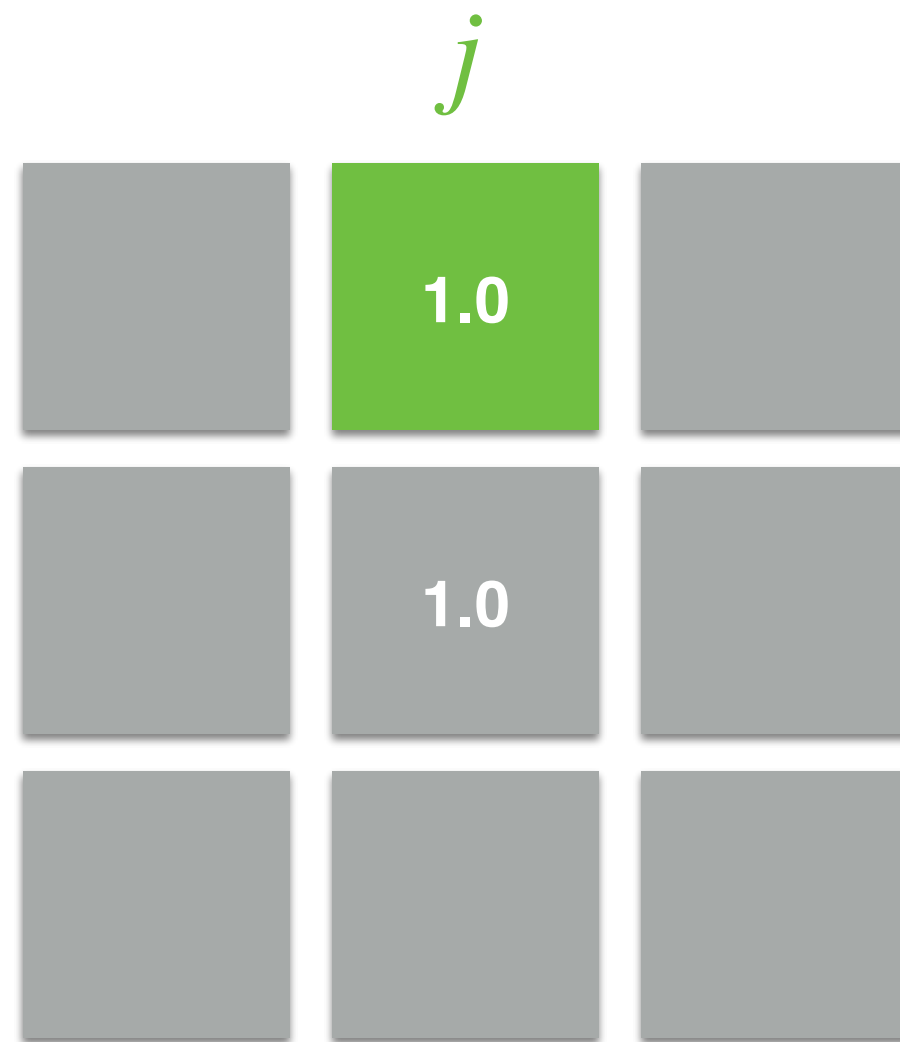


$$g(\|1.0 - 1.0\|_2)\theta_j^t > \theta_i^t$$



# Stroke-Based:

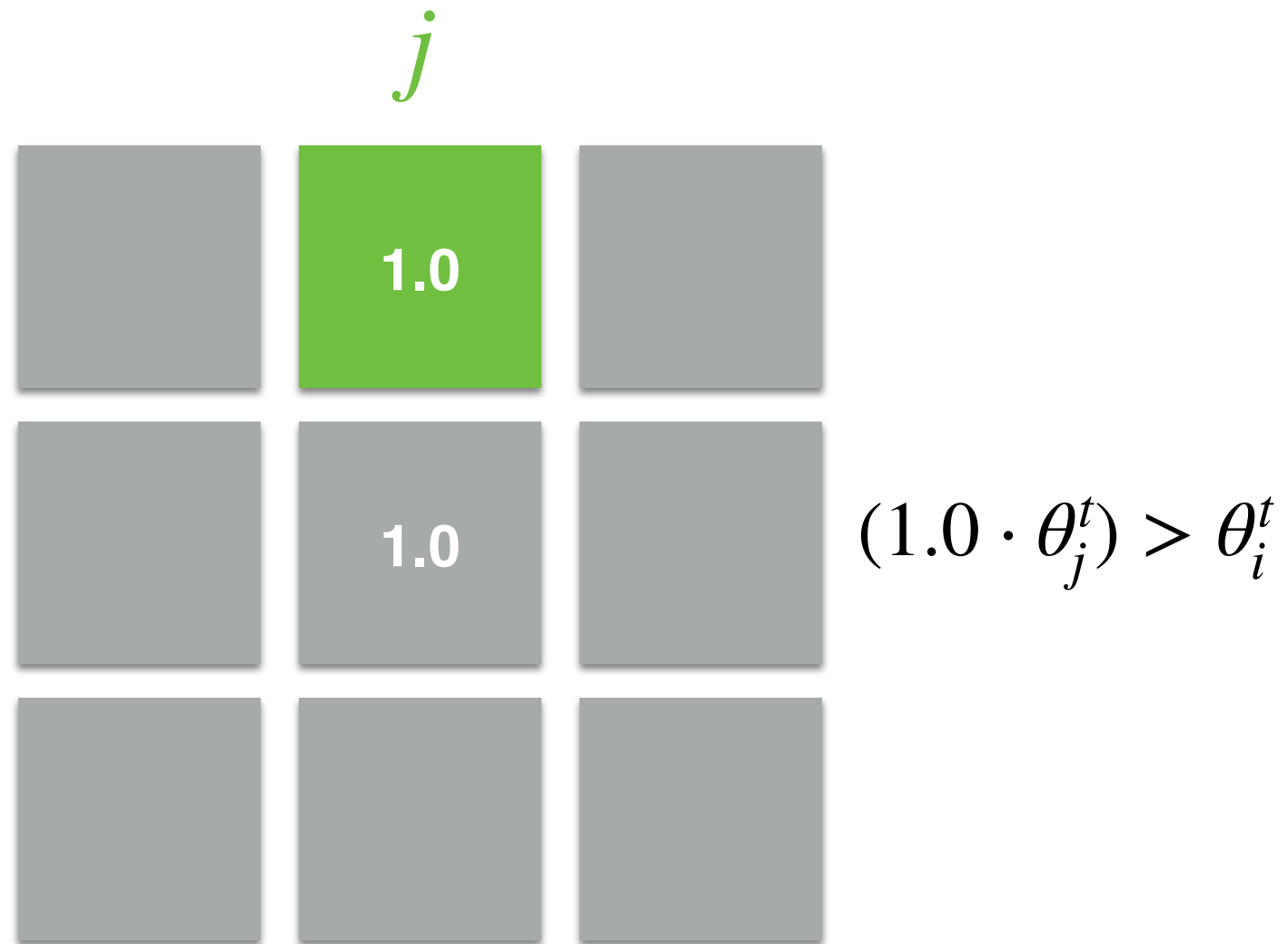
## Example 1 - Switching Labels



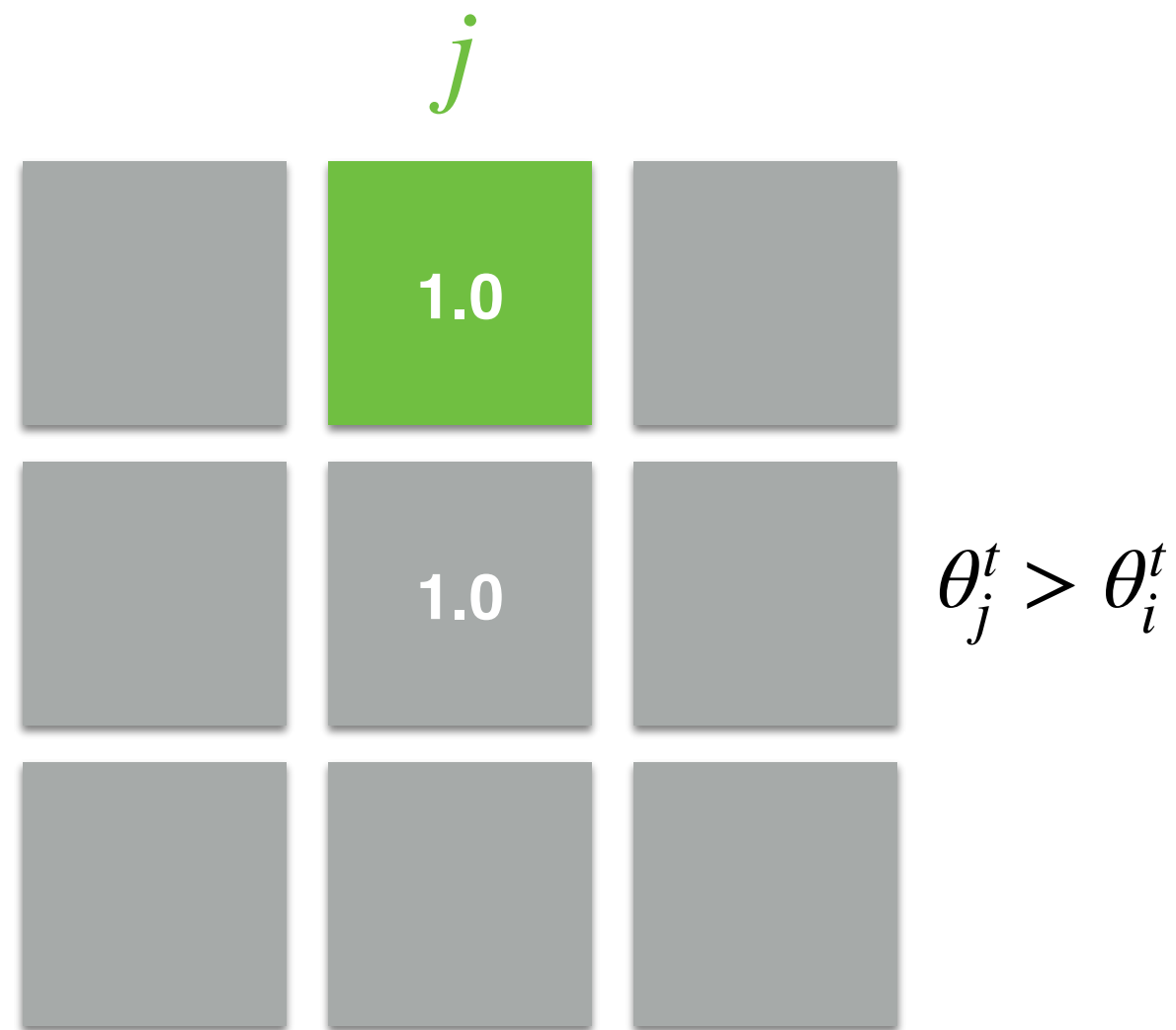
$$g(\|0.0\|_2)\theta_j^t > \theta_i^t$$

# Stroke-Based:

## Example 1 - Switching Labels

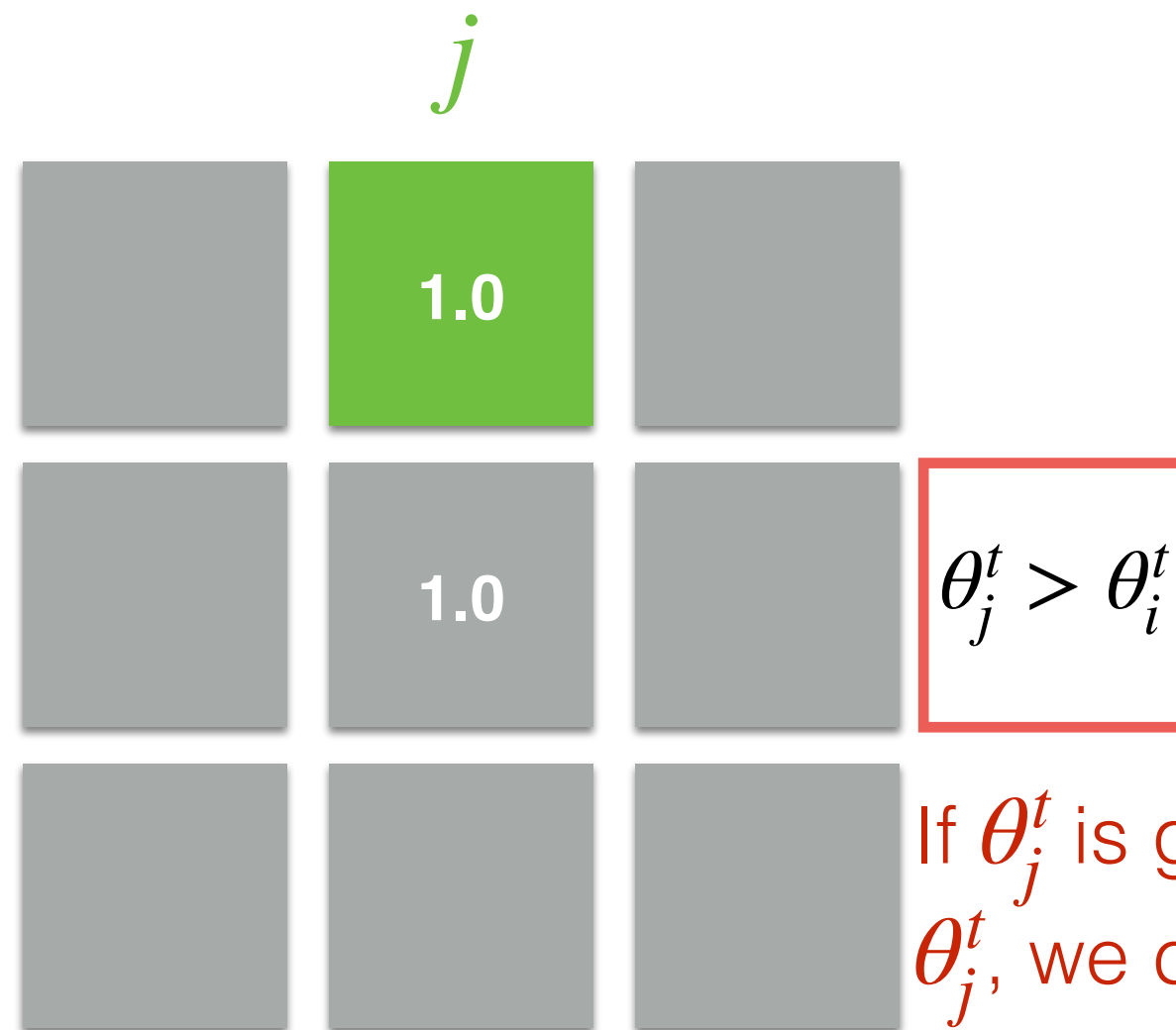


# Stroke-Based: Example 1 - Switching Labels



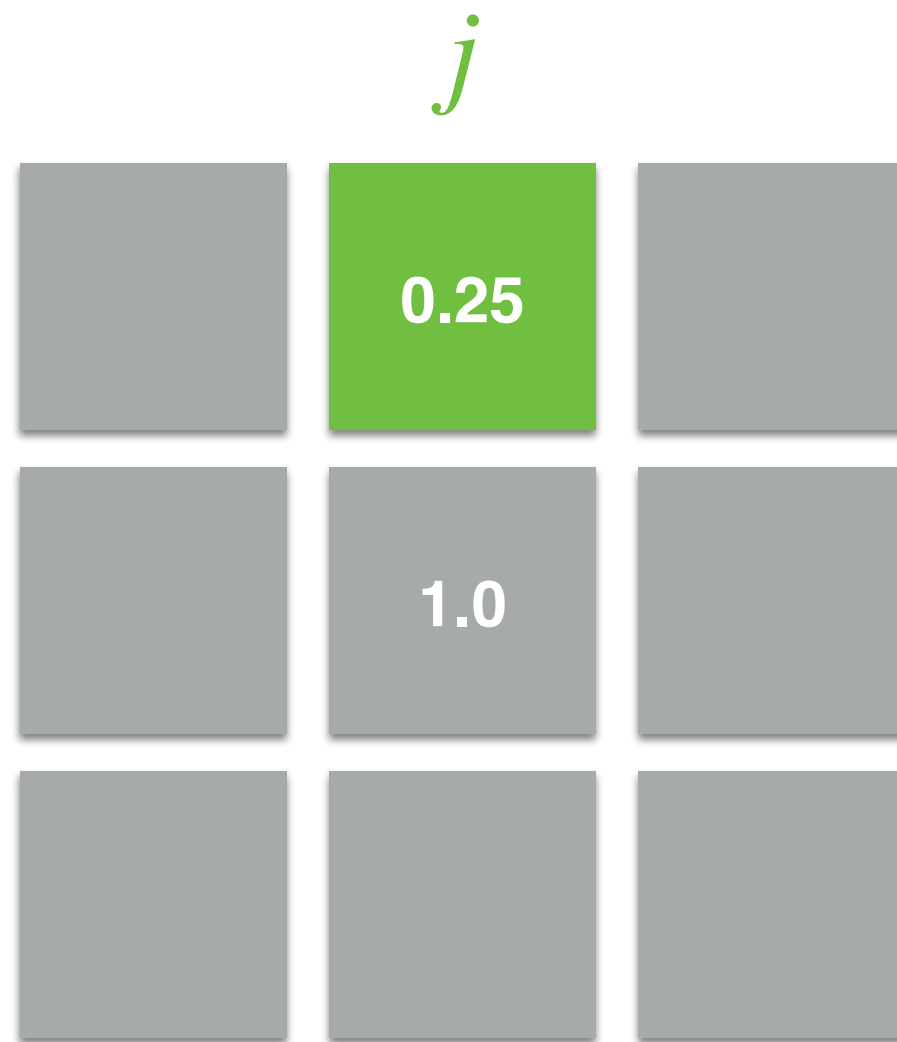
# Stroke-Based:

## Example 1 - Switching Labels



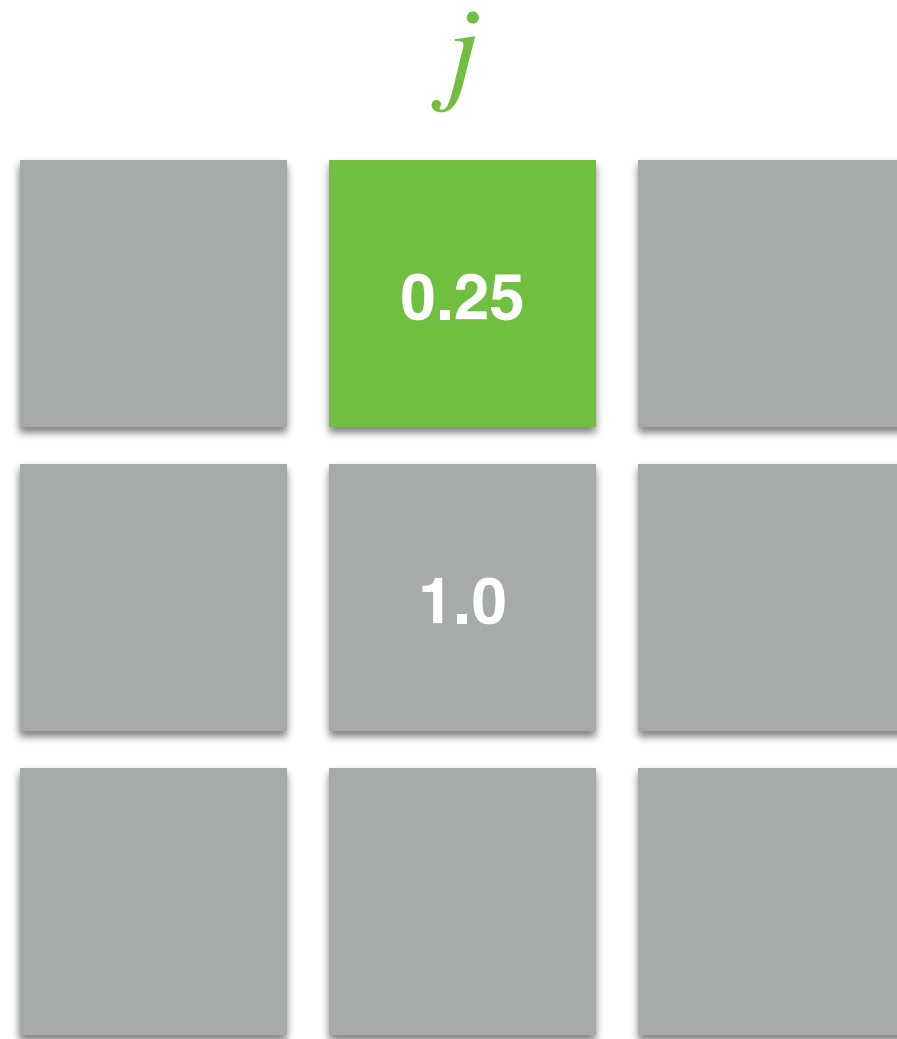
If  $\theta_j^t$  is greater than  $\theta_i^t$ , we definitely switch label.

# Stroke-Based: Example 2- Keeping Labels



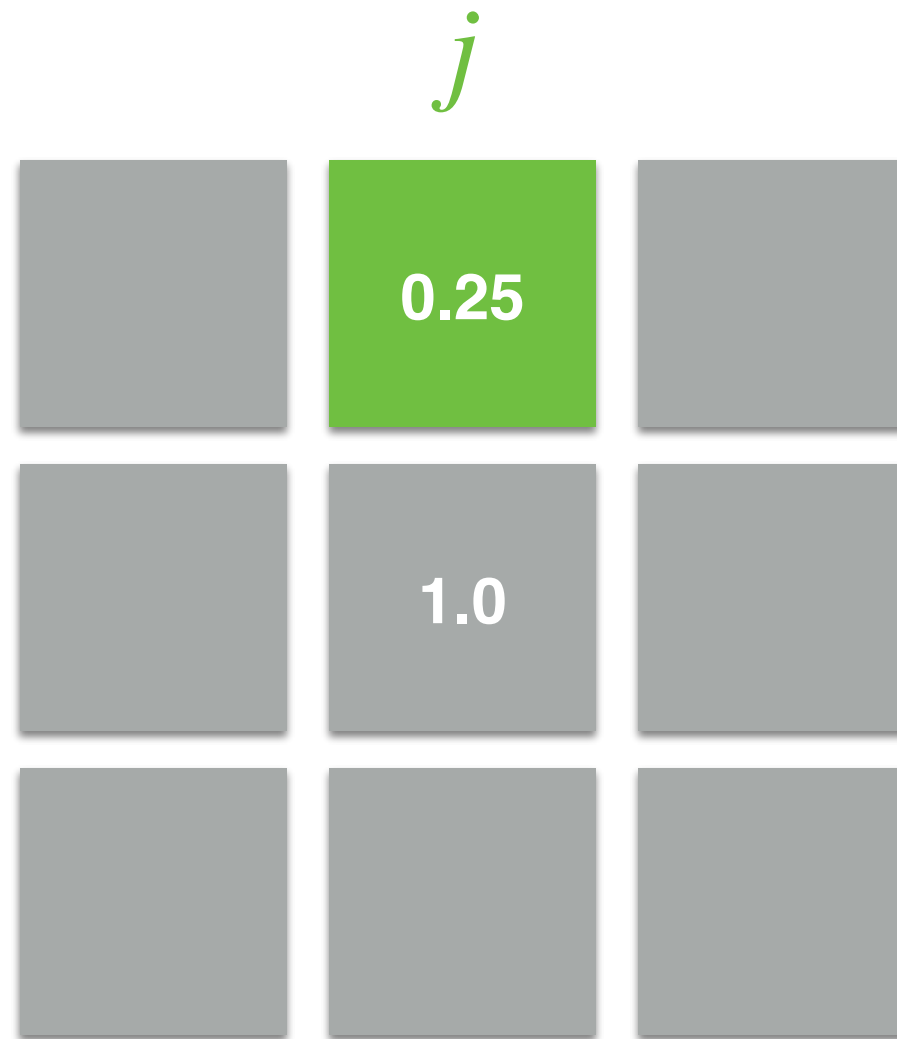
$$g(\|C_i^t - C_j^t\|_2)\theta_j^t > \theta_i^t$$

# Stroke-Based: Example 2- Keeping Labels



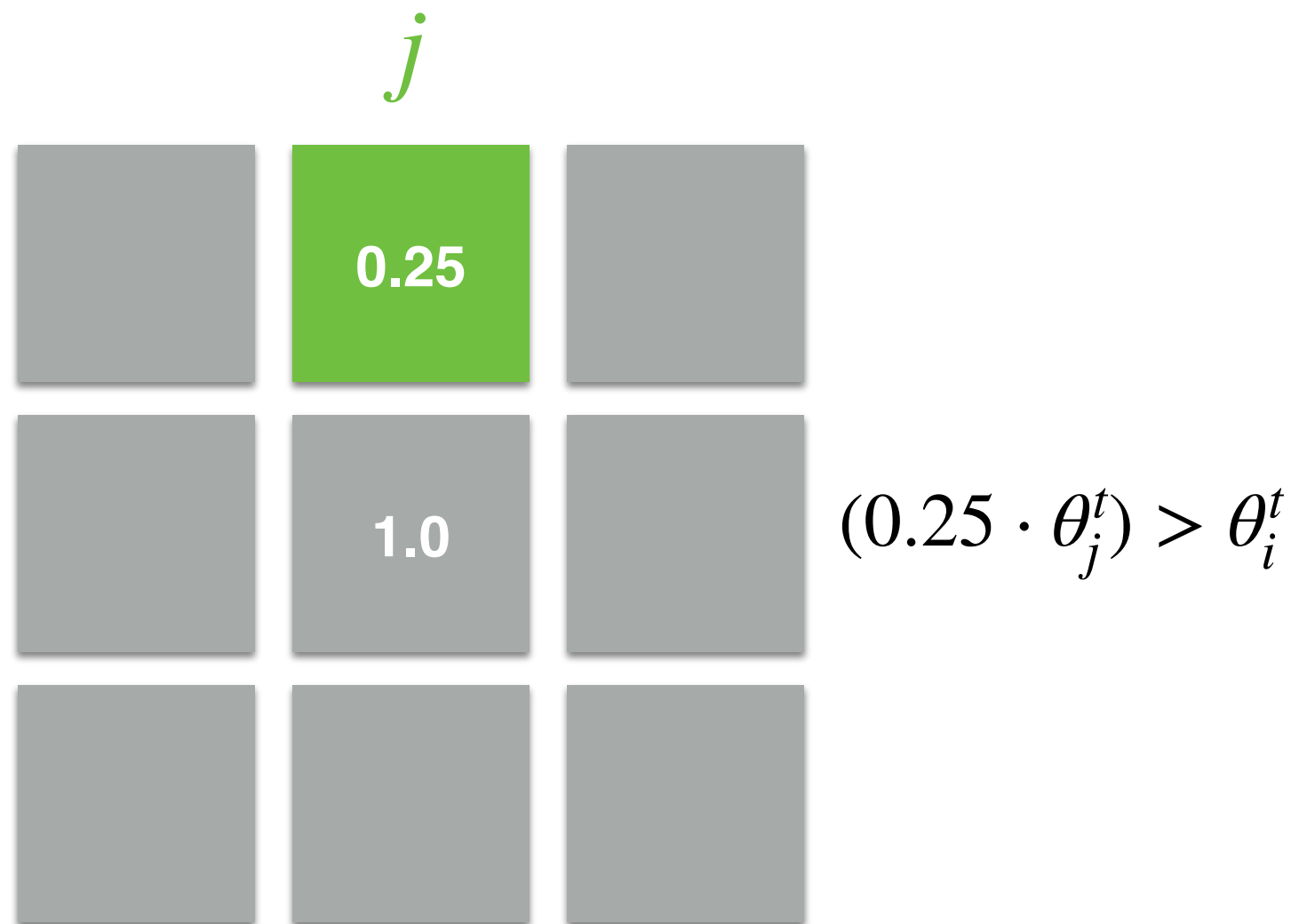
$$g(\|1.0 - 0.25\|_2)\theta_j^t > \theta_i^t$$

# Stroke-Based: Example 2- Keeping Labels



$$g(\|0.75\|_2)\theta_j^t > \theta_i^t$$

# Stroke-Based: Example 2- Keeping Labels





# Stroke-Based:

## Example 2- Keeping Labels

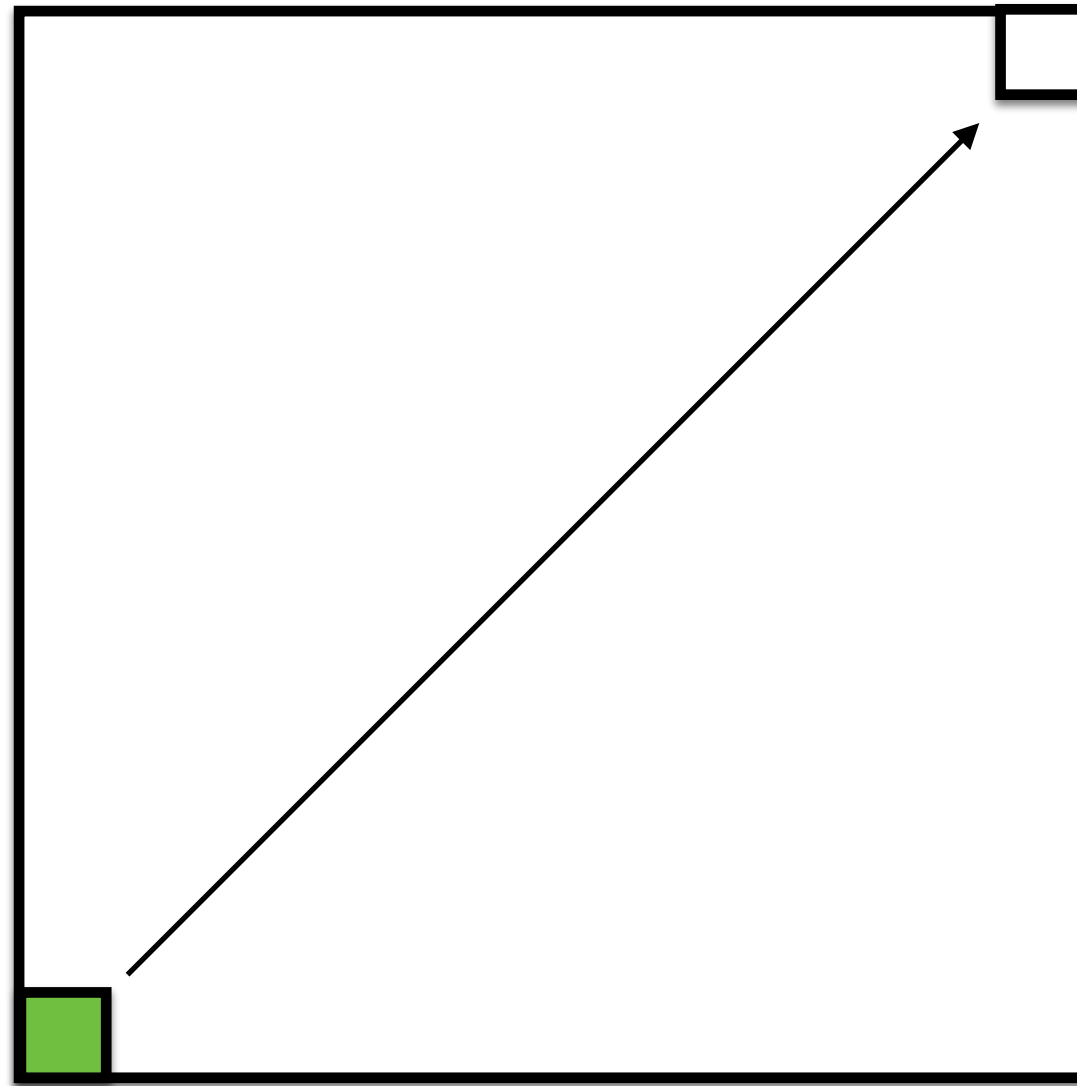


If  $\theta_j^t$  intensity is greatly reduced here; we may not switch label. **Note we still need to check the inequality!!**

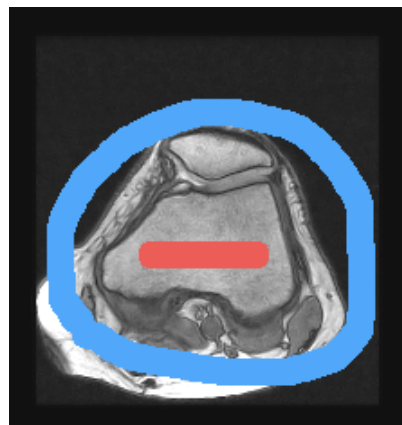
# Stroke-Based: Grow-Cut

- Stopping criteria:
  - This process is iterated until either convergence; i.e., *no changes in the labels!*
  - Labels have been propagated for enough iterations; e.g., the *number of pixels of the diagonal*. This trick is helpful for reducing the total computational time.

# Stroke-Based: Grow-Cut

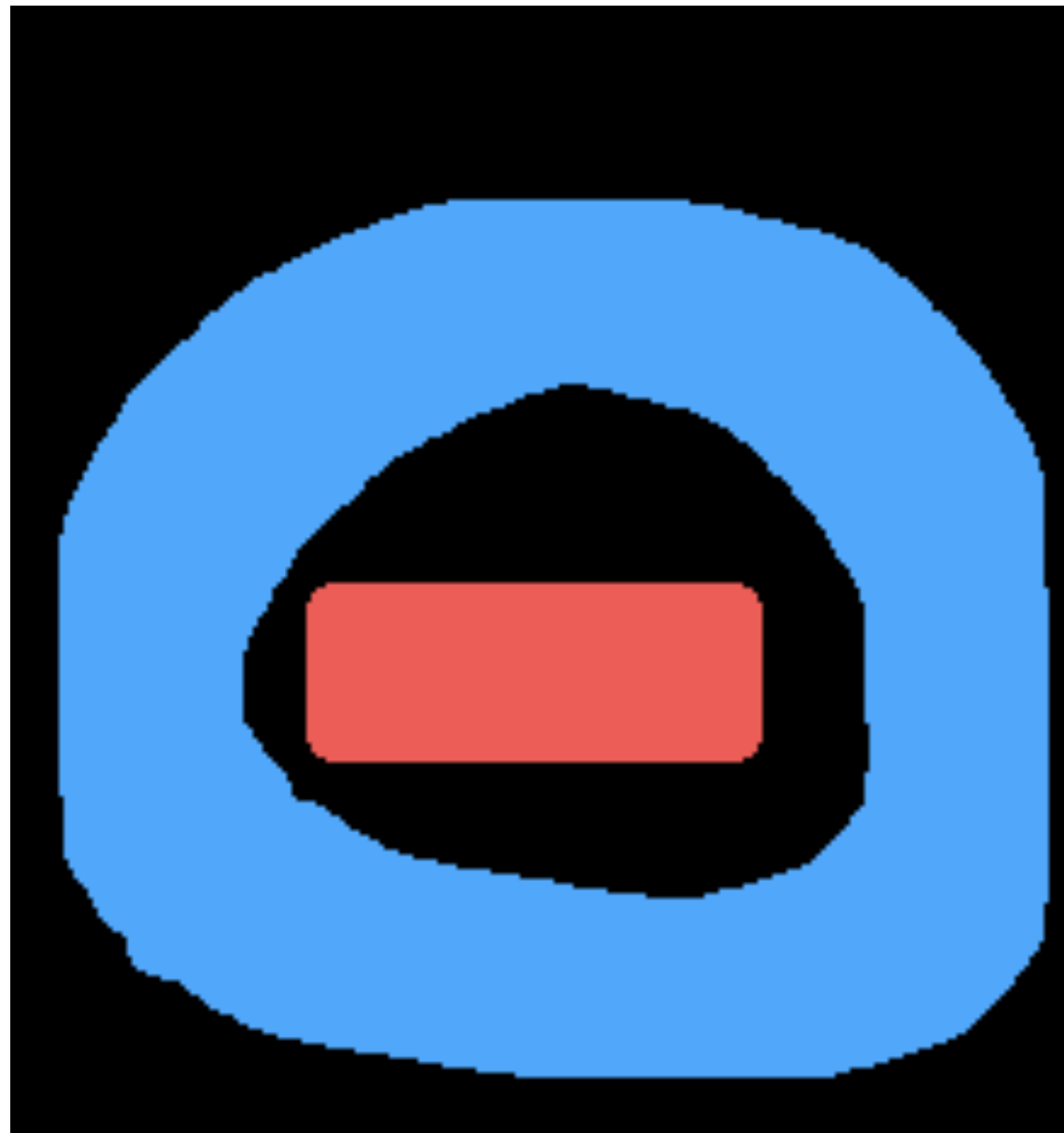
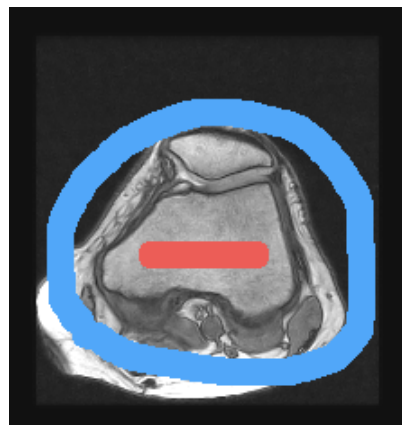


# Stroke-Based: Grow-Cut Example



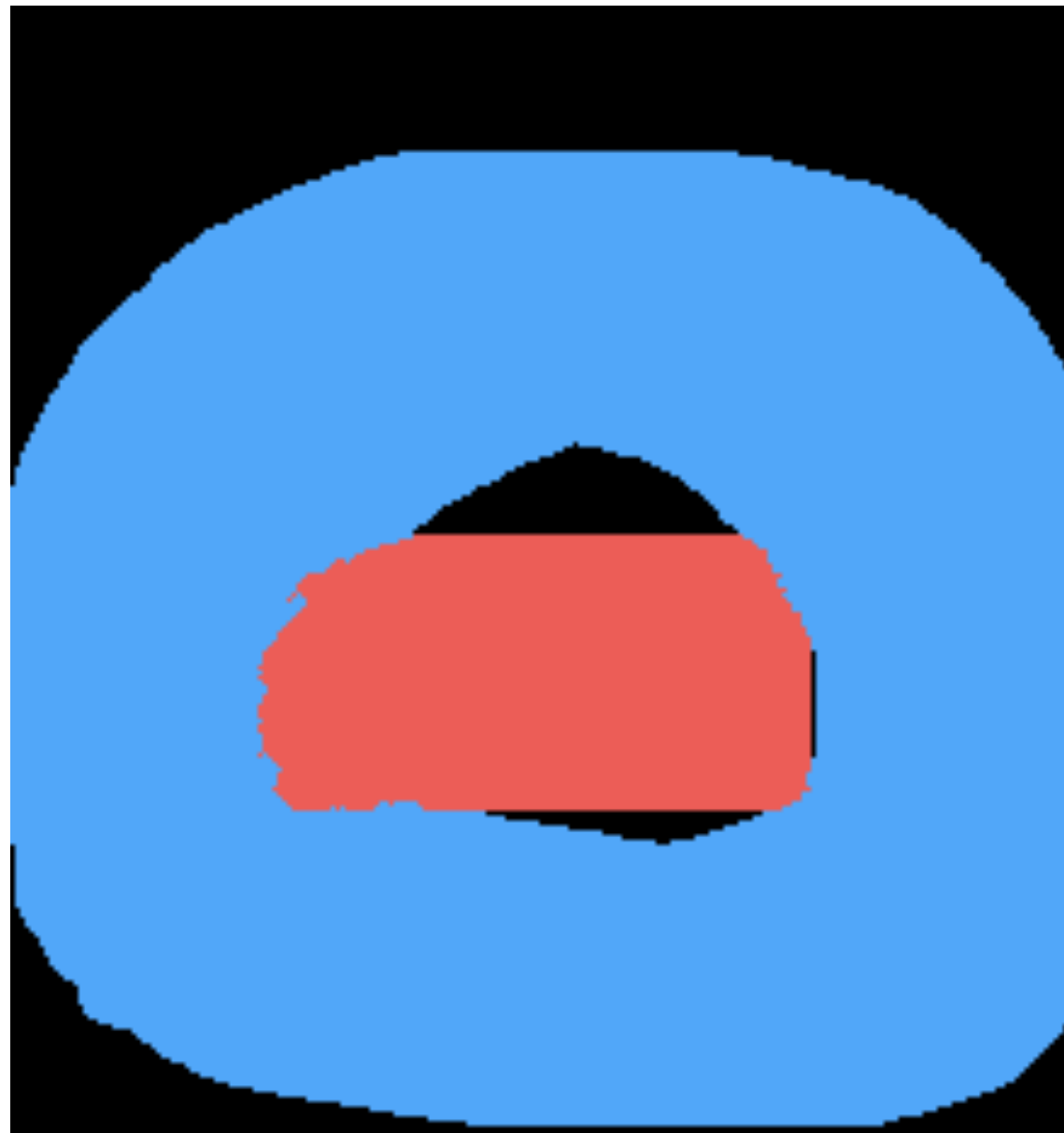
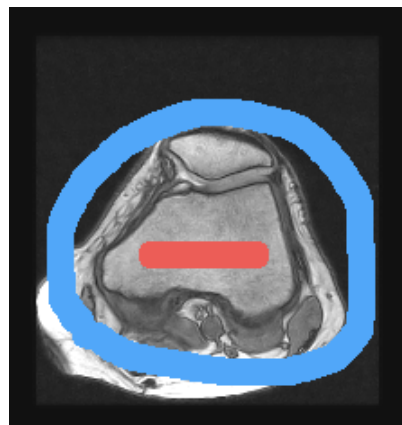
Iteration = 0

# Stroke-Based: Grow-Cut Example



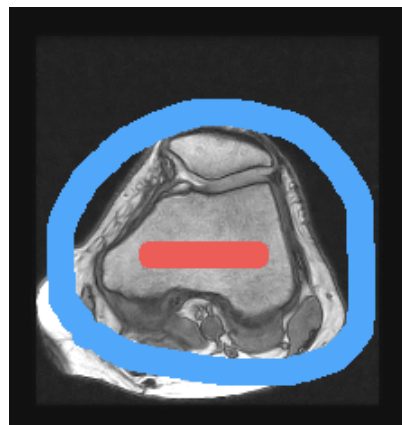
Iteration = 10

# Stroke-Based: Grow-Cut Example



Iteration = 20

# Stroke-Based: Grow-Cut Example



Iteration = 30

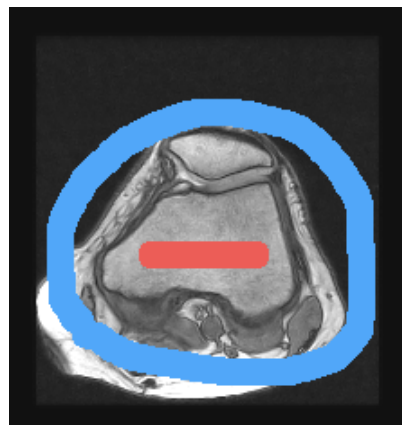
# Stroke-Based: Grow-Cut Example



Iteration = 40

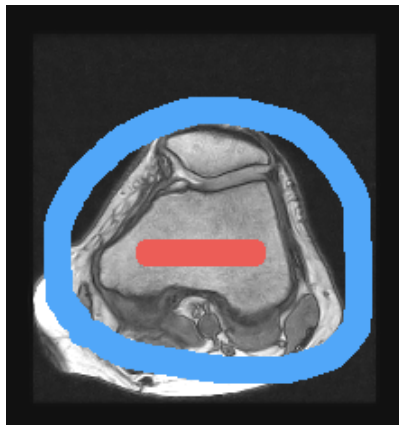


# Stroke-Based: Grow-Cut Example



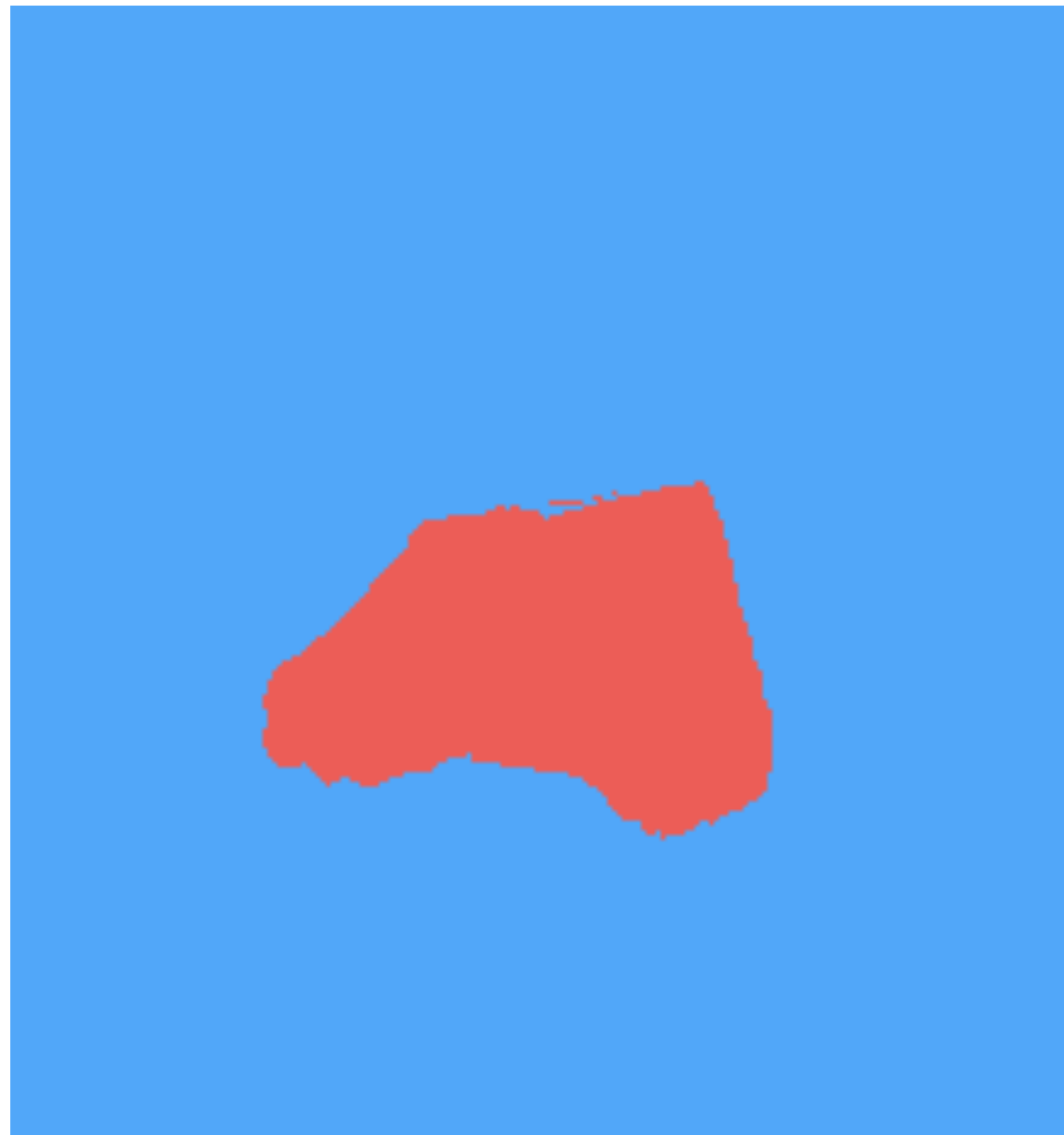
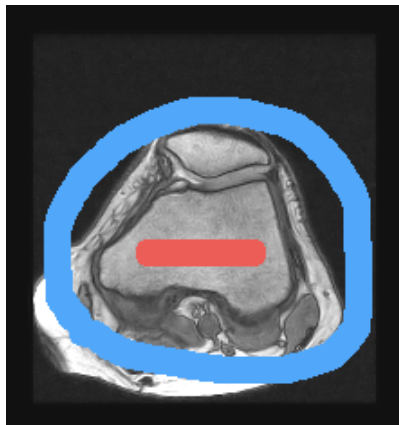
Iteration = 50

# Stroke-Based: Grow-Cut Example



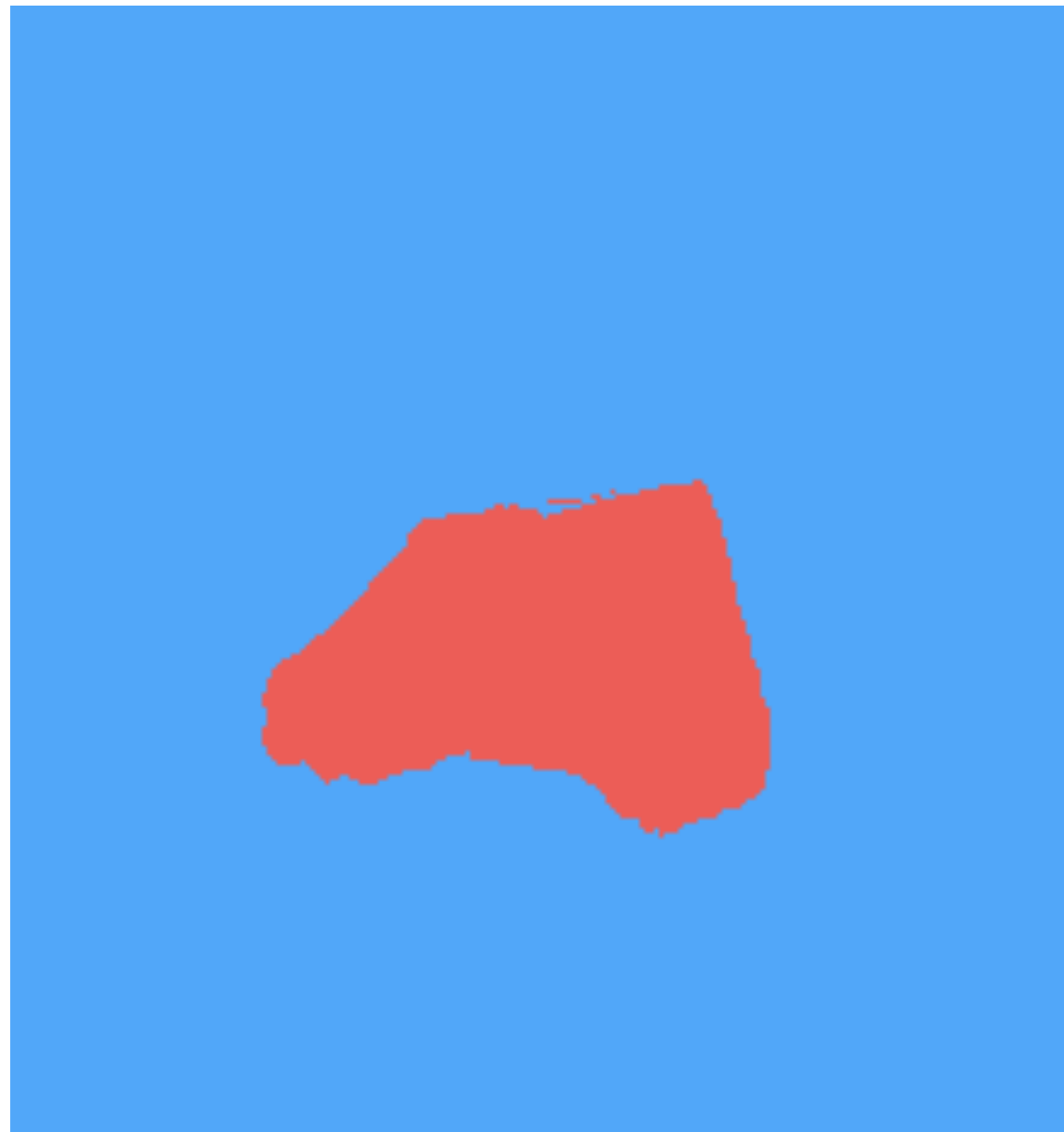
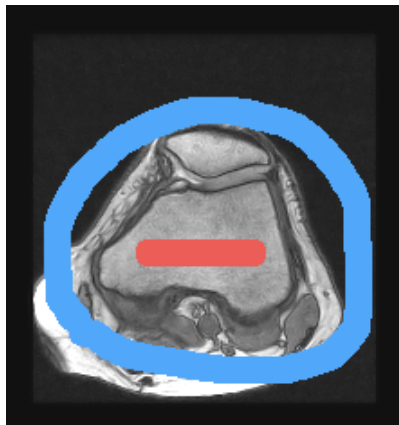
Iteration = 100

# Stroke-Based: Grow-Cut Example



Iteration = 200

# Stroke-Based: Grow-Cut Example



Iteration = 318

# Stroke-Based: Grow-Cut

- This algorithm can be extended to 3D in a straightforward way, and it can be parallelized on the GPU.
- Disadvantages:
  - It is computationally slow!

that's all folks!