

# 3D Models

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# 3D Models

- A 3D model is a computational representation of a real-world object. This is typically:
  - C0
  - Closed (not always!)
  - Discretized



# 3D Models

- Two main representations:
  - **Boundary representations** (b-rep): a 3D object is represented as a collection of connected surface elements; i.e., the boundary between solid and non-solid
  - **Volume representations**: a 3D object is represented by its interior volume. For example, 3D volumes or volume mesh (FEM)

Our focus is on

**boundary representations**

# Polygonal Meshes

# Surfaces

- A 2-dimensional region of 3-dimensional space
- A portion of space having length and breadth but no thickness

# 3D Representation: Polygonal Meshes

- Discretize the surface in a set of simple primitives:
  - Many points
  - **Triangles**
  - Quads
  - Polygons
  - Our focus is on:
    - simplicial complexes, e.g., triangles!

# Why triangular meshes?

- Two main practical reasons:
  - Data-structures are straightforward
  - Graphics hardware (e.g., a GPU) uses triangles;



# Why triangular meshes?

- Two main theoretical reasons:
  - Nice theory, i.e., simplicial complexes
  - Less limiting cases:
    - a triangle is always planar!
    - if we remove a vertex, we get another simplicial!

# Simplex

- A  $k$ -simplex,  $\sigma$ , is convex combination of  $(k+1)$  points ( $\mathbf{p}_i$ ) that are **linearly independent** in the  $k$ -dimensional Euclidian space,  $\mathbb{R}^k$ :

$$\mathbf{x} = \sum_{\mathbf{p}_i \in \sigma} \alpha_i \cdot \mathbf{p}_i$$

$$\sum_i \alpha_i = 1 \quad \wedge \quad \alpha_i \geq 0 \quad \forall i$$

- A point  $\mathbf{p}_i$  is called a vertex
- $k$  is the order of the simplex

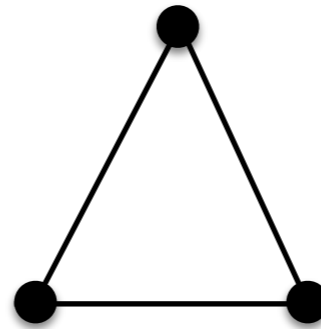
# Simplices Example



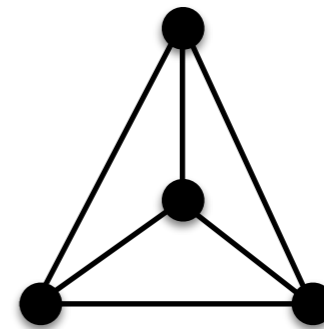
$k=0$



$k=1$



$k=2$



$k=3$

# Sub-Simplex

- A sub-simplex  $\sigma'$  is called a **face** of a simplex  $\sigma$  if it is a sub-set of vertices of  $\sigma$ .

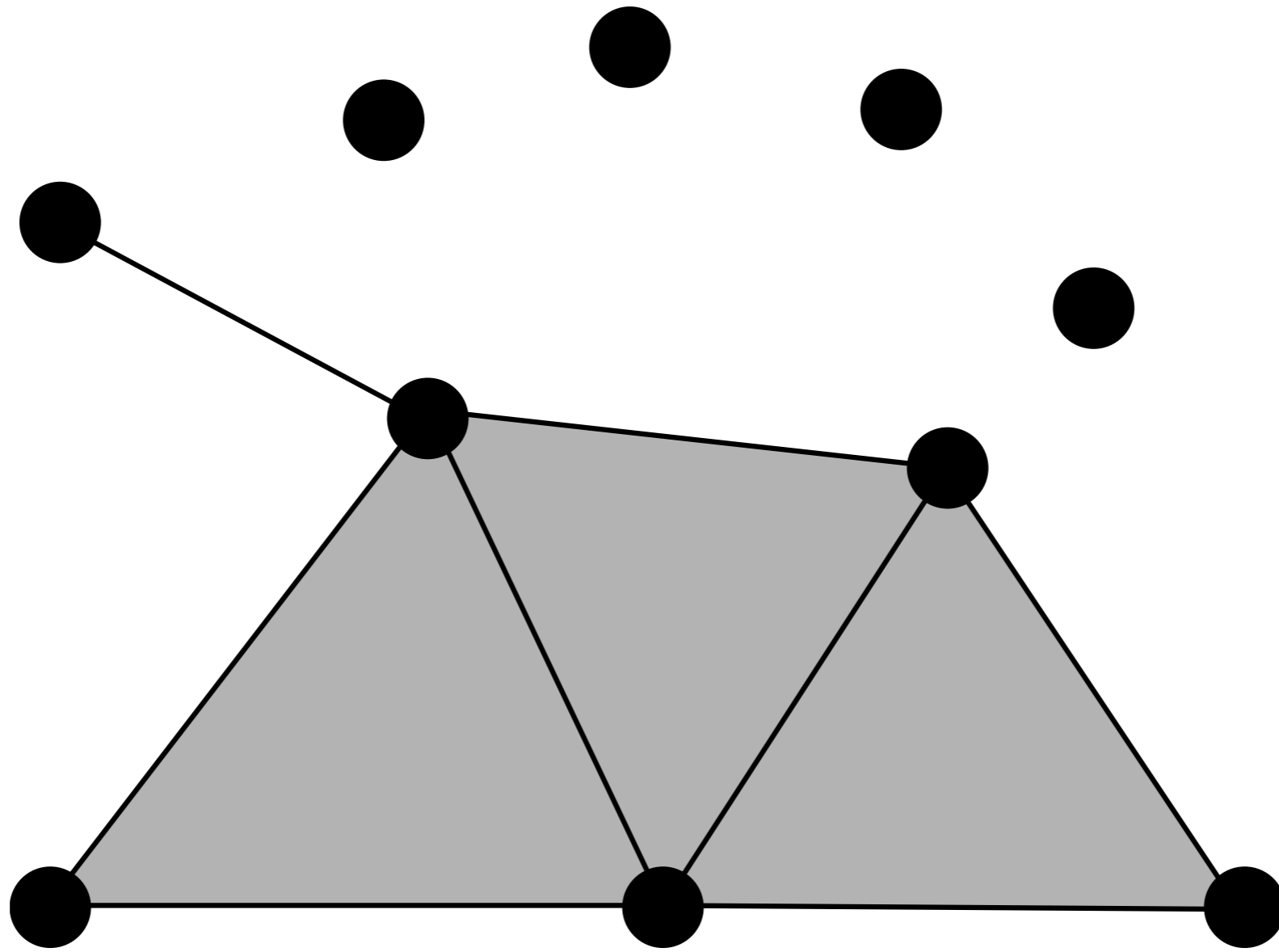
# A Simplicial $k$ -Complex

- A simplicial  $k$ -complex,  $\Sigma$ , is a finite collection of  $n$  simplices such that:
  - (i) The intersection of any two simplices of  $\Sigma$  is a face of each of them
  - (ii) Every face of a simplex,  $\sigma$ , of is in  $\Sigma$

**NOTE:**  $k$  is the maximum order of all  $\sigma$  in  $\Sigma$

# Simplicial Complexes

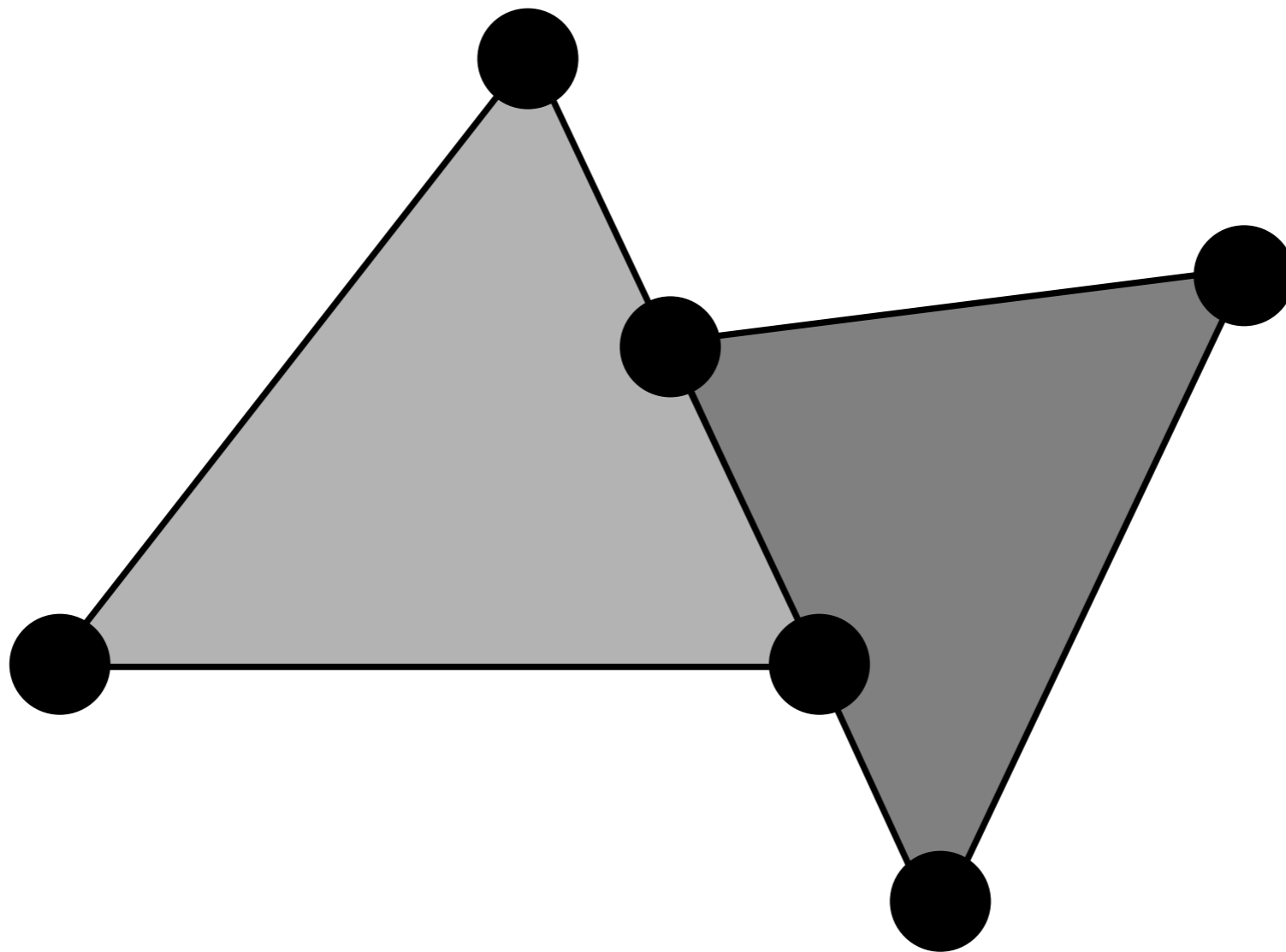
## Example



**GOOD!**

# Simplicial Complexes

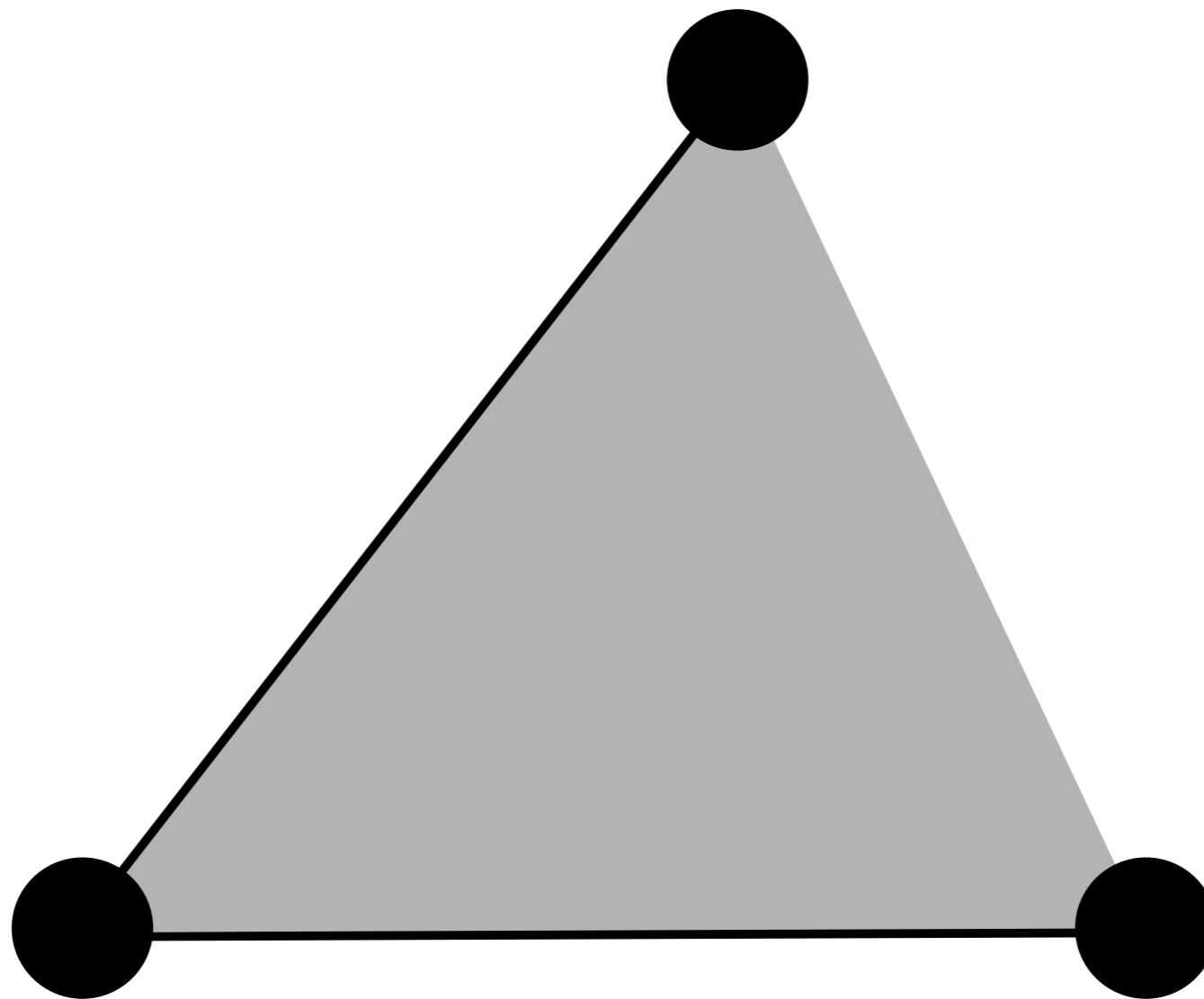
## Example



**BAD: is not valid!  $\rightarrow$  Condition (i)**

# Simplicial Complexes

## Example



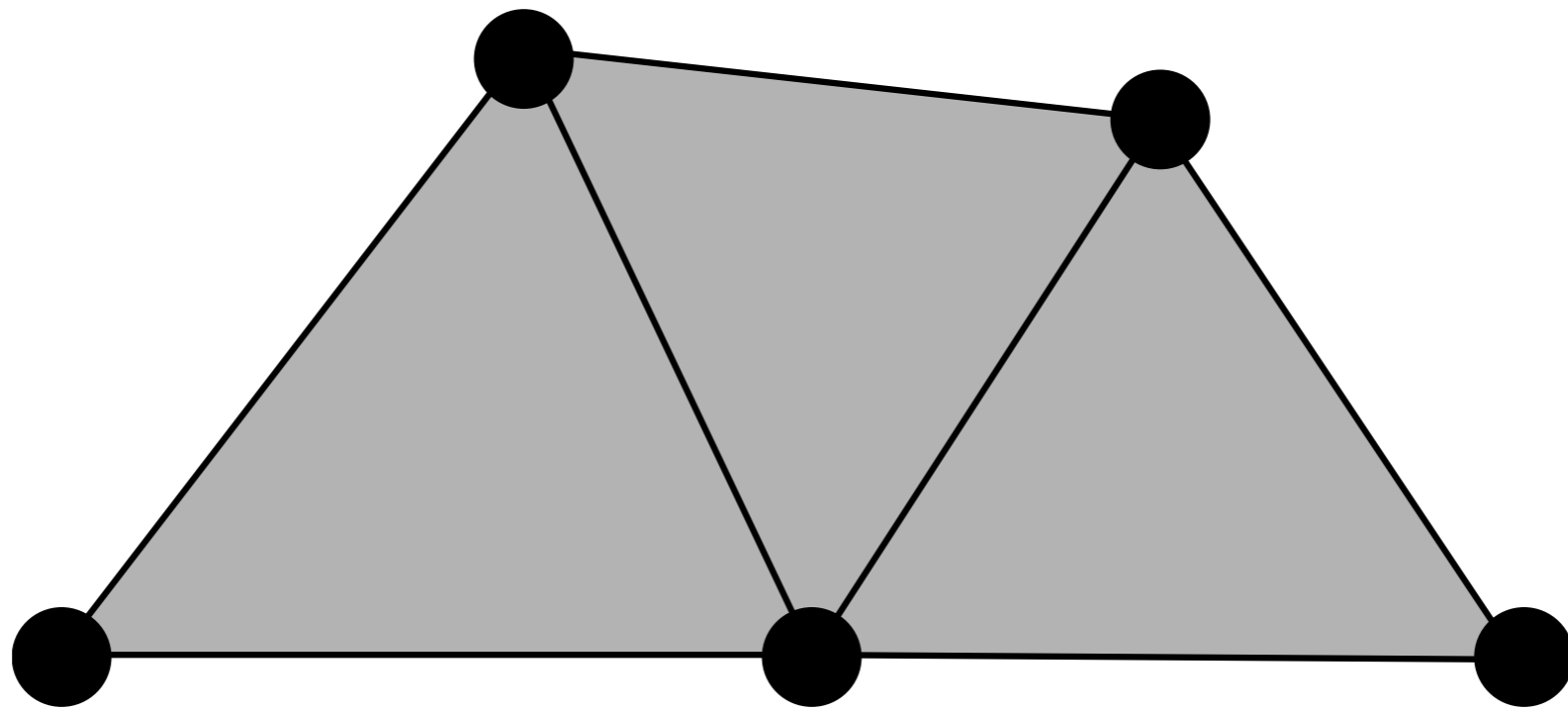
**BAD: is not valid!  $\rightarrow$  Condition (ii)**



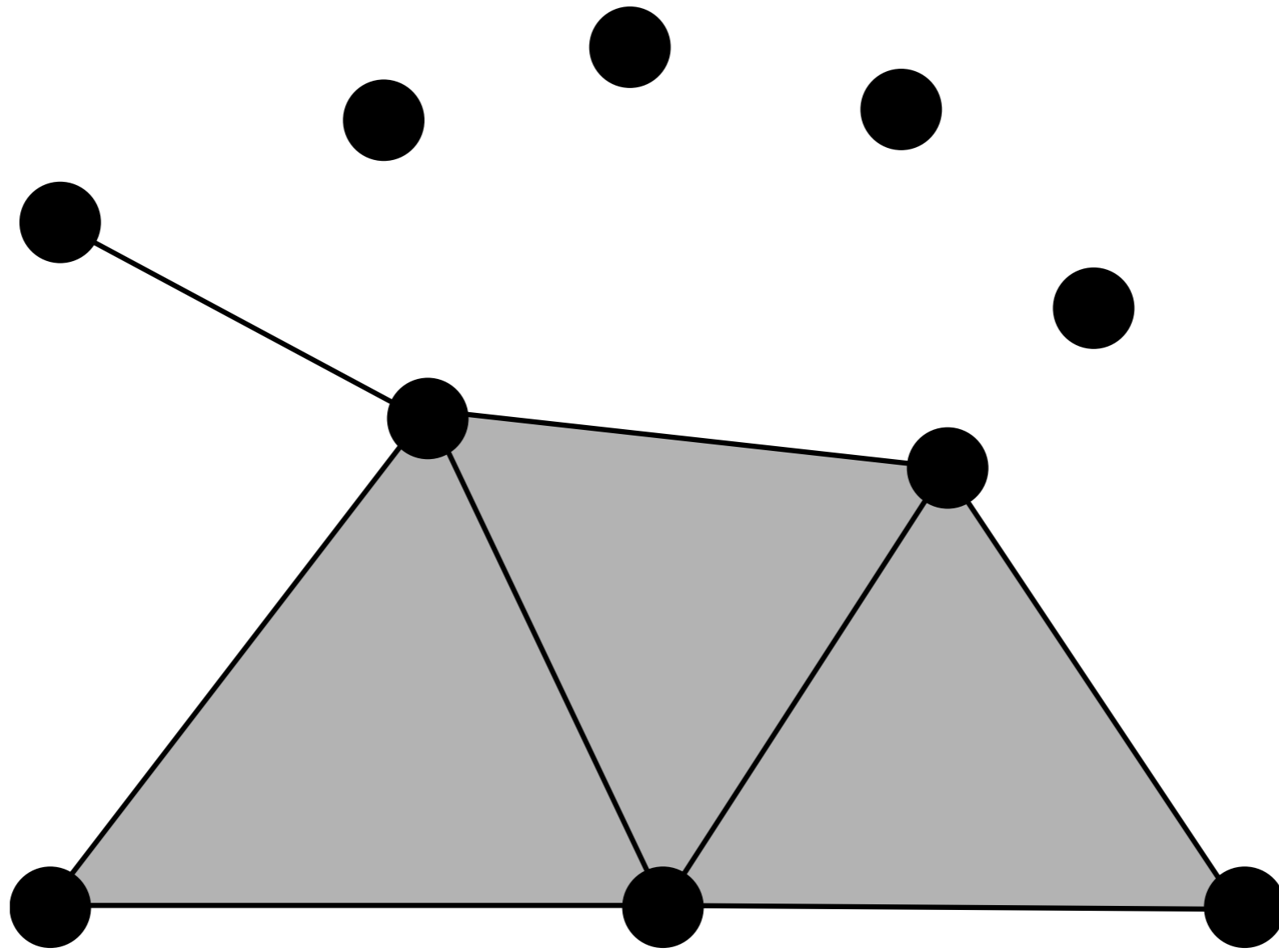
# Simplicial Complexes

- A simplex,  $\sigma$ , is maximal in a simplicial complex,  $\Sigma$ , if it does not belong to any other simplex  $\sigma_2$  of  $\Sigma$ .
- A  $k$ -simplicial complex,  $\Sigma$ , is maximal if all maximal simplices have order  $k$ .

# A Maximal Simplicial Complex Example



# A Non-Maximal Simplicial Complex Example



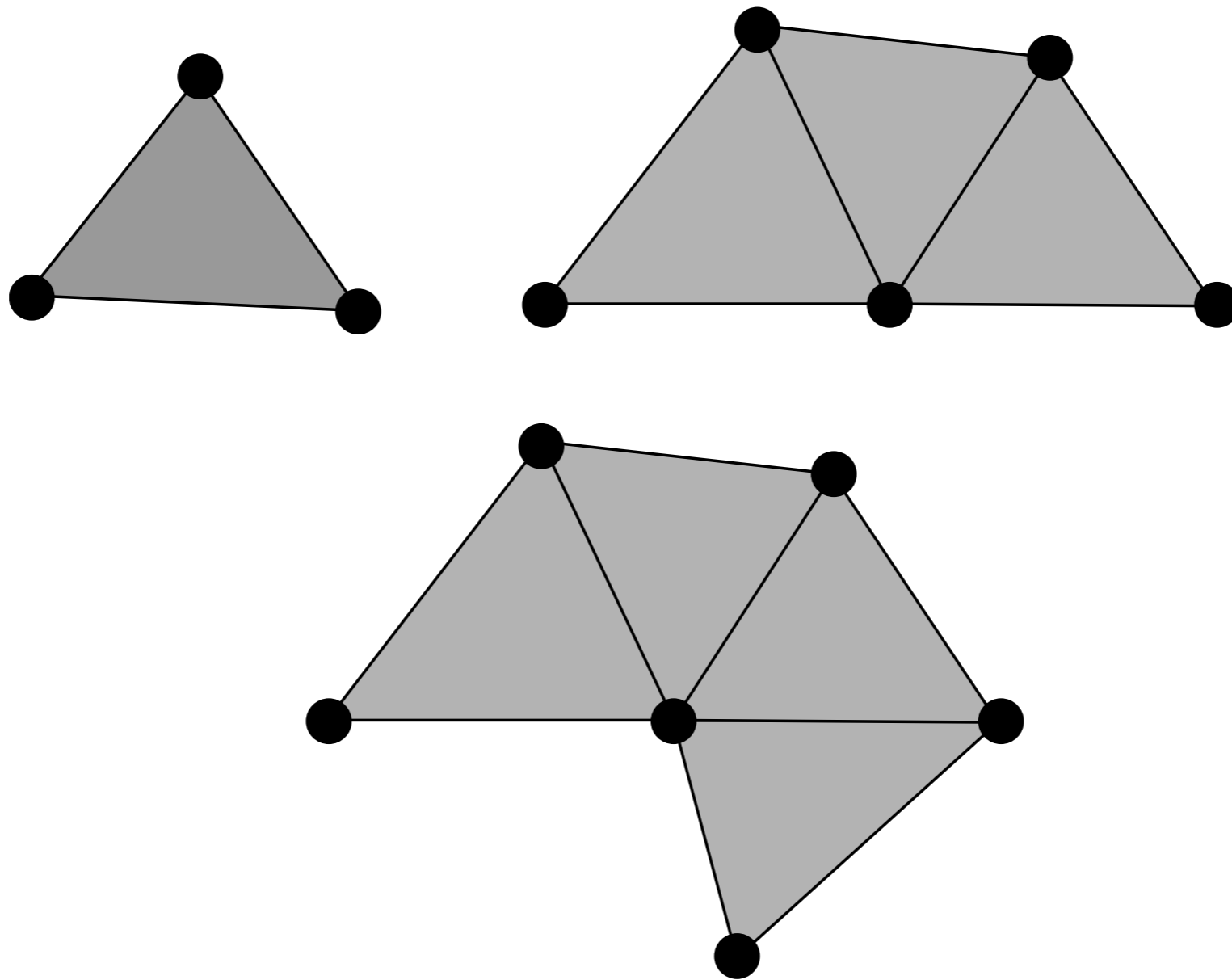
# Manifoldness

- A surface,  $S$ , in  $R^3$  is manifold if and only if:
  - The neighborhood of each point is homeomorphic to an Euclidean space in two dimension or in other words:
    - The neighborhood of each point is homeomorphic to a disk or a semi-disk if the surface has boundaries!

# Manifoldness

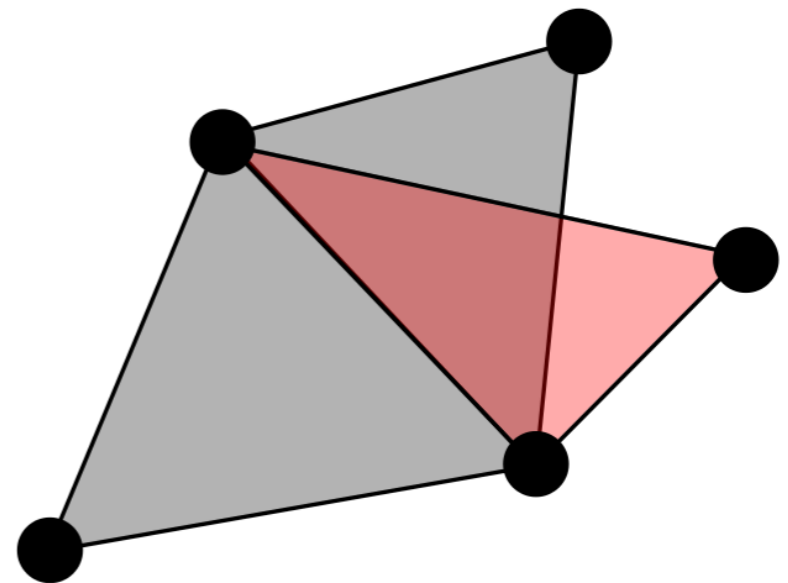
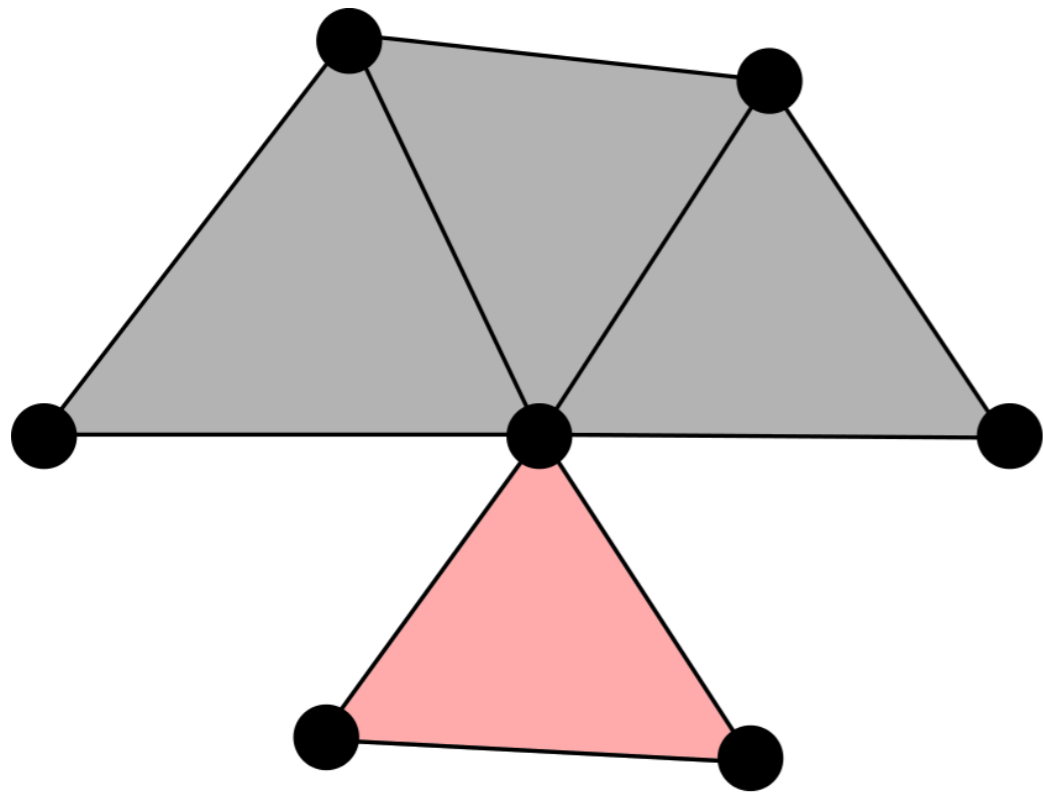
- In other words:
  - Each edge,  $E$ , is incident to only one or two faces!
  - The faces that are incident to a vertex form a closed or an open fan

# Manifoldness Example



**GOOD!**

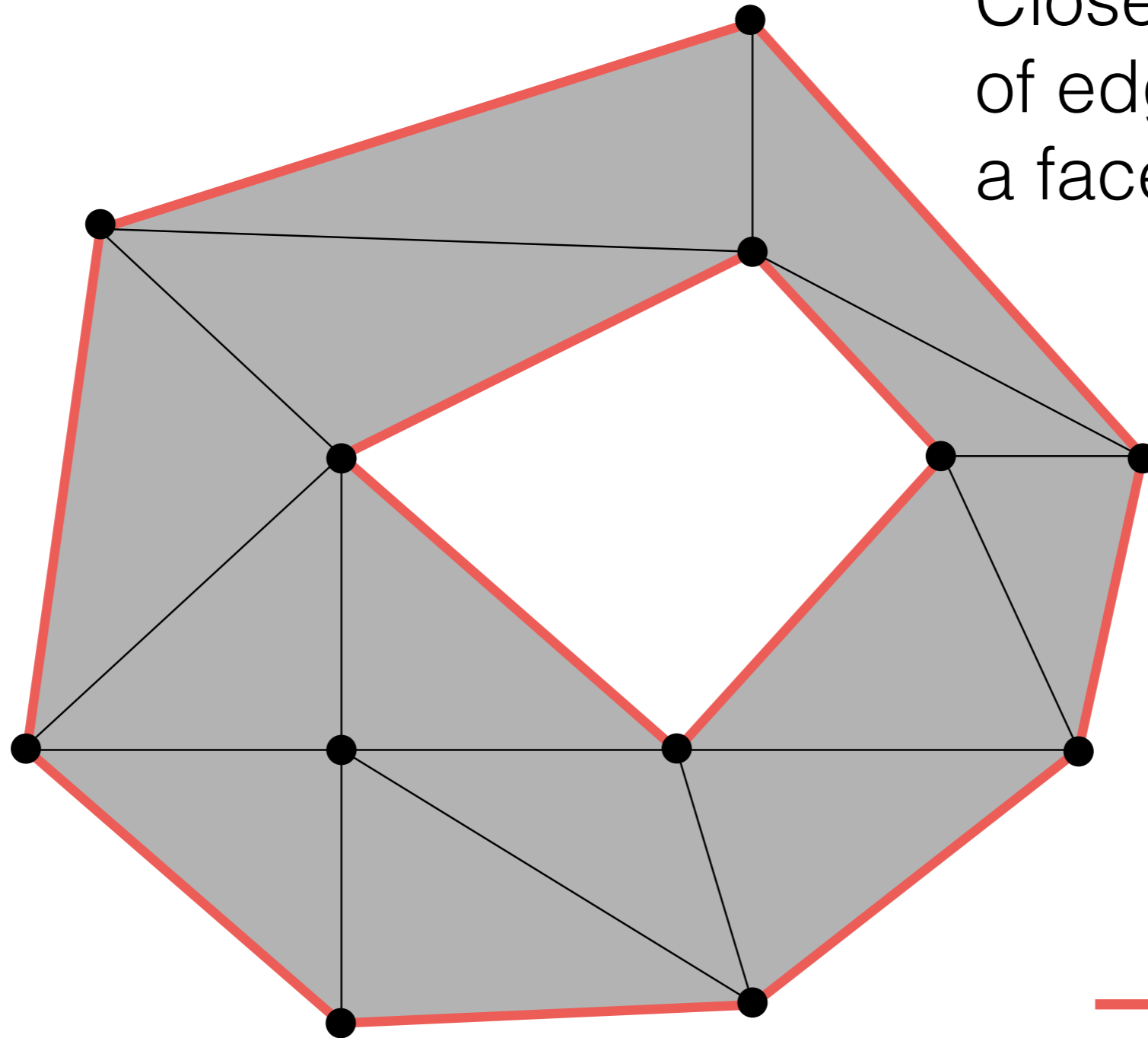
# Manifoldness Example



**BAD!**

# Borders

Closed sequence  
of edges with only  
a face

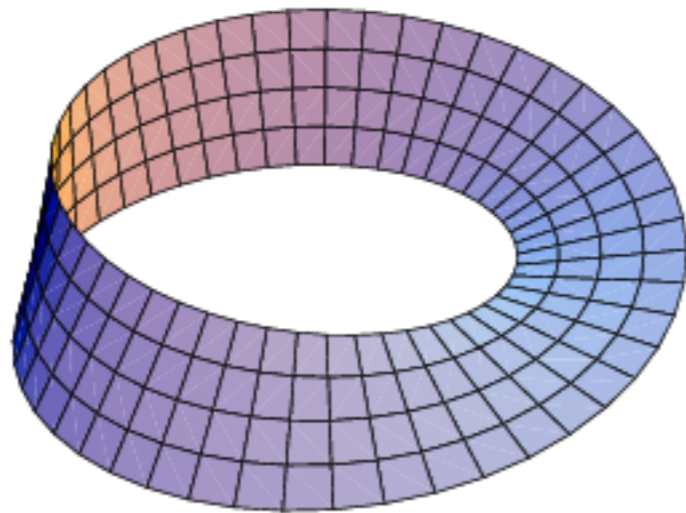


— Border

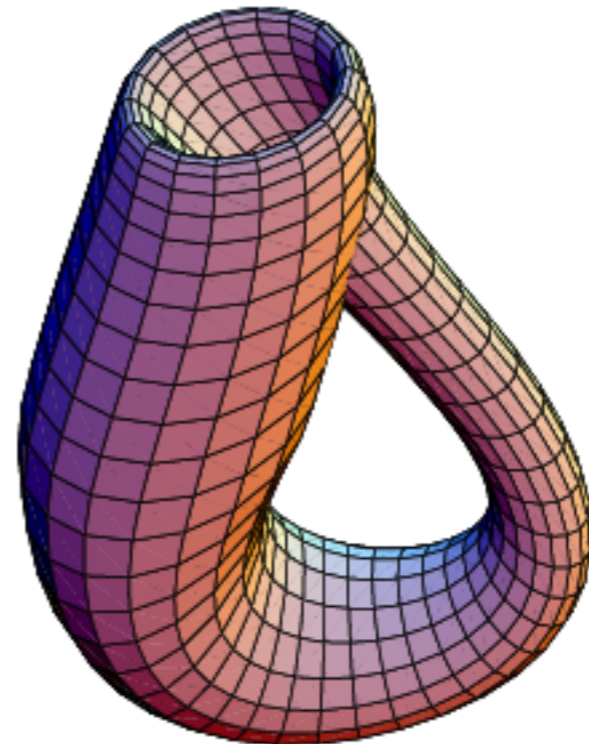


# Orientability

- A surface,  $S$ , is orientable if it is possible to set a coherent normal to each point of the surface
- **NOTE:** Möbius strip and Klein bottle and non-manifold surfaces are not orientable:

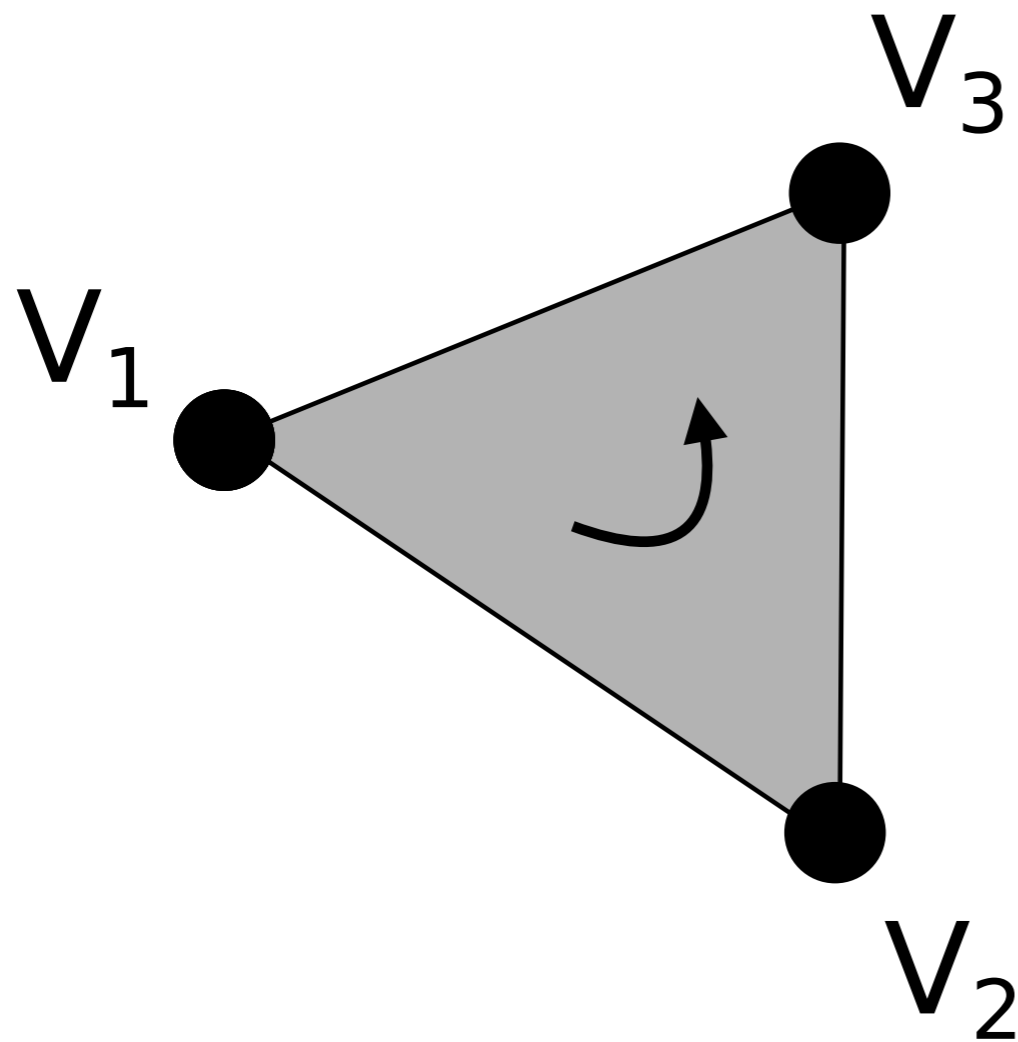


Möbius strip

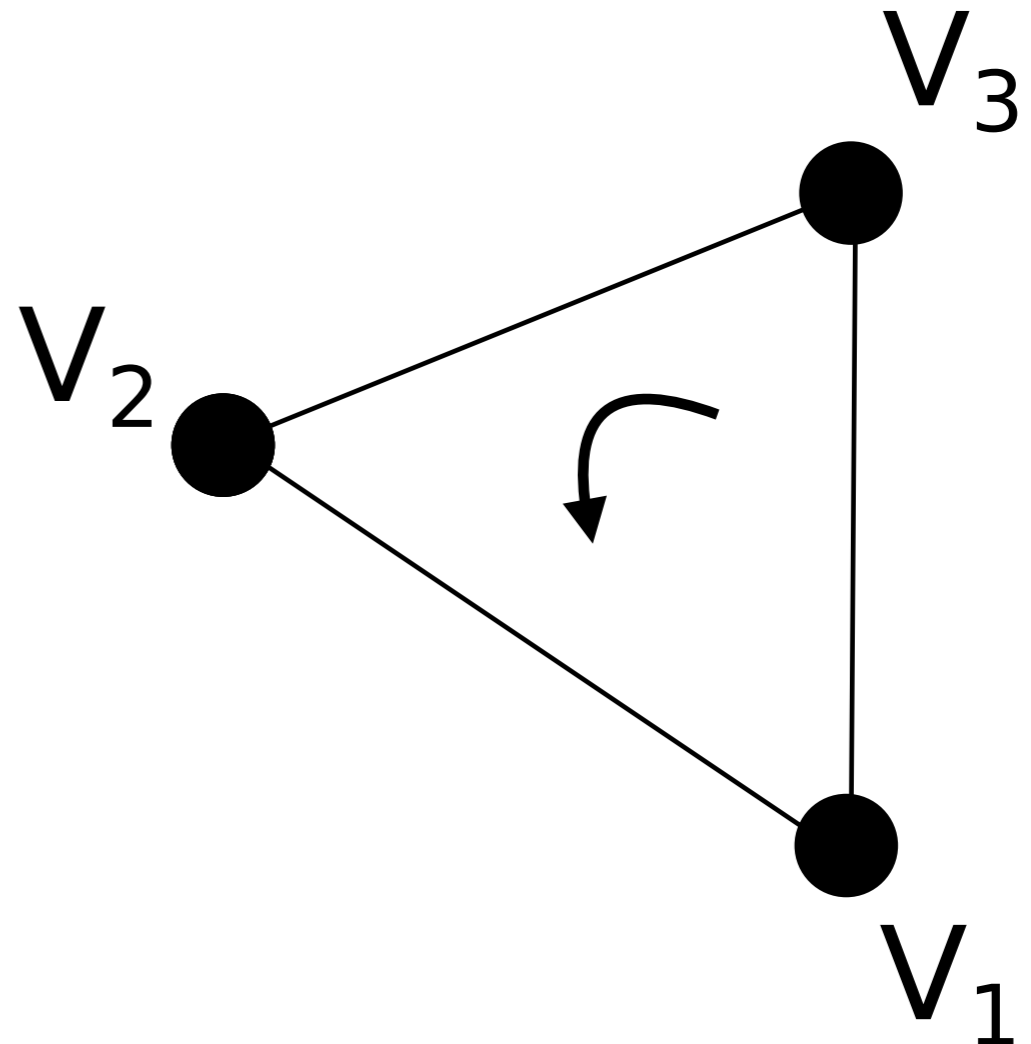


Klein bottle

# Orientability



Front  
(counter-clockwise)



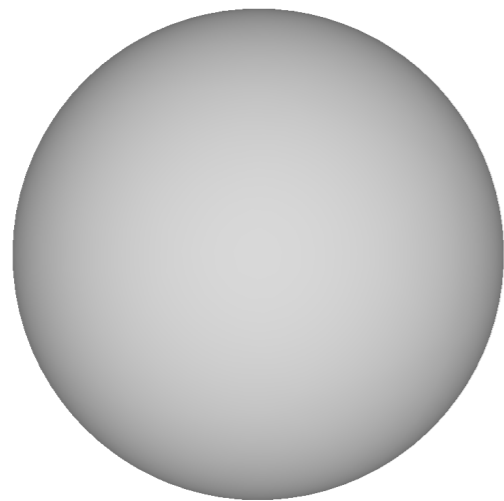
Back  
(clockwise)

# Mesh

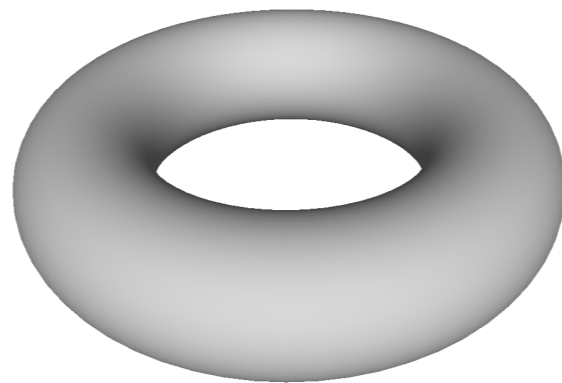
- A mesh is maximal 2-simplicial complexes that is a 2-manifold orientable surface.
- We can have non 2-manifold meshes
- We assume that they are maximal

# Genus

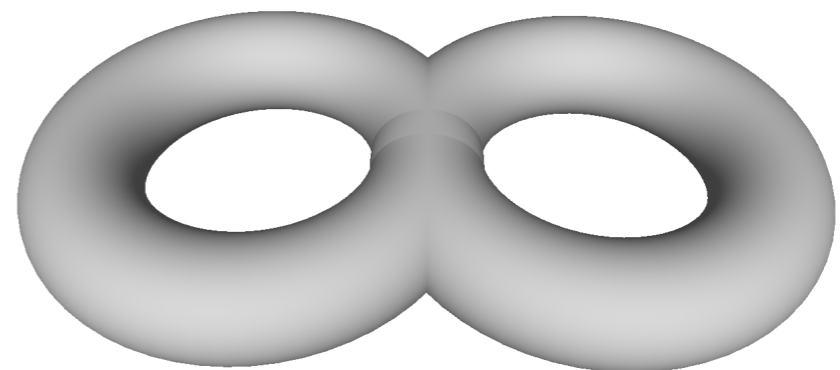
- The genus is the maximum number of cuttings along non-intersecting closed simple curves without rendering the resultant manifold disconnected



0



1



2

- Genus  $\longrightarrow$  “the number of handles”

# Euler Characteristic

- Given  $V$  vertices,  $E$  edges, and  $F$  faces of a polygonal closed and orientable surface with genus  $G$ , we have that:

$$2 - 2G = V - E + F$$

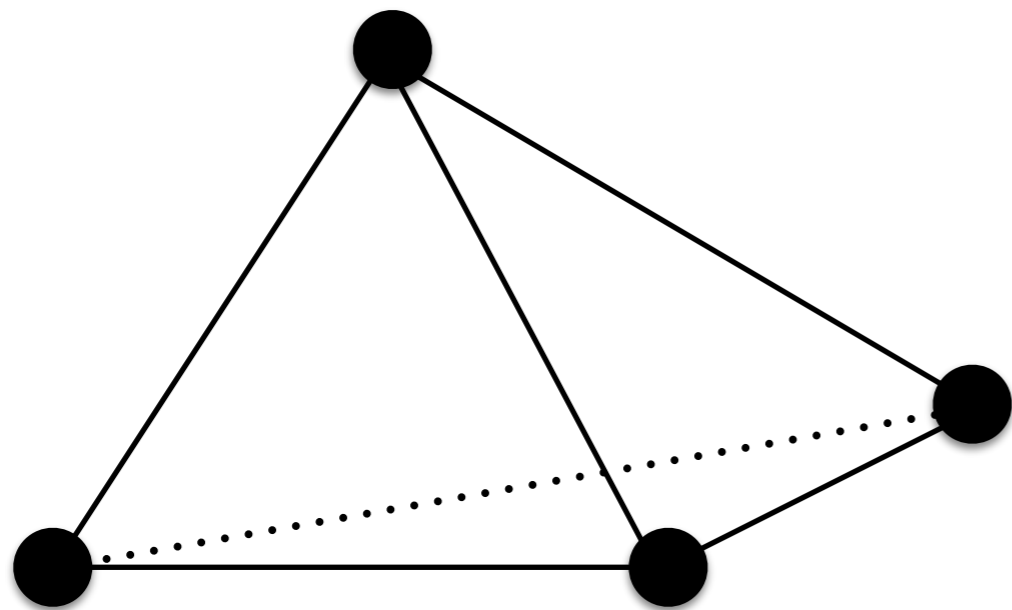
$$\chi = V - E + F$$

- More in general for a 2-manifold orientable polygonal mesh (with  $S$  connected components and  $B$  borders):

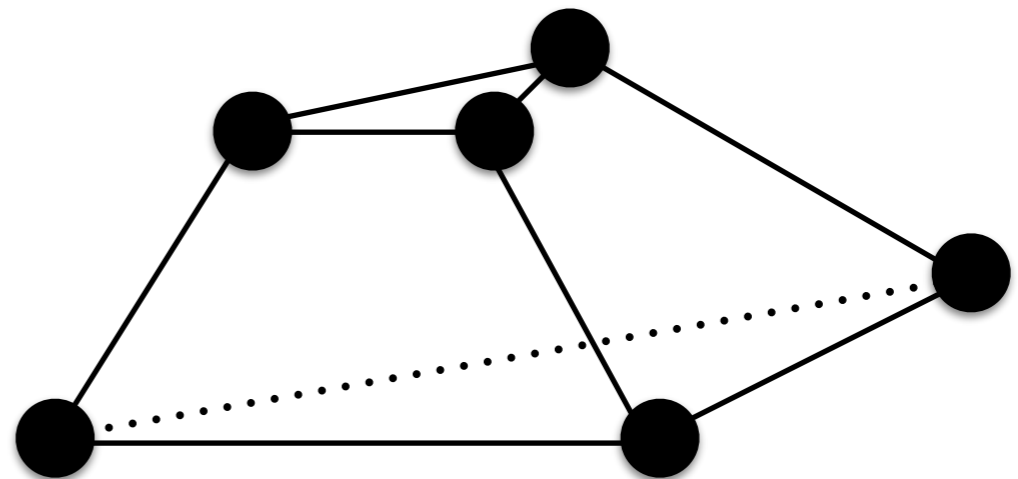
$$V - L + F = 2(S - G) - B$$

# Euler Characteristic Example

The Euler characteristic is 2 for any simply connected polyhedron

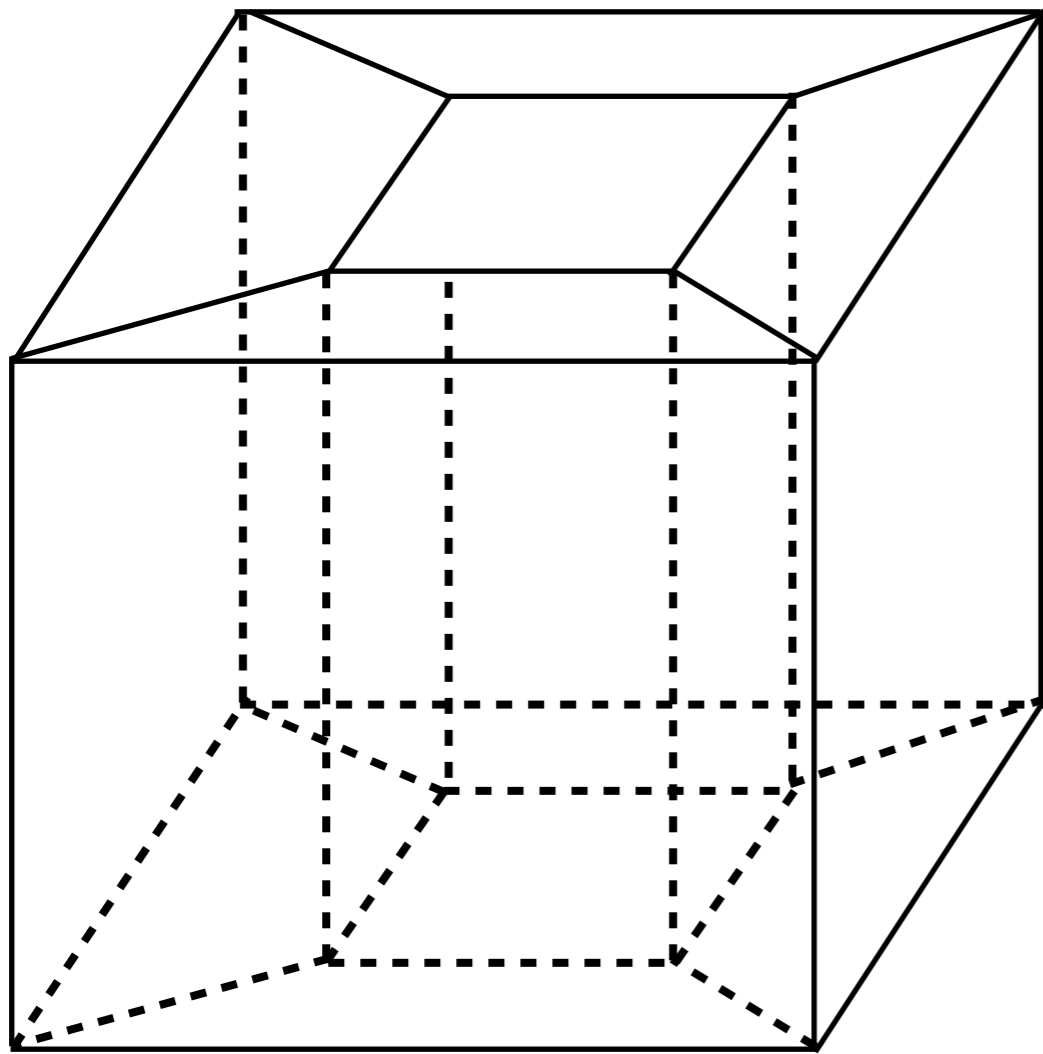


$$\chi = V - E + F$$
$$\chi = 4 - 6 + 4 = 2$$



$$\chi = V - E + F$$
$$\chi = 6 - 9 + 5 = 2$$

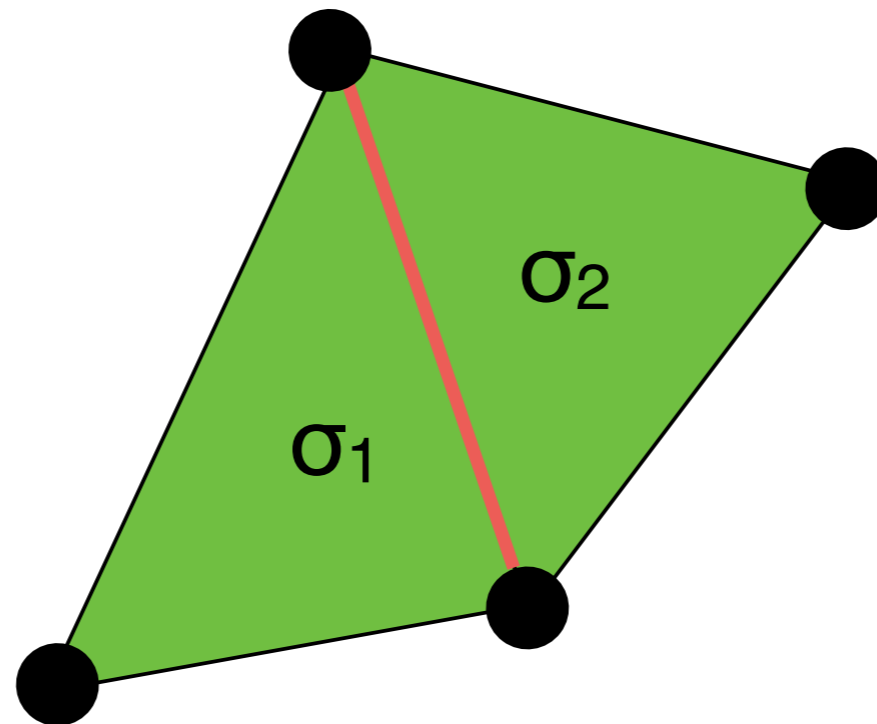
# Euler Characteristic Example



$$\chi = V - E + F$$
$$\chi = 16 - 32 + 16 = 0 = 2 - 2g$$

# Adjacency Relations

- Given two simplices,  $\sigma_1$  and  $\sigma_2$ , they are incident if  $\sigma_1$  is a face of  $\sigma_2$  or vice-versa.





# Adjacency Relations

- Two  $k$ -simplices are  $m$ -adjacent ( $k > m$ ) if a  $m$ -simplex exists such that it is a face of both.
- For example:
  - Two triangles sharing an edge are 1-adjacent
  - Two triangles sharing a vertex are 0-adjacent

# Adjacency Relations

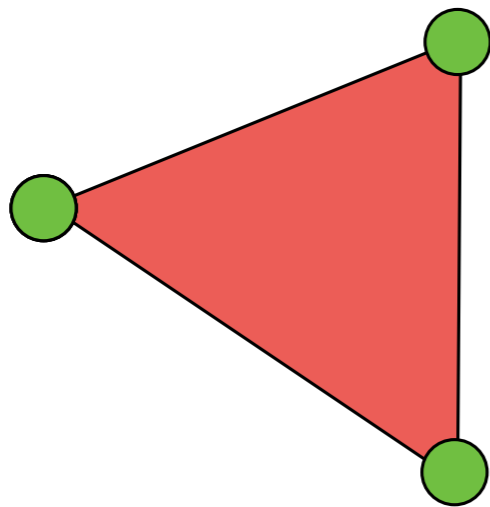
- An adjacency relations is an ordered couple of the following elements:
  - $E \longrightarrow$  edge
  - $F \longrightarrow$  Face
  - $V \longrightarrow$  Vertex
- For example:  $(E,E)$ ,  $(V,V)$ ,  $(F,F)$ ,  $(E,F)$ ,  $(F,E)$ ,  $(E,V)$ ,  $(V,E)$ ,  $(F,V)$ ,  $(V,F)$ ,  $(E,V)$ , and  $(V,E)$ .

# Adjacency Relations

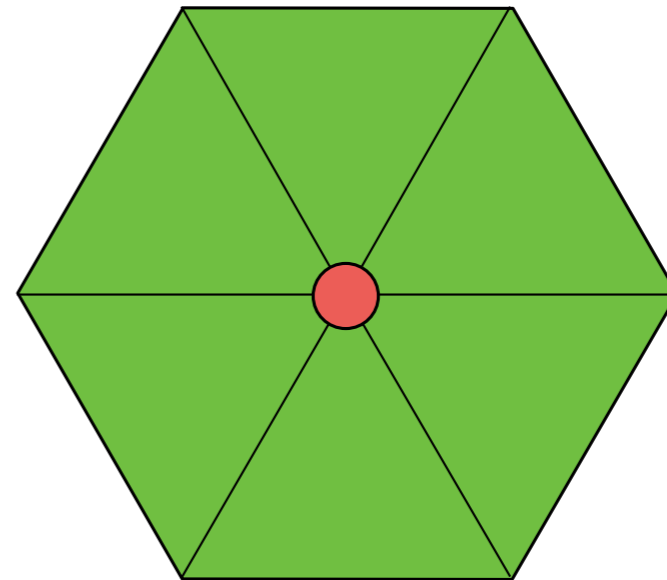
## Example

- Meaning of some relations:
  - $FF \rightarrow$  adjacency between triangles
  - $FV \rightarrow$  vertices of a triangle
  - $VF \rightarrow$  triangles sharing a vertex

# Adjacency Relations Example

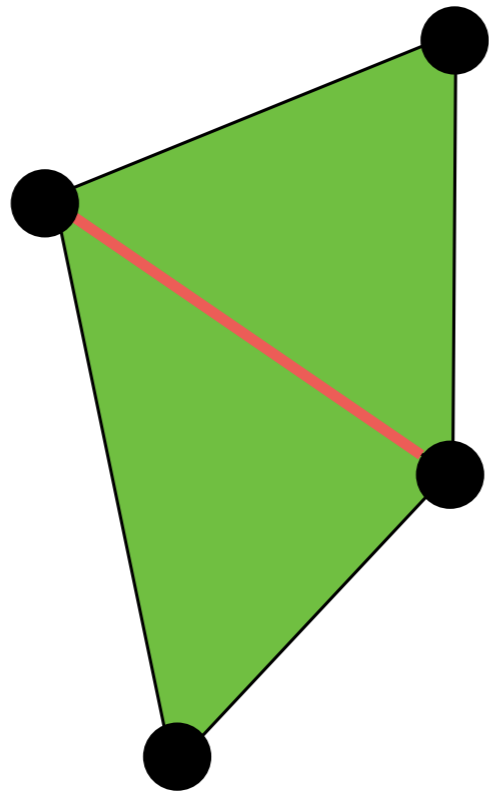


FV

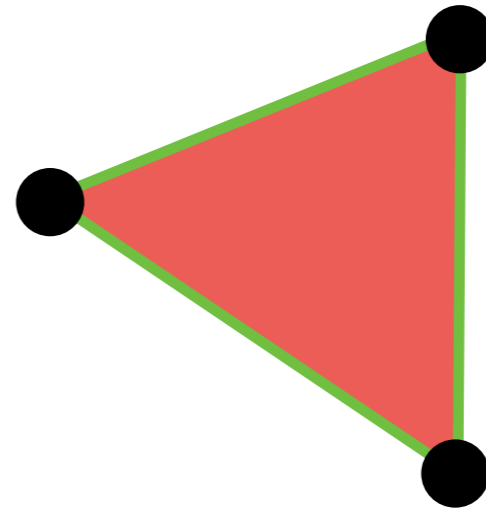


VF

# Adjacency Relations Example



EF

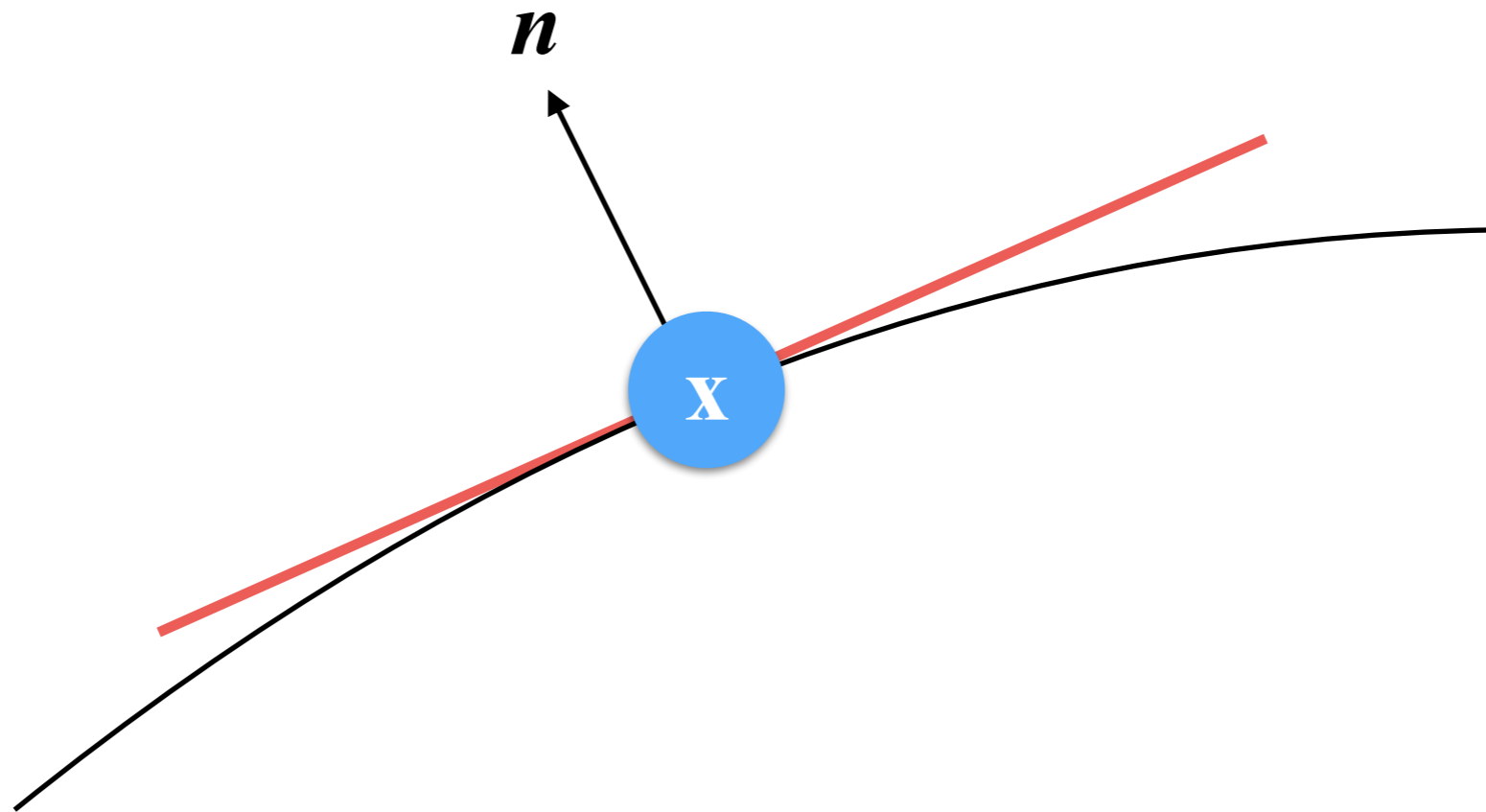


FE

Normals

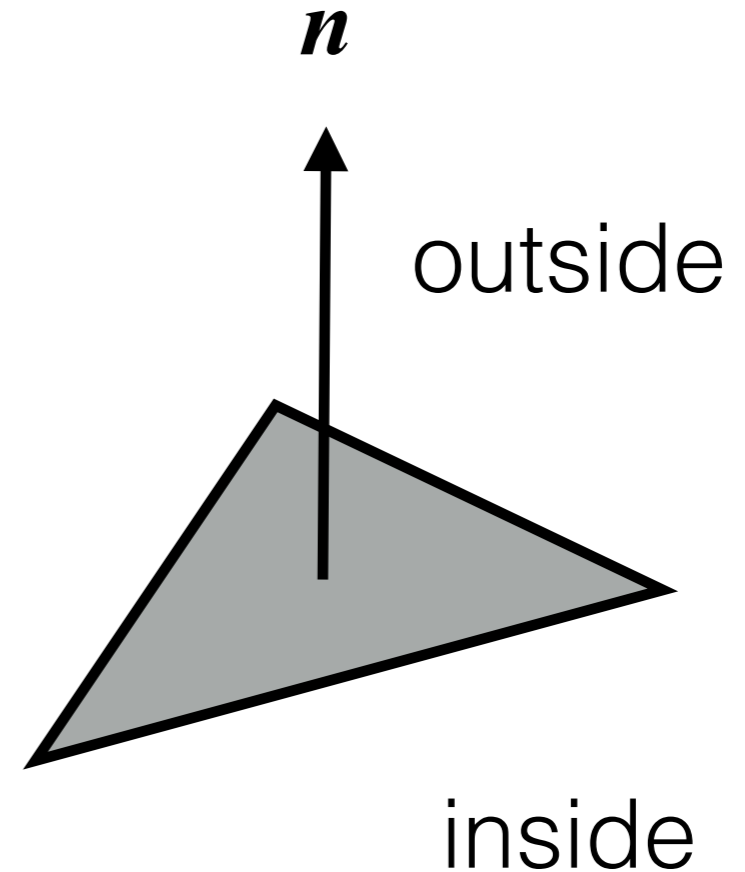
# The Unit Normal

- The unit normal,  $\mathbf{n}$ , to a point,  $\mathbf{x}$ , is the unit vector perpendicular to the tangent plane



# The Unit Normal

- A normal is an important attribute for a vertex:
- It defines the direction of the object boundary





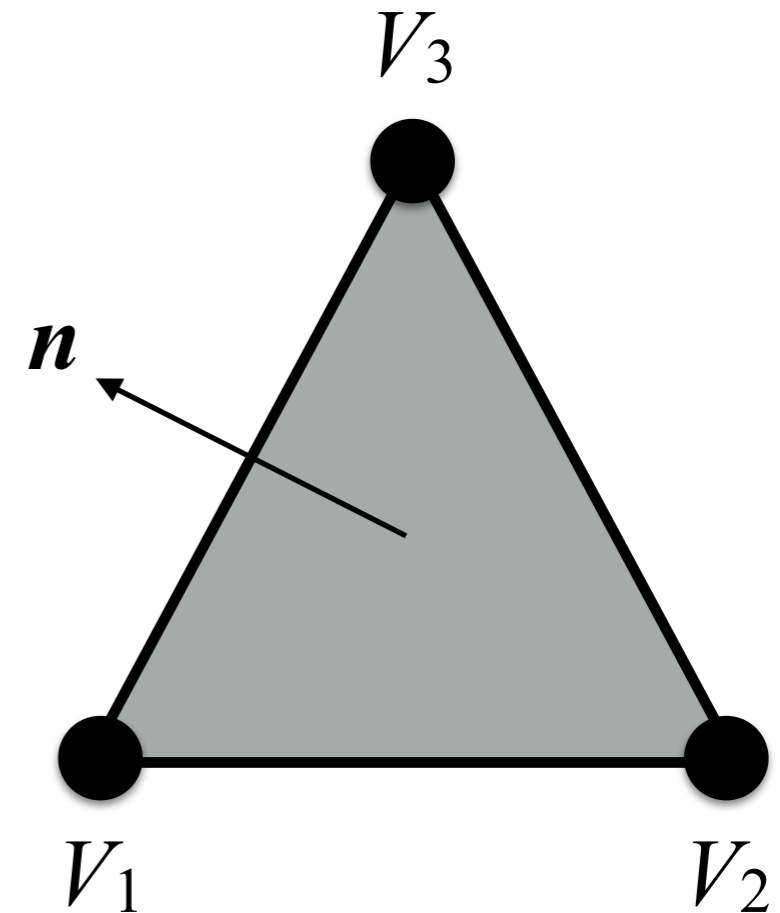
# How to compute triangle normal?

- Given a triangle ( $V_1$ ,  $V_2$ , and  $V_3$ ), its normal (outer-pointing normal):

$$\vec{n} = (V_3 - V_2) \times (V_1 - V_2)$$

$$\hat{n} = \frac{\vec{n}}{\|\vec{n}\|}$$

- This means that vertices order is important! Typically is counter-clockwise



# How to compute per vertex normal?

- We compute normals for each triangle
- For each vertex:
  - We compute the sum of normals of all triangles sharing that vertex:

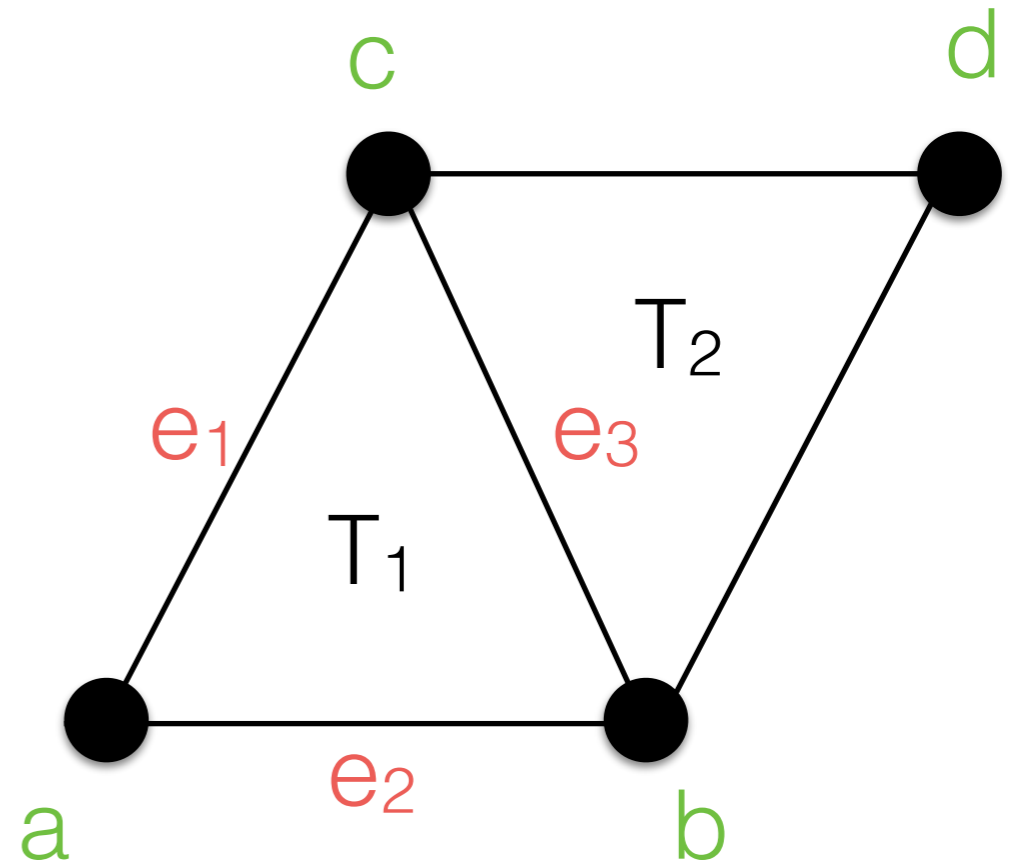
$$\vec{n}_s(V) = \sum_{\{i|V \in T_i\}} \vec{n}_{T_i}$$

- We normalize this sum
- **Note:** per-vertex normals are useful but not correct!

# Data Structures for 3D Meshes

# List of Triangles

- For each triangle of the 3D model, we store its coordinates.
- For example:



Triangle 1:  $(3, -2, 5)$ ;  $(2, 2, 4)$ ;  $(-6, 2, 4)$

Triangle 2:  $(2, 2, 4)$ ;  $(0, -1, -2)$ ;  $(9, 4, 0)$

Triangle 3:  $(1, 2, -2)$ ;  $(3, -2, 5)$ ;  $(-6, 2, 4)$

.....

Triangle  $n$ :  $(-8, 2, 7)$ ;  $(-2, 3, 9)$ ;  $(1, 2, -7)$

What's *very wrong*  
with this??

Triangle 1: (3,-2,5); (2,2,4); (-6,2,4)

Triangle 2: (2,2,4) ; (0,-1,-2); (9,4,0)

Triangle 3: (1,2,-2); (3,-2,5); (-6,2,4)

.....

Triangle  $n$ : (-8,2,7); (-2,3,9); (1,2,-7)

What's *very wrong*  
with this??

Triangle 1: (**3,-2,5**); (**2,2,4**); (**-6,-2,4**)

Triangle 2: (**2,2,4**) ; (0,-1,-2); (9,4,0)

Triangle 3: (1,2,-2); (**3,-2,5**); (**-6,-2,4**)

.....

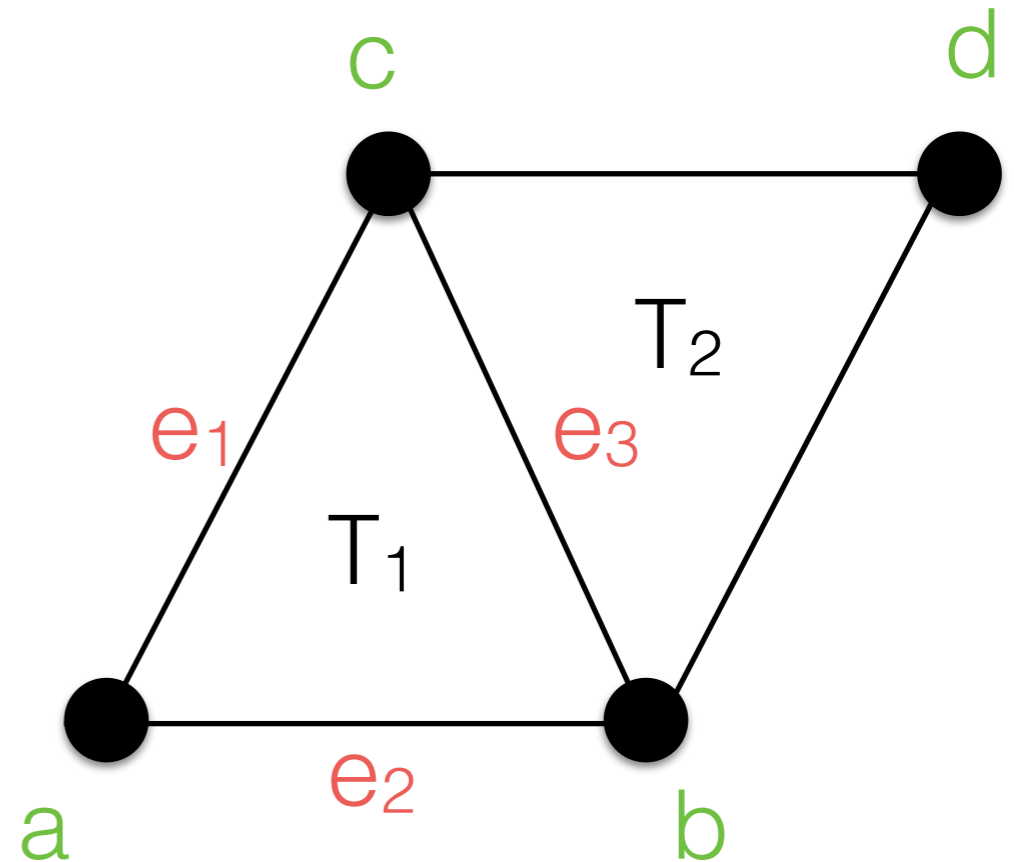
Triangle  $n$ : (-8,2,7); (-2,3,9); (1,2,-7)

# List of Triangles

- Disadvantages:
  - Wasted disk and memory space:
    - Vertices are duplicated!
    - Memory:  $|V| * |T|$
  - Difficult to manage:
    - if we modify a vertex of a triangle, we will need to find and update its clones!
  - How do we query neighbors?

# List of Unique Vertices

- We store vertices in a list
- For each triangle of the 3D model, we store indices to the vertices' list



## Vertices:

1. (-1.0, -1.0, -1.0)
2. (-1.0, -1.0, 1.0)
3. (-1.0, 1.0, -1.0)
4. (-1, 1, 1.0)
5. (1.0, -1.0, -1.0)
6. (1.0, -1.0, 1.0)
7. (1.0, 1.0, -1.0)
8. (1.0, 1.0, 1.0)

## Faces:

1. 1 2 4
2. 5 7 6
3. 1 5 2
4. 3 4 7
5. 1 7 5



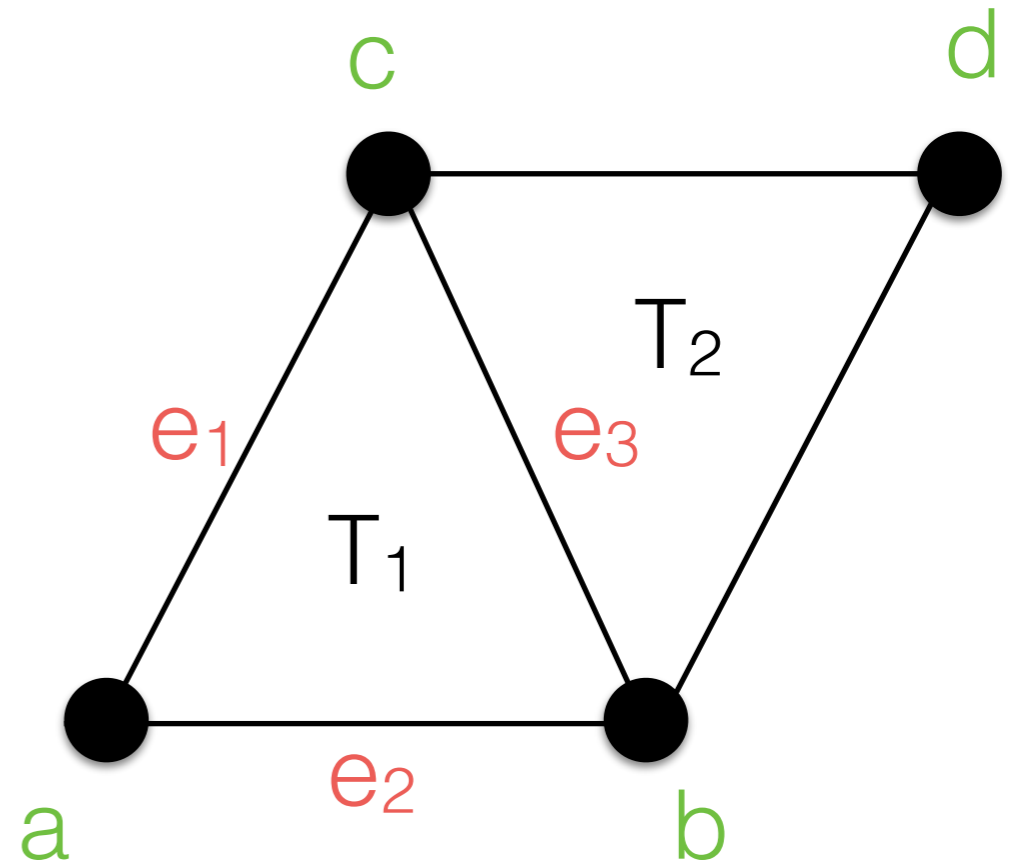


# List of Unique Vertices

- Wasted disk and memory space:
  - Common edges between two triangles are stored two times in the list of faces!
  - Memory:  $|V| + |T|$
- Better management:
  - Easy to edit a vertex's attribute (e.g., its position)!
- How do we query neighbors?

# List of Unique Edges

- We store vertices in a list
- For each edge, we store indices to the vertices' list
- For each triangle of the 3D model, we store indices to edges's list



## Vertices:

1. (-1.0, -1.0, -1.0)
2. (-1.0, -1.0, 1.0)
3. (-1.0, 1.0, -1.0)
4. (-1, 1, 1.0)



## Edges:

1. 1 2
2. 2 3
3. 4 2
4. 3 4
5. 1 3



## Faces:

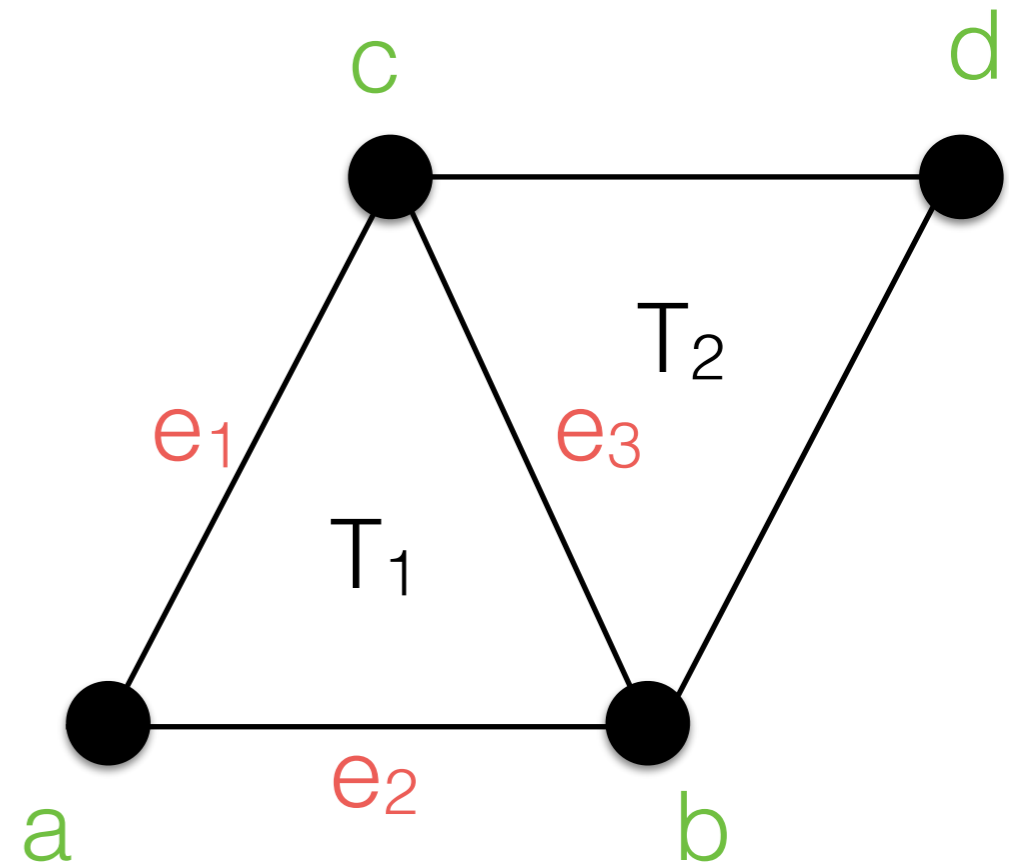
1. 1 2 5
2. 2 4 3

# List of Unique Edges

- Better management:
  - Easy to edit an edge's attribute (e.g., its color)!
- We can do some queries, but not all of them!

# Extended List of Unique Edges

- We add to an edge the indices of its left and right triangle
- This simplifies edge-face queries!



## Vertices:

1. (-1.0, -1.0, -1.0)
2. (-1.0, -1.0, 1.0)
3. (-1.0, 1.0, -1.0)
4. (-1, 1, 1.0)



## Edges:

1. 1 2
2. 2 3
3. 4 2
4. 3 4
5. 1 3

## Faces:

1. -1 1
2. 1 2
3. -1 2
4. -1 2
5. 1 -1



## Faces:

1. 1 2 5
2. 2 4 3

# File Formats

# File Formats

- There are many 3D file formats. The most used, and de-facto standard:
  - STL
  - PLY
  - OBJ
- Standards:
  - COLLADA: <https://www.khronos.org/collada/>
  - X3D: <http://www.web3d.org/x3d/>

# STL File Format

- Standard Triangle Language (STL) created by 3D Systems
- This format represents only the 3D geometry:
  - No color/texture
  - No other attributes
- The format specifies both ASCII and binary representations

# STL File Format

- Data structure: list of triangles
- Vertices are ordered using the right-hand rule
- 3D coordinates must be positive
- No scale metadata; i.e., units are arbitrary



# STL File Format

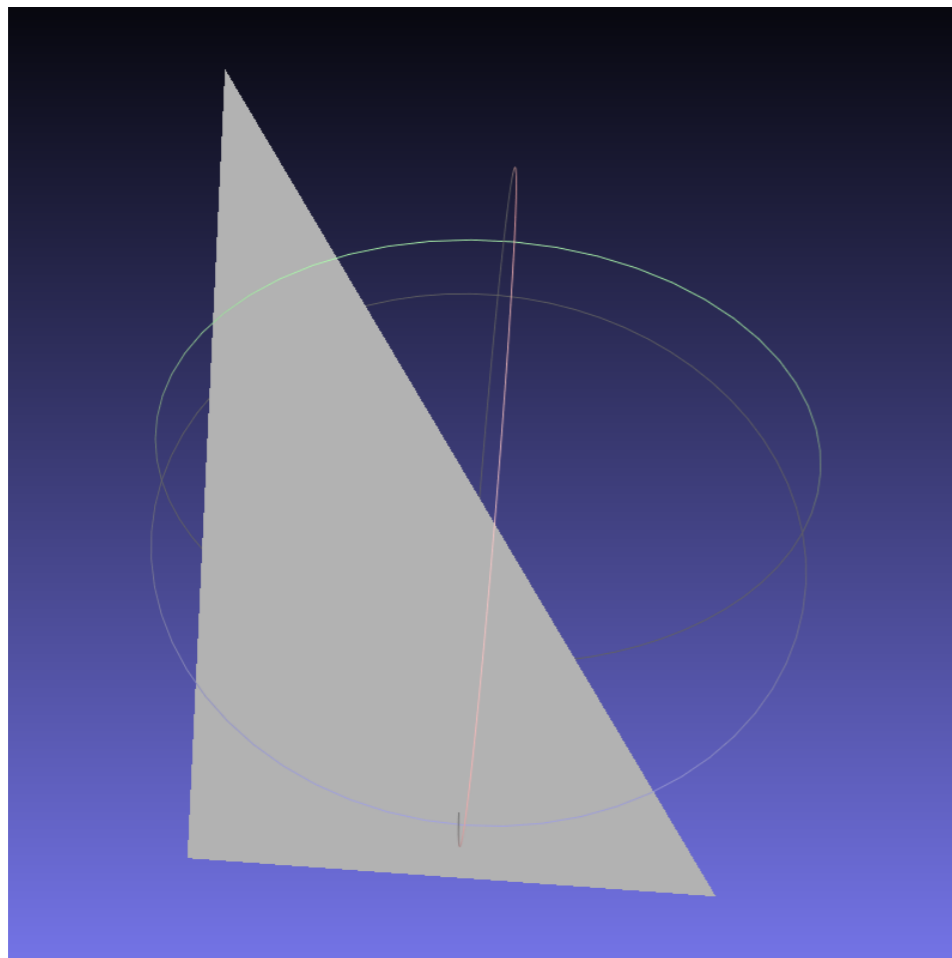
- The file begins as

```
solid name
```

- A face is defined as

```
facet normal nx ny nz
  outer loop
    vertex v1x v1y v1z
    vertex v2x v2y v2z
    vertex v3x v3y v3z
  endloop
endfacet
```

# STL File Format: An Example



```
solid triangle
facet normal 0 1 0
  outer loop
    vertex 0.0 0.0 0.0
    vertex 1.0 0.0 0.0
    vertex 0.0 1.0 1.0
  endloop
endfacet
endsolid triangle
```

# PLY File Format

- Polygon File Format (PLY) is a popular format created by Stanford University (Greg Turk)
- The format is very flexible:
  - we can add many attributes
  - we can define triangular and polygonal meshes
- The format specifies both ASCII and binary representations

# PLY File Format

- Data structure: list of unique vertices
- No scale metadata; i.e., units are arbitrary
- The file is divided into two parts:
  - **Header** that specifies vertices and faces
  - **Body** that specifies the concrete data

# PLY File Format: Header

- The file begins as

```
ply
format ascii 1.0
```

- Vertex specification is defined as

```
element vertex num_vertices
property float x
property float y
property float z
```

`properties` can be: char, uchar, short, ushort, int, uint float, double, etc.

# PLY File Format: Header

- Faces are defined as

```
element face num_faces  
property list uchar int vertex_indices  
  
end_header
```

# PLY File Format: Body

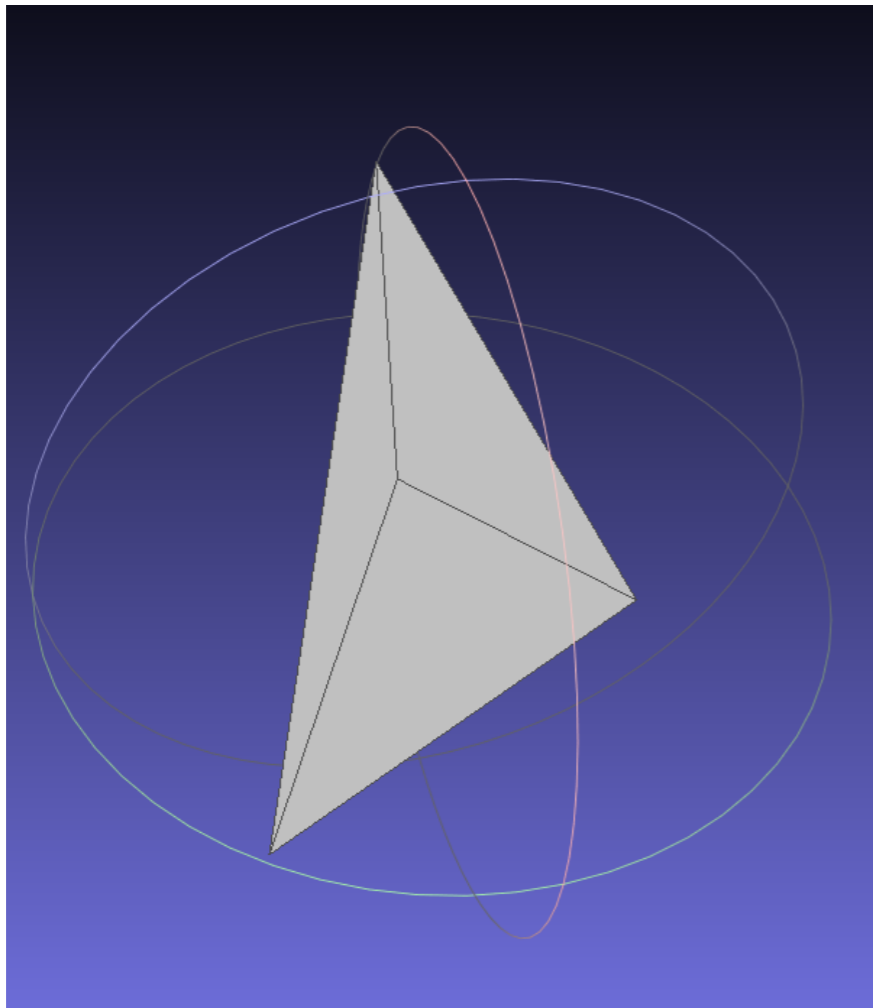
- Each i-th vertex is specified as

```
vix viy viz
```

- Each face is specified as

```
3 index_v1 index_v2 index_v2
```

# PLY File Format: An Example



```
ply
format ascii 1.0
element vertex 4
property float x
property float y
property float z
element face 4
property list uchar int vertex_indices
end_header
-0.60 -0.97 0.37
-0.34 0.98 0.76
0.037 0.65 -1.06
0.88 -0.75 -0.25
3 1 3 2
3 0 1 2
3 0 3 1
3 3 0 2
```



# Acknowledgements

- Some images and text are based on work by:
  - Dr. Paolo Cignoni:
    - <http://vcg.isti.cnr.it/~cignoni/>